

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Dearie, Raymond J.	2. Court or Organization Eastern District of New York	3. Date of Report 11/2/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States District Judge, Senior Status	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address United States Courthouse Room 910 225 Cadman Plaza East Brooklyn, NY 11201		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Citibank Checking and Savings	A	Int./Div.	M	T					
2. Citibank IRS Money Market AIC	A	Int./Div.	J	T					
3. IRS Dreyfus GNMA Fund	A	Int./Div.			Redeemed	05/27/15	J	B	
4. Federal Credit Union	A	Int./Div.	K	T					
5. USAA Checking and Savings	A	Int./Div.	J	T					
6. First National Bank of St. Louis	A	Int./Div.	J	T					
7. Municipal Credit Union	A	Int./Div.	J	T					
8. Berkshire Hathaway Inc.	A	Dividend	K	T					
9. Chevron Corp		None	J	T	Buy	01/13/15	J		
10. Conoco Phillips		None	J	T	Buy	01/13/15	J		
11. Express Scripts Holding	A	Dividend	J	T	Sold (part)	01/13/15	J	A	
12. General Mills	A	Dividend	J	T	Sold (part)	01/13/15	J	A	
13. Nike	A	Dividend	J	T	Sold (part)	01/01/15	J	A	
14. Potash		None	J	T	Buy	02/23/15	J		
15. Target	A	Int./Div.	J	T	Sold (part)	01/13/15	J		
16. Walt Disney		None	J	T	Buy	08/10/15	J		
17. Whole Foods	A	Dividend			Sold	08/10/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. MFS Global Equity Fund CL A	A	Int./Div.	J	T					
19. MFS Int'l Diversificaiton	A	Int./Div.	J	T					
20. JP Morgan Chase	A	Dividend	J	T					
21. Edward Jones Investment - Act (H)									
22. Bridge Builder Bond Fund	A	Dividend	J	T	Buy (add'l)	05/29/15	J		
23. Capital World Bond	A	Dividend	J	T	Buy	07/01/15	J		
24. CBA Aggressive Growth I	A	Dividend	J	T	Sold (part)	12/11/15	J		
25. Columbia Small Cap Value I	A	Dividend	J	T	Sold (part)	05/11/15	J		
26. Dodge & Cox Stock	A	Dividend	J	T	Sold (part)	12/8/15	J		
27. Europacific Growth	A	Dividend	J	T	Buy (add'l)	10/13/15	J		
28. Franklin Mutual Shares	A	Dividend	J	T	Buy (add'l)	02/02/15	J		
29. Harbor International	A	Dividend	J	T	Buy (add'l)	11/30/15	J		
30. Ironbridge Smid Cap	A	Dividend	J	T	Sold (part)	05/19/15	J		
31. Jh Disciplined Value Mid Cap	A	Dividend	J	T					
32. JP Morgan Fed Mon Mkt	A	Dividend	J	T	Buy (add'l)	06/16/15	J		
33. JP Morgan Fed Mon Mkt	A	Dividend	J	T	Buy (add'l)	10/16/15	J		
34. JP MorganFed Mon Mkt	A	Dividend	J	T	Buy (add'l)	11/23/15	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. Mainstay Icap Select Equity	A	Dividend	J	T	Buy (add'l)	05/25/15	J		
36. Metropolitan West Ttl Ret Bd (X)	A	Dividend	J	T					
37. MFS Int'l Value	A	Dividend	J	T	Buy (add'l)	09/22/15	J		
38. Pimco Total Return IV (X)	A	Dividend	J	T					
39. Prudential High Yield	A	Dividend	J	T	Buy (add'l)	12/30/15	J		
40. Prudential Jenn Mid Cap GR	A	Dividend	J	T	Buy (add'l)	05/14/15	J		
41. T. Rowe Price Instl Lrge Cp G (X)R	B	Dividend	J	T					
42. T. Rowe Price Int'l Stock (X)	A	Dividend	J	T					
43. Victory Small Company Opport	A	Dividend	J	T					
44. Edward Jones Act. 2 - Roth IRA (X) (H)									
45. Bridge Builder Bond Fund	A	Dividend	J	T	Buy (add'l)	02/27/15	J		
46. Bridge Builder Bond Fund	A	Dividend	J	T	Buy (add'l)	03/27/15	J		
47. Cba Aggressive Growht I	A	Dividend	J	T					
48. Columbia Acord Int'l	A	Dividend	J	T					
49. Dodge & Cox Stock	A	Dividend	J	T					
50. Franklin Mutual Shares C1 Z	A	Dividend	J	T					
51. Fundamental Investors	A	Dividend	J	T					

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52. Harbor Capital Appreciation	A	Dividend	J	T					
53. Harbor International	A	Dividend	J	T					
54. Invesco Cornstock	A	Dividend	J	T					
55. JP Morgan Fed Mon Mkt	A	Dividend	J	T					
56. JP Morgan High Yield	A	Dividend	J	T	Sold (part)	12/11/15	J		
57. JP Morgan Mid Cap Value Instl	A	Dividend	J	T	Sold (part)	05/11/15	J		
58. Loomis Sayles Global Bond	A	Dividend	J	T					
59. Loomis Sayles INV Grade BD	A	Dividend	J	T	Sold (part)	1/11/15	J		
60. Metropolitaqn West Ttl Red Bd	A	Dividend	J	T	Buy (add'l)	03/02/15	J		
61. Metropolitaqn West Ttl Red Bd	A	Dividend	J	T	Buy (add'l)	07/17/15	J		
62. Metropolitaqn West Ttl Red Bd	A	Dividend	J	T	Sold (part)	12/11/15	J		
63. MFS International Value	A	Dividend	J	T					
64. MFS Value	A	Dividend	J	T	Sold (part)	05/19/15	J		
65. MFS Value	A	Dividend	J	T	Sold (part)	12/11/15	J		
66. Pimco Total Return IV	A	Dividend	J	T	Buy (add'l)	04/24/15	J		
67. Pimco Total Return IV	A	Dividend	J	T	Sold (part)	12/11/15	J		
68. Prudential High-Yield	A	Dividend	J	T	Buy (add'l)	05/01/15	J		

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69. T. Rowe Price Blue Ship Gr	A	Dividend	J	T					
70. T. Rowe Price Intl Bond	A	Dividend	J	T					
71. T. Rowe Price Intl Stock	A	Dividend	J	T					
72. Edward Jones Act. 3 - Traditional IRA (X) (H)									
73. Bridge Builder Bond Fund	A	Dividend	J	T					
74. Bridge Builder Large Growth	A	Dividend	J	T	Buy	05/15/15	J		
75. Bridge Builder Small Mid-Growth		None	J	T	Buy	05/15/15	J		
76. Bridge Builder Small Mid-Value		None	J	T	Buy	05/11/15	J		
77. Bridge Builder Intl Equity		None	J	T	Buy	07/17/15	J		
78. Bridge Builder Intl Equity		None	J	T	Sold (part)	12/11/15	J		
79. CBA Aggressive Growth I	A	Dividend	J	T					
80. Dodge & Cox Stock	A	Dividend	J	T					
81. Franklin Mut Gbl Dscv Fd Cl Z	A	Dividend	J	T	Sold (part)	12/11/15	J		
82. Fundamental Investors	A	Dividend	J	T	Sold (part)	12/11/15	J		
83. Harbor Capital Appreciation	A	Dividend	J	T	Sold (part)	05/11/15	J		
84. Invesco Cornstock	A	Dividend	J	T	Sold (part)	12/11/15	J		
85. JP Morgan Fed Mon Mkt	A	Dividend	J	T					

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86. JP Morgan High Yield	A	Dividend	J	T					
87. JP Morgan Mid Cap Value	A	Dividend	J	T					
88. Loomis Sayles Global Bond	A	Dividend	J	T					
89. Loomis Sayles Inv Grade Bd	A	Dividend	J	T					
90. Metropolitan West Ttl Ret Bd	A	Dividend	J	T					
91. MFS Intl New Discovery	A	Dividend	J	T					
92. MFS Value	A	Dividend	J	T					
93. Pimco Total Return IV	A	Dividend	J	T					
94. Prudential Jenn Mid Cap Gr	A	Dividend	J	T	Sold (part)	05/11/15	J		
95. Ramier Small/Mid Cap Eq Instl	A	Dividend	J	T	Sold (part)	05/11/15	J		
96. Scout Int'l	A	Dividend	J	T	Sold (part)	07/22/15	J		
97. T. Rowe Price Blue Chip Gr	A	Dividend	J	T	Sold (part)	05/11/15	J		
98. T. Rowe Price Blue Chip Gr	A	Dividend	J	T	Sold (part)	12/11/15	J		
99. T. Rowe Price Intl Bd	A	Dividend	J	T					
100. T. Rowe Price Intl Stock	A	Dividend	J	T	Sold (part)	12/11/15	J		
101. Federate Municipal & Stock Advantage Fund	A	Dividend	J	T					
102. Rollover IRA (H) Mutual Funds									

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103. Blackrock Large Cap Value Fund		None	L	T	Buy	04/06/15	K		
104. Blackrock Large Cap Value Fund		None	L	T	Buy (add'l)	05/01/15	J		
105. Blackrock Large Cap Value Fund		None			Buy (add'l)	08/11/15	J		
106. Blackrock Large Cap Value Fund		None			Sold (part)	10/06/15	J		
107. JP Morgan Large Cap Growth		None	K	T	Buy	04/06/15	K		
108. JP Morgan Large Cap Growth		None			Buy (add'l)	08/11/15	J		
109. JP Morgan Large Cap Growth		None			Buy (add'l)	12/14/15	J		
110. Newberger Berman Mid Cap Growth		None	J	T	Buy	04/06/15	J		
111. Neuberger Berman Mid Cap Growth		None	J	T	Sold (part)	07/08/15	J	A	
112. Newberger Int'l Equity Fund		None	K	T	Buy	04/06/15	K		
113. Neuberger Int'l Equity Fund		None	K	T	Buy (add'l)	08/11/15	J		
114. Neuberger Int'l Equity Fund		None	K	T	Sold (part)	10/05/15	J		
115. Clearbridge Small Cap Grw Cl A		None	J	T	Buy	04/15/15	J		
116. Prudential Jennison Int'l Fund		None	K	T	Buy	04/06/15	K		
117. Prudential Jennison Int'l Fund		None	K	T	Buy (add'l)	08/11/15	J		
118. Prudential QMA Small Cap Value		None	J	T	Buy	04/06/15	J		
119. Prudential QMA Small Cap Value		None	J	T	Buy (add'l)	12/15/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. Victory Integrity Mid Cap Value		None	K	T	Buy	04/06/15	J		
121. Victory Integrity Mid Cap Value		None	K	T	Buy (add'l)	08/11/15	J		
122. Prudential Equities Individual Account (H)			K						
123. Annaly Cap Mgmt. Inc.		None	J	T	Buy	04/15/15	J		
124. Apple Inc.		None	J	T	Buy	04/15/15	J		
125. Berkshire Hathway Inc.		None	J	T	Buy	04/15/15	J		
126. Calamos High Income Fund		None	J	T	Buy	04/15/15	J		
127. Gilead Sciences Inc.		None	J	T	Buy	04/15/15	J		
128. Harmon Int'l Industries Inc.		None	J	T	Buy	04/15/15	J		
129. JP Morgan Chase & Co.	A	Dividend	J	T	Buy	04/15/15	J		
130. KKR & Co.		None	J	T	Buy	04/15/15	J		
131. Newberger Berman		None	J	T	Buy	04/15/15	J		
132. TJX Cos Inc.	A	Dividend	J	T	Buy	04/15/15	J		
133. I Shares		None	J	T	Buy	04/15/15	J		
134. SPDR Five Hundred		None	J	T	Buy	04/15/15	J		
135. Vanguard Whitehall Funds		None	J	T	Buy	04/15/15	J		
136. Starwood Property Inc.		None	J	T	Buy	04/15/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Dearie, Raymond J.

Date of Report

11/2/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

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1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

In regard to the letter dated October 12, 2016 from the committee, please see revisions below.

References are to 2014 Amended Report unless otherwise indicated otherwise.

In Part VII, Line 33 -- Manning Napier on 2014 Amended Report -- please note we never owned this stock.

In Part VII, Line 36 -- Appears on 2015 report on lines 64, 65 and 92.

In Part VII, Line 63 -- Appears on 2015 report on line 95.

In Part VII, Line 70 -- Appears on 2015 report on line 25.

In Part VII, Line 84 -- Appears on 2015 report on line 81 as Franklin Mut Gbl Dscv Fd Cl Z

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Raymond J. Dearie**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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One Columbus Circle, N.E.
Washington, D.C. 20544