

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Dowdell, John E.	2. Court or Organization U.S. District Court, Northern District of Oklahoma	3. Date of Report 5/20/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Active Status	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address U.S. Courthouse 333 W. 4th Street, Room 411 Tulsa, Oklahoma 74103		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. President	American Inns of Court - Council Oak/Johnson-Sontag Chapter
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 2014	Settlement and Release Agreement with former law firm for partnership interest in the firm prior to Judgeship
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2015	Norman Wohlgemuth Chandler & Jeter, Settlement Agreement payment for partnership interest in former law firm	\$59,375.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2015	████████████████████ salary
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Federal Bar Association	April 30-May 2, 2015	Arlington, VA	Leadership Training Program	Transportation, Lodging and Incidentals
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Brokerage Account #1 (H)									
2. -Greystone Logistics, Inc. Stock (common)		None	J	T					
3. -American Funds Washington Mutual Fund	A	Dividend			Sold	09/09/15	J	A	
4. -First Eagle Global Fund	A	Dividend	K	T	Sold (part)	02/20/15	J	A	
5.					Sold (part)	02/20/15	J	A	
6.					Sold (part)	02/20/15	J	B	
7.					Sold (part)	06/30/15	J	A	
8.					Sold (part)	08/25/15	J	A	
9.					Sold (part)	09/03/15	J	A	
10.					Sold (part)	09/09/15	J	A	
11.									
12. -UBS Money Market Account		None	J	T					
13. -American Funds Capital World Growth & Income Fund CL A	A	Dividend			Sold	09/09/15	J		
14.									
15. IRA #1 (H)									
16. -American Funds Capital World Growth Allocation Fund	A	Dividend	J	T					
17. -American Funds Investment Company of America	B	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	L = \$50,001 - \$100,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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18. -First Eagle Global Fund	A	Dividend	K	T					
19. -Ivy Asset Strategy Fund	B	Dividend	K	T					
20. -Oppenheimer Global Allocation Fund	A	Dividend	K	T					
21. -Prudential International Equity Fund	A	Dividend	J	T					
22. -Prudential Jennison Select Growth Fund	A	Dividend	J	T					
23. -Allianzgi NFJ Mid-Cap Value Fund Class A	A	Dividend	K	T					
24.									
25. Mass Mutual Whole Life		None	M	T					
26.									
27. Tulsa Federal Employee's Credit Union Account	A	Int./Div.	J	T					
28.									
29. IRA #2 (H)									
30. -Schwab Govt Money Fund		None	J	T					
31. -Biomed Realty Trust Inc.	A	Dividend			Sold	11/10/15	J	A	
32. -Firstmerit Corp Stock (common)	A	Dividend	J	T	Buy	03/25/15	J		
33. -Powershares Global ETF Fundamental High YLD USDBond Port	A	Dividend	K	T	Sold (part)	03/31/15	J	A	
34.					Buy (add'l)	07/01/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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35. -Paychex Inc. Stock (common)	A	Dividend			Sold	03/25/15	J	B	
36. -The Southern Company Stock (common)	A	Dividend	J	T					
37. -Doubleline Total Return BD FD CL I	C	Dividend	L	T					
38. -TCW Total Return Bond Fund I Class	A	Dividend			Sold	02/24/15	K	A	
39. -Annaly Capital Management	B	Dividend	J	T	Buy (add'l)	08/07/15	J		
40.					Sold (part)	11/30/15	J		
41. -Ishares MSCI JPN IDEX FD Japan Index Fund	A	Dividend	K	T	Buy (add'l)	04/06/15	J		
42.					Buy (add'l)	07/01/15	J		
43.					Sold (part)	09/30/15	J		
44. -Ishares S&P 500 Growth S&P 500 Growth Index FD	A	Dividend	K	T					
45. -Schw Intl EQ ETF	A	Dividend	J	T					
46. -Market Vectors ETF Trusthard Assets Producers	A	Dividend	J	T					
47. -Ishares S&P 500 Value S&P 500 Value Index FD	A	Dividend	K	T	Sold (part)	03/31/15	J	A	
48. -Vanguard FTSE Emerging Markets ETF	A	Dividend	J	T	Sold (part)	07/01/15	J		
49. -Ishares Core S&P ETF Smallcap	A	Dividend	K	T	Buy (add'l)	09/30/15	J		
50. -Ishares Core S&P ETF Midcap	A	Dividend	K	T	Buy (add'l)	03/31/15	J		
51.					Sold (part)	07/01/15	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
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52. -GlaxoSmithKline PLC ADR F Sponsored ADR	A	Dividend	J	T					
53. -SCH US REIT ETF	A	Dividend	K	T					
54. -H C P Inc. Stock (common)	A	Dividend	J	T	Sold (part)	09/10/15	J		
55. -Williams Companies Stock (common)	A	Dividend	J	T					
56. -B G C Partners Class A Stock (common)	A	Dividend	J	T	Sold (part)	01/09/15	J	C	
57. -B P PLC ADR Stock (common)	A	Dividend			Sold	02/11/15	J		
58. -Guggenheim Exch TRD FD Bullet Shares	A	Dividend			Sold	06/18/15	K		
59. -Verizon Communications (common)	A	Dividend	J	T					
60. -Kraft Foods Group (common)	A	Dividend			Sold (part)	01/20/15	J	B	
61.					Sold	02/19/15	J	B	
62. -Meridian Bioscience Inc. (common)					Sold	01/05/15	J		
63. -Pattern Energy CL A (common)	A	Dividend	J	T	Sold (part)	01/21/15	J		
64.					Sold	05/21/15	J		
65.					Buy	10/05/15	J		
66. -Guggenheim Exch TRD FD Bulletshares 2018 Corp	B	Dividend	L	T					
67. -Potash Corp. Sask Inc. (common)	A	Dividend	J	T					
68. -Federated Invs PA CL B (common)	A	Dividend			Sold	06/02/15	J	B	

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69. -Lamar Advertising Co. A Class A (common)	A	Dividend	J	T					
70. -Vodafone Group New ADR F Sponsored ADF (common)	A	Dividend	J	T					
71. -Peoples United Finl Inc. (common)	A	Dividend	J	T					
72. -GEO Group Inc. (common)	A	Dividend	J	T					
73. -Kinder Morgan Inc. (common)	A	Dividend			Sold	12/09/15	J		
74. -Guggenheim ETF Bulletshares 2020 Corp.	B	Dividend	L	T					
75. -Oneok Inc. New (common)	A	Dividend	J	T	Sold (part)	10/05/15	J		
76. -Centerpoint Energy Inc. (common)	A	Dividend	J	T	Buy	01/05/15	J		
77. -Wynn Resorts (common)	A	Dividend			Buy	01/20/15	J		
78.					Sold	04/29/15	J		
79. -Ford Motor Company (common)	A	Dividend	J	T	Buy	02/11/15	J		
80. -Occidental Petroleum Corp (common)	A	Dividend	J	T	Buy	02/11/15	J		
81.					Sold (part)	09/22/15	J		
82. -Exelon Corporation (common)	A	Dividend			Buy	02/27/15	J		
83.					Sold (part)	09/02/15	J		
84.					Sold	12/04/15	J		
85. -Guggenheim ETF Bulletshares 2022 Corp	A	Dividend	K	T	Buy	02/25/15	K		

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3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

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86. -Valley National Banc (common)	A	Dividend	J	T	Buy	04/29/15	J		
87. -Spectra Energy Corp (common)	A	Dividend	J	T	Buy	06/02/15	J		
88.					Buy (add'l)	08/07/15	J		
89. -Doubleline Total Return TTCL ETF	A	Dividend	K	T	Buy	06/18/15	K		
90. -PPL Corporation (common)	A	Dividend	J	T	Buy	09/02/15	J		
91. -NRG Yield Inc. (common)	A	Dividend	J	T	Buy	09/22/15	J		
92. -Ventas Inc. REIT (common)	A	Dividend	J	T	Buy	09/10/15	J		
93. -Weyerhaeuser Co REIT (common)		None	J	T	Buy	11/10/15	J		
94. -Duke Energy Corp (common)		None	J	T	Buy	12/04/15	J		
95. -Blackstone MTG TR REIT (common)		None	J	T	Buy	11/30/15	J		
96.									
97. TIAA-CREF Retirement (Traditional)	C	Int./Div.	L	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **John E. Dowdell**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544