

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Proud, Clifford J.	2. Court or Organization Southern District of Illinois	3. Date of Report 06/29/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Full-Time Magistrate Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address United States Courthouse 750 Missouri Avenue, Suite 303 East St. Louis, IL 62201		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. IRA #1 (H)									
2. Wells Fargo Bank Deposit Sweep (cash) (X)	A	Interest	J	T					
3. American Balanced Fund (ABALX)	A	Dividend			Sold	08/29/17	J		
4. Bond Fund of America Inc (ABNDX) (Y)									
5. Capital Income Bldr Fund (CAIBX)	A	Dividend			Sold	08/29/17	K		
6. Europacific Growth Fund (AEPGX)	A	Dividend			Sold	08/29/17	K		
7. Growth Fund of America (AGTHX)	A	Dividend			Sold	08/29/17	K		
8. Income Fund of America (AMECX)	A	Dividend			Sold	08/29/17	J		
9. Investment Co. of America Fund (AIVSX)	A	Dividend			Sold	08/29/17	K		
10. New World Fund (NEWFX)	A	Dividend			Sold	08/29/17	J		
11. Small Cap World Fund (SMCWX)	A	Dividend			Sold	08/29/17	K		
12. Air Products & Chemicals (APD)	A	Dividend	J	T	Buy	08/31/17	J		
13. Alerian MLP (AMLP)	A	Dividend	J	T	Buy	08/31/17	J		
14. American Electric Power (AEP)	A	Dividend	J	T	Buy	08/31/17	J		
15. Anheuser Busch Inbev (BUD)	A	Dividend	J	T	Buy	08/31/17	J		
16. Cisco Systems (CSCO)	A	Dividend	J	T	Buy	08/31/17	J		
17. Cracker Barrel (CBRL)	A	Dividend	J	T	Buy	08/31/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. Edison Intl (EIX)	A	Dividend	J	T	Buy	08/31/17	J		
19. Global X Fund (MLPA)	A	Dividend	J	T	Buy	08/31/17	J		
20. Grainger WW (GWW)	A	Dividend	J	T	Buy	08/31/17	J		
21. Home Depot (HD)	A	Dividend	J	T	Buy	08/31/17	J		
22. Illinois Tool Works (ITW)	A	Dividend	J	T	Buy	08/31/17	J		
23. iShares IBOXX Inv Gr Corp Bd (LQD)	A	Dividend	J	T	Buy	08/31/17	J		
24. iShares Core US Agg Bond (AGG)	A	Dividend	J	T	Buy	08/31/17	J		
25. Johnson & Johnson (JNJ)	A	Dividend	J	T	Buy	08/31/17	J		
26. Kimberly Clark (KMB)	A	Dividend	J	T	Buy	08/31/17	J		
27. Lamar Advertising A (LAMR)	A	Dividend	J	T	Buy	08/31/17	J		
28. National Retail Pptys (NNN)	A	Dividend	J	T	Buy	08/31/17	J		
29. New Mountain Finance (NMFC)	A	Dividend	J	T	Buy	08/31/17	J		
30. People's United Financial (PBCT)	A	Dividend	J	T	Buy	08/31/17	J		
31. Pimco 0-5 Yr High Yield Corp Bd Fd (HYS)	A	Dividend	J	T	Buy	08/31/17	J		
32. Powershares Preferred Portfolio (PGX)	A	Dividend	J	T	Buy	08/31/17	J		
33. Powershares High Yield Corp Bond Port (PHB)	A	Dividend	J	T	Buy	08/31/17	J		
34. Procter & Gamble (PG)	A	Dividend	J	T	Buy	08/31/17	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. Progressive Corp (PGR)	A	Dividend	J	T	Buy	08/31/17	J		
36. Rockwell Automation (ROK)	A	Dividend	J	T	Buy	08/31/17	J		
37. SPDR Bloomberg Barclays High Yield Bd Fd (JNK)	A	Dividend	J	T	Buy	08/31/17	J		
38. Spire Inc (SR)	A	Dividend	J	T	Buy	08/31/17	J		
39. TCP Capital (TCPC)	A	Dividend	J	T	Buy	08/31/17	J		
40. TPG Specialty Lending (TSLX)	A	Dividend	J	T	Buy	08/31/17	J		
41. US Bancorp (USB)	A	Distribution	J	T	Buy	08/31/17	J		
42. VF Corporation (VFC)	A	Dividend	J	T	Buy	08/31/17	J		
43. Vanguard Intermed Corp Bond (VCIT)	A	Dividend	J	T	Buy	08/31/17	J		
44. Vanguard Short Term Corp Bond (VCSH)	A	Dividend	J	T	Buy	08/31/17	J		
45. Verizon Communications (VZ)	A	Dividend	J	T	Buy	08/31/17	J		
46. Wal-Mart Stores (WMT)	A	Dividend	J	T	Buy	08/31/17	J		
47. Watsco Inc (WSO)	A	Dividend	J	T	Buy	08/31/17	J		
48. Xcel Energy (XEL)	A	Dividend	J	T	Buy	08/31/17	J		
49. Anheuser-Busch Inbev Corp Bond (035242AE6)	A	Interest	J	T	Buy	08/31/17	J		
50. General Electric Corp Bond (369604BQ5)	A	Interest	J	T	Buy	08/31/17	J		
51. PNC Financial Var Perp-Ser P-Call (PNC'P)	A	Dividend	J	T	Buy	08/31/17	J		

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52. Reinsurance Group PFD 10-Yr Fixed to Float (RZA)	A	Dividend	J	T	Buy	08/31/17	J		
53. US Bancorp Perp Call Var (USB'M)	A	Dividend	J	T	Buy	08/31/17	J		
54. IRA #2 (H)									
55. Wells Fargo Bank Deposit Sweep (cash) (X)	A	Interest	J	T					
56. American Tower Corp (AMT)	A	Dividend			Sold	06/28/17	K	D	
57. Apple Inc (AAPL)	A	Dividend			Sold	09/05/17	L	E	
58. Monsanto Corp (MON)	A	Dividend			Sold	09/05/17	J	D	
59. American Balanced Fund (ABALX)	A	Dividend			Sold	08/29/17	L	D	
60. Capital Income Bldr Fund (CAIBX) (X)	B	Dividend			Sold	08/29/17	L	D	
61. Income Fund of America (AMECX) (X)	B	Dividend			Sold	08/29/17	L	D	
62. Transamerica Income SHS (TAI)	C	Dividend			Sold	08/29/17	L	D	
63. Washington Mutual Investors Fund (AWSHX)	C	Int./Div.			Sold	08/29/17	M	E	
64. Alpine Global Partners (AWP) (X)	B	Dividend			Sold	09/05/17	K		
65. Calamos Global Dynamic Income Fund (XCHWX)	C	Interest			Sold	09/05/17	K	C	
66. Cohen & Steers Quality Income Realty Fund (RQI) (X)	B	Dividend			Sold	09/05/17	K	B	
67. Morgan Stanley Emerging Mkts Debt Fund (MSD)	B	Dividend			Sold	09/05/17	J		
68. Tortoise Power & Energy (TPZ)	B	Dividend			Sold	09/05/17	K		

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69. Bank of America PFD DEP SHS (BML'L) (X)	A	Interest			Sold	09/05/17	J	B	
70. Teekay Offshore Partners (TK) (Y)									
71. Permian Basin RTY Trust (PBT) (Y)									
72. A O Smith (AOS)	A	Distribution	J	T	Buy	09/05/17	J		
73. Affiliated Managers Group (AMG)	A	Dividend	J	T	Buy	09/05/17	J		
74. AMC Networks Inc (AMCX)		None	J	T	Buy	09/05/17	J		
75. Amerco (UHAL)		None	J	T	Buy	09/05/17	J		
76. Amgen (AMGN)	A	Dividend	J	T	Buy	09/05/17	J		
77. Analog Devices (ADI)	A	Dividend	J	T	Buy	09/05/17	J		
78. ARTHUR J GALLAGHER & CO (AJG)	A	Dividend	J	T	Buy	09/05/17	J		
79. AT&T (T)	A	Dividend	J	T	Buy	09/05/17	J		
80.					Buy (add'l)	09/26/17	J		
81.					Buy (add'l)	12/13/17	J		
82. AXA - ADR (AXAHY)	A	Dividend	J	T	Buy	09/05/17	J		
83.					Buy (add'l)	09/26/17	J		
84. BASF SE (BASFY)	A	Dividend	J	T	Buy	09/05/17	J		
85.					Buy (add'l)	09/26/17	J		

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86. Big Lots Inc (BIG)	A	Dividend	J	T	Buy	09/05/17	J		
87. BK Nova Scotia Halifax (BNS)	A	Dividend	J	T	Buy	09/05/17	J		
88. Booz Allen (BAH)	A	Dividend	J	T	Buy	09/05/17	J		
89. BRITISH AMERN TOB (BTI)	A	Dividend	J	T	Buy	09/05/17	J		
90. BRUNSWICK CORP (BC)	A	Dividend	J	T	Buy	09/05/17	J		
91. CENTENE CORP (CNC)		None	J	T	Buy	09/05/17	J		
92. CHECK POINT SOFTWARE (CHKP)		None	J	T	Buy	09/05/17	J		
93. CHEVRON CORPORATION (CVX)	A	Dividend	J	T	Buy	09/05/17	J		
94. CHURCH & DWIGHT (CHD)	A	Dividend	J	T	Buy	09/05/17	J		
95. CISCO SYSTEMS INC (CSCO)	A	Dividend	J	T	Buy	09/05/17	J		
96. COCA-COLA COMPANY (KO)	A	Dividend	J	T	Buy	09/05/17	J		
97. COCA-COLA EUROPEAN (CCE)	A	Dividend	J	T	Buy	09/05/17	J		
98. COMMONWEALTH BANK OF AUSTRALIA (CMWAY)	A	Dividend	J	T	Buy	11/08/17	J		
99. COMPASS GRP PLC ADR (CMPGY)	A	Dividend	J	T	Buy	09/05/17	J		
100. CORELOGIC INC (CLGX)		None	J	T	Buy	09/05/17	J		
101. CORESITE REALTY CORP (COR)	A	Dividend	J	T	Buy	09/05/17	J		
102. CULLEN FROST BANKERS INC (CFR)	A	Dividend	J	T	Buy	09/05/17	J		

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103. CVS HEALTH (CVS)	A	Dividend	J	T	Buy	09/05/17	J		
104. DEUTSCHE BOERSE AG (DBOXY)	A	Dividend			Buy	09/05/17	J		
105.					Sold	11/08/17	J		
106. DIAGEO PLC (DEO)	A	Dividend			Buy	09/05/17	J		
107.					Sold	12/13/17	J	A	
108. DOMINION ENERGY INC (D)	A	Dividend	J	T	Buy	09/05/17	J		
109. DUKE ENERGY CORP (DUK)	A	Dividend	J	T	Buy	09/05/17	J		
110. EASTGROUP PPTYS INC (EGP)	A	Dividend	J	T	Buy	09/05/17	J		
111. ELI LILLY & CO (LLY)	A	Dividend	J	T	Buy	09/05/17	J		
112. EXXON MOBIL CORP (XOM)	A	Dividend	J	T	Buy	09/05/17	J		
113. FACTSET RESEARCH SYSTEMS (FDS)	A	Dividend	J	T	Buy	09/05/17	J		
114. FERGUSON PLC (FERGY)	A	Dividend	J	T	Buy	09/05/17	J		
115. FIRST REPUBLIC BANK (FRC)	A	Dividend	J	T	Buy	09/05/17	J		
116. GLAXOSMITHKLINE PLC (GSK)	A	Dividend			Buy	09/05/17	J		
117.					Sold	12/13/17	J		
118. GRACO INC (GGG)	A	Dividend	J	T	Buy	09/05/17	J		
119. HALYARD HEALTH INC (HYH)		None	J	T	Buy	09/05/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Proud, Clifford J.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. HASBRO INC (HAS)	A	Dividend	J	T	Buy	12/13/17	J		
121. HILL-ROM HOLDINGS (HRC)	A	Dividend	J	T	Buy	09/05/17	J		
122. HUBBELL INC (HUBB)	A	Dividend	J	T	Buy	09/05/17	J		
123. HUNTINGTON INGALLS (HII)	A	Dividend	J	T	Buy	09/05/17	J		
124. ICON PLC (ICLR)		None	J	T	Buy	09/05/17	J		
125. Ing Group N V (ING)	A	Dividend	J	T	Buy	09/05/17	J		
126. INGREDION INC (INGR)	A	Dividend	J	T	Buy	09/05/17	J		
127. INTEL CORP (INTC)	A	Dividend	J	T	Buy	09/05/17	J		
128. ISHARES CORE S&P MIDCAP (IJH)	A	Dividend	K	T	Buy	09/05/17	J		
129. ISHARES CORE S&P SMALLCAP (IJR)	A	Dividend	K	T	Buy	09/05/17	J		
130. JAPAN TOBACCO INC (JAPAY)	A	Dividend	J	T	Buy	09/05/17	J		
131. JAZZ PHARMACEUTICALS (JAZZ)		None	J	T	Buy	09/05/17	J		
132. JP MORGAN CHASE (JPM)	A	Dividend	J	T	Buy	09/05/17	J		
133.					Buy (add'l)	12/13/17	J		
134. KANSAS CITY SOUTHERN (KSU)	A	Dividend	J	T	Buy	09/05/17	J		
135. KONINKLIJKE PHILIPS (PHG)	A	Dividend	J	T	Buy	09/05/17	J		
136. LAS VEGAS SANDS CORP (LVS)	A	Dividend	J	T	Buy	09/05/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. LOCKHEED MARTIN CORP (LMT)	A	Dividend	J	T	Buy	09/05/17	J		
138. LVMH MOET HENNESSY (LVMUY)	A	Dividend	J	T	Buy	09/05/17	J		
139. LYONDELLBASELL (LYB)	A	Dividend	J	T	Buy	09/05/17	J		
140. MANHATTAN ASSOCIATES INC (MANH)		None	J	T	Buy	09/05/17	J		
141. MARKEL CORP (MKL)		None	J	T	Buy	09/05/17	J		
142. MATCH GROUP (MTCH)		None	J	T	Buy	09/05/17	J		
143. MERCK & CO INC (MRK)	A	Dividend	J	T	Buy	09/05/17	J		
144. MICROSEMI CORP CL A (MSCC)		None	J	T	Buy	09/05/17	J		
145. MICROSOFT CORP (MSFT)	A	Dividend	J	T	Buy	09/05/17	J		
146. MKS INSTRUMENTS (MKS)	A	Dividend	J	T	Buy	09/05/17	J		
147. NESTLE S A REG ADR (NSRGY)	A	Dividend	J	T	Buy	09/05/17	J		
148. NEXTERA ENERGY INC (NEE)	A	Dividend	J	T	Buy	09/05/17	J		
149. NIELSEN HOLDINGS (NLSN)	A	Dividend	J	T	Buy	11/08/17	J		
150. NOVARTIS AG (NVS)	A	Dividend	J	T	Buy	09/05/17	J		
151. NTT DOCOMO INC (DCM)	A	Dividend	J	T	Buy	09/05/17	J		
152. PACKAGING CORP OF AMER (PKG)	A	Dividend	K	T	Buy	09/05/17	K		
153. PEBBLEBROOK HOTEL (PEB)	A	Dividend	J	T	Buy	09/05/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. PENTAIR PLC (PNR)	A	Dividend	J	T	Buy	09/05/17	J		
155. PFIZER INC (PFE)	A	Dividend			Buy	09/05/17	J		
156.					Sold	11/08/17	J	A	
157. PHILIP MORRIS (PM)	A	Dividend	J	T	Buy	09/05/17	J		
158. POWERSHARES S&PSMALLCAP MATERIALS (PSCM)	A	Dividend	J	T	Buy	09/05/17	J		
159. POWERSHARES S&P SMALLCAP INFORMATION TECHNOLOGY (PSCT)	A	Dividend	J	T	Buy	09/05/17	J		
160. POWERSHARES S&PSMALLCAP HEALTH CARE PORTFOLIO (PSCH)	A	Dividend	J	T	Buy	09/05/17	J		
161. PROCTER & GAMBLE CO (PG)	A	Dividend			Buy	09/05/17	J		
162.					Sold	11/08/17	J		
163. PRUDENTIAL PLC (PUK)	A	Dividend			Buy	09/05/17	J		
164.					Sold	09/26/17	J		
165. PUBLIC SVC ENTERPRISE (PEG)	A	Dividend	J	T	Buy	09/05/17	J		
166.					Buy (add'l)	09/26/17	J		
167. PUBLICIS GROUP (PUBGY)	A	Dividend	J	T	Buy	12/14/17	J		
168. QUALCOMM INC (QCOM)	A	Dividend	J	T	Buy	09/05/17	J		
169. RECKITT BENCKISER (RBGLY)	A	Dividend	J	T	Buy	09/05/17	J		
170. REGENCY CENTERS (REG)	A	Dividend	J	T	Buy	09/05/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. REINSURANCE GROUP OF AM (RGA)	A	Dividend	J	T	Buy	09/05/17	J		
172. ROCHE HOLDINGS (RHHBY)	A	Dividend	J	T	Buy	09/05/17	J		
173. ROYAL DUTCH SHELL (RDS/A)	A	Dividend	J	T	Buy	09/05/17	J		
174. SANOFI ADR (SNY)	A	Dividend	J	T	Buy	09/05/17	J		
175. SIEMENS AG (SIEGY)	A	Dividend	J	T	Buy	09/05/17	J		
176. SL GREEN RLTY CORP (SLG)	A	Dividend	J	T	Buy	09/05/17	J		
177. SUNCOR ENERGY (SU)	A	Dividend			Buy	09/05/17	J		
178.					Sold	12/13/17	J	A	
179. TE CONNECTIVITY (TEL)	A	Dividend	J	T	Buy	09/05/17	J		
180. TELENOR ASA-ADS (TELNY)	A	Dividend	J	T	Buy	09/05/17	J		
181. THOR INDUSTRIES (THO)	A	Dividend	J	T	Buy	09/05/17	J		
182. TORONTO DOMINION BANK (TD)	A	Dividend	J	T	Buy	09/05/17	J		
183. TELSTRA CORPORATION LTD (TLS)		None			Buy	09/05/17	J		
184.					Sold	09/26/17	J		
185. TOTAL S.A. (TOT)	A	Dividend	J	T	Buy	09/05/17	J		
186. TRANSCANADA CORP (TRP)	A	Dividend	J	T	Buy	09/05/17	J		
187. TRUSTMARK CORP (TRMK)	A	Dividend	J	T	Buy	09/05/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. UNILEVER N V (UN)	A	Dividend	J	T	Buy	09/05/17	J		
189. US SILICA HOLDINGS (SLCA)	A	Dividend	J	T	Buy	09/05/17	J		
190. USG CORP (USG)	A	Dividend	J	T	Buy	09/05/17	J		
191. VEOLIA ENVIRONMT (VEOEY)	A	Dividend			Buy	09/05/17	J		
192.					Sold	12/13/17	J	A	
193. XPERI CORP (XPER)	A	Dividend	J	T	Buy	09/05/17	J		
194. BROKERAGE #1 (H)									
195. Wells Fargo Bank Deposit Sweep (cash) (X)	A	Interest	N	T					
196. Amgen Inc (AMGN)	A	Dividend	K	T					
197. Archer Daniels Midland (ADM)	A	Dividend	K	T					
198. AT & T Corp (T)	A	Dividend	K	T					
199. BP PLC (BP)	A	Dividend	J	T					
200. Commerce Bancshares Inc (CBSH)	A	Dividend	K	T					
201. Corcept Therapeutics Inc (CORT)	A	Dividend	J	T					
202. Delek Logistics Partners LP (DKL)	A	Dividend	J	T					
203. Emerson Electric Corp (EMR)	A	Dividend	K	T					
204. Express Scripts Hldg Co (ESRX)	A	Distribution	J	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. Exxon Mobil Corp (XOM)	A	Dividend	J	T					
206. I Shares EMKT Index (EEM)	A	Dividend	K	T					
207. I Shares EAFE Index (EFA)	B	Distribution	L	T					
208. Lowes Companies Inc (LOW)	A	Distribution	K	T					
209. Merck Common (MRK)	A	Dividend	K	T					
210. Mondelez Intl Inc (MDLZ)	A	Dividend	J	T					
211. Norfolk Southern Corp (NSC) (X)	A	Dividend	K	T					
212. Qualcomm Inc (QCOM)	A	Dividend	J	T					
213. Redwood Trust Inc (RWT)	A	Dividend	J	T					
214. S&P Deposit Receipts (SPY)	B	Dividend	M	T					
215. Target Corp (TGT)	A	Dividend	J	T					
216. Total SA Spons ADR (TOT)	A	Dividend	J	T					
217. Lord Abbet Mun Income Tax Free (LISAX) (X)	A	Dividend	K	T					
218. Tax Exempt Bond Fund of America (AFTEX)	B	Interest	L	T					
219. Alpine Global Partners (AWP)	A	Dividend	J	T					
220. Cohen & Steers Quality Income Realty Fund (RQI)	B	Dividend	K	T					
221. Nuveen Dividend Mun Fd 2 (NZF)	B	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

222. Bank of America PFD DEP SHS (BML.PL)	A	Interest	J	T					
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1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Proud, Clifford J.

Date of Report

06/29/2018

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 42 of the filing instructions.

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Proud, Clifford J.

Date of Report

06/29/2018

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Clifford J. Proud**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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One Columbus Circle, N.E.
Washington, D.C. 20544