

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) HODGES, GEORGE R.	2. Court or Organization U.S. BANKRUPTCY COURT	3. Date of Report 06/15/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) JUDGE, Retired/Recalled	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address UNITED STATES COURTHOUSE 401 West Trade Street CHARLOTTE, NC 28202		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.		
2.		
3.		

FINANCIAL DISCLOSURE REPORT

Page 2 of 14

Name of Person Reporting

HODGES, GEORGE R.

Date of Report

06/15/2017

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME

(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

FINANCIAL DISCLOSURE REPORT

Page 3 of 14

Name of Person Reporting

HODGES, GEORGE R.

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06/15/2017

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Nationwide Ins. Co.	Triangle Properties Assoc. LLC secured by shopping center in Hickory, NC	P1
2.	Nationwide Ins. Co.	Note by JM Wallace Land Co. secured by shopping center in Iredell County, NC	P1
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 14

Name of Person Reporting

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06/15/2017

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Sonoco Products	C	Dividend	M	T					
2. Merrill Lynch int. bearing demand acct.	A	Interest	M	T					
3. Bristol Myers Squibb	B	Dividend	L	T					
4. Oracle Corp.	B	Dividend	M	T					
5. Lincoln Nat'l. Corp.	B	Dividend	M	T					
6. Altria Group	B	Dividend	L	T					
7. Coca Cola Co.	A	Dividend	K	T					
8. Merck	C	Dividend	M	T					
9. Microsoft	D	Dividend	N	T					
10. Duke Energy Corp.	A	Dividend	K	T					
11. General Electric Co.	C	Dividend	L	T					
12. Philip Morris Int'l. Inc.	C	Dividend	L	T					
13. ML CMA demand deposit	A	Interest	N	T					
14. N Carolina Estn MPA Sys	A	Interest	J	T					
15. CSX Corp.	B	Dividend	L	T					
16. IBM Corp.	B	Dividend	K	T					
17. Nash Hlth Cre Sys NCHCF	B	Interest	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 14

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18. Berkshire Hathaway CLB		None	M	T					
19. N. Car. MCC. Healthcare HFC	B	Interest	L	T					
20. Johnson + Johnson	B	Dividend	L	T					
21. American Balanced Fund	C	Interest	M	T					
22. American Fundamental	B	Interest	M	T					
23. First Eagle Overseas FD	A	Interest	L	T					
24. First Eagle Global FD	A	Interest	M	T					
25. JPMorgan Inc. Bldr. FD	B	Interest	L	T					
26. IRA - Cash/Money Mkt.	A	Interest	J	T					
27. IRA - American Capital Wld Fund	B	Interest	L	T					
28. IRA - American Cap Inc. Bldr.	B	Interest	L	T					
29. IRA - American Growth Fund	A	Interest	L	T					
30. 529 College Amer. Fund	B	Dividend	L	T					
31. American AMCAP 529A	A	Dividend	K	T					
32. American BDFD 529A	A	Dividend	J	T					
33. American CAP WRLD G&I 529A	A	Dividend	J	T					
34. Cisco Sys	C	Dividend	M	T					

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FINANCIAL DISCLOSURE REPORT

Page 6 of 14

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35. Emerson Elec	B	Dividend	L	T					
36. Nucor Corp.	B	Dividend	L	T					
37. American EUROPACIFIC 529A	A	Dividend	K	T					
38. American GR FD of Amer 529A	A	Dividend	K	T					
39. Amer. SMALLCAP World 529A	A	Dividend	K	T					
40. Amer. WA Mutual 529A	A	Dividend	J	T					
41. IRA -- BOA RASP	A	Interest	K	T					
42. IRA -- Altria Group	A	Dividend	K	T	Sold (part)	06/22/16	J	A	Merrill Lynch
43. IRA -- Bristol My. Sq.		None			Sold	01/11/16	J	A	Merrill Lynch
44. IRA -- Carnival Corp. Pr.	A	Dividend	K	T	Buy (add'l)	06/22/16	J		Merrill Lynch
45. IRA -- Chevron Corp.	A	Dividend	J	T	Buy (add'l)	07/20/16	J		Merrill Lynch
46. IRA -- Cinn. Fin. Crp.	A	Dividend	J	T					
47. IRA -- Cisco Sys. Inc.	A	Dividend	J	T	Buy (add'l)	01/06/16	J		Merrill Lynch
48. IRA -- Coca Cola Com	A	Dividend	J	T	Buy (add'l)	03/29/16	J		Merrill Lynch
49. IRA -- Conoco Phillips	A	Dividend			Sold	02/05/16	J	A	Merrill Lynch
50. IRA -- Corr. Corp. Am.	A	Dividend			Sold	10/12/16	J	A	Merrill Lynch
51. IRA -- Dominion Res.	A	Dividend	J	T					

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FINANCIAL DISCLOSURE REPORT

Page 7 of 14

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52. IRA -- Duke Energy	A	Dividend	J	T					
53. IRA -- Eli Lilly	A	Dividend	J	T	Buy (add'l)	01/06/16	J		Merrill Lynch
54. IRA -- Gen. Dynamics	A	Dividend	K	T	Buy (add'l)	01/06/16	J		Merrill Lynch
55. IRA -- Hasbro Inc.	A	Dividend	J	T	Buy (add'l)	01/06/16	J		Merrill Lynch
56.					Sold (part)	07/06/16	J	A	Merrill Lynch
57. IRA -- Intel Corp.	A	Dividend	J	T					
58. IRA -- Kinder Morgan	A	Dividend	J	T	Buy (add'l)	01/06/16	J		Merrill Lynch
59. IRA -- Lowes Cos.	A	Dividend	J	T	Sold (part)	07/20/16	J	A	Merrill Lynch
60. IRA -- Microsoft	A	Dividend	J	T	Buy (add'l)	06/22/16	J		Merrill Lynch
61. IRA -- Newmarket Corp.	A	Dividend	J	T	Buy (add'l)	01/06/16	J		Merrill Lynch
62. IRA -- Paychex Inc.	A	Dividend	J	T					
63. IRA -- Pfizer Inc.	A	Dividend	J	T	Buy (add'l)	01/06/16	J		Merrill Lynch
64. IRA -- Reynolds Am.	A	Dividend	J	T	Buy (add'l)	01/06/16	J		Merrill Lynch
65.					Sold (part)	04/01/16	J	A	Merrill Lynch
66. IRA -- Mosaic Co.	A	Dividend	J	T	Buy (add'l)	01/06/16	J		Merrill Lynch
67. IRA -- Verizon Commun.	A	Dividend	J	T	Buy (add'l)	07/19/16	J		Merrill Lynch
68. IRA -- Del. Value Fd.	B	Dividend	M	T					

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FINANCIAL DISCLOSURE REPORT

Page 8 of 14

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69. IRA -- Eaton Vance ATL	C	Dividend	L	T	Sold (part)	12/09/16	J	A	Merrill Lynch
70. IRA -- IShares Russell	D	Dividend	M	T					
71. IRA -- Loomis Sayles Bed. Fd.	E	Dividend			Sold	08/02/16	N	A	Merrill Lynch
72. IRA -- MFS Int'l Value	C	Dividend	M	T					
73. IRA -- Southern Co.	A	Dividend			Sold	10/25/16	J	A	Merrill Lynch
74. IRA -- H&R Block	A	Dividend	J	T	Buy (add'l)	02/03/16	J		Merrill Lynch
75. IRA -- Berkshire Hath.	A	Dividend	J	T	Buy	02/01/16	J		Merrill Lynch
76. IRA -- Diageo SPSD	A	Dividend	J	T	Buy	06/29/16	J		Merrill Lynch
77. IRA -- General Mills	A	Dividend	J	T	Buy	03/29/16	J		Merrill Lynch
78. IRA -- Merck & Co.	A	Dividend	J	T	Buy	01/07/16	J		Merrill Lynch
79. IRA -- Western Asset Bd.	A	Dividend	N	T	Buy	08/02/16	N		Merrill Lynch
80. IRA -- CA Inc.	A	Dividend	J	T	Buy (add'l)	01/06/16	J		Merrill Lynch
81. IRA -- CommSales&L	A	Dividend			Sold	02/18/16	J	A	Merrill Lynch
82. IRA -- Gen.Electric	A	Dividend	K	T					
83. IRA -- Norfolk So.	A	Dividend	K	T	Buy (add'l)	01/06/16	J		Merrill Lynch
84. Intel	B	Dividend	L	T					
85. Int'l Bkt Stepup Ins.		None	L	T					

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FINANCIAL DISCLOSURE REPORT

Page 9 of 14

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86. KinderMorgan	B	Dividend	K	T					
87. SpectraEnergy	C	Dividend	L	T					
88. SX5E Stepup 4/15		None	M	T					
89. SX5E Stepup 10/16		None	L	T					
90. IRA - BB&T variable rate time investment	A	Dividend	K	T					
91. BB&T demand deposit	A	Interest	N	T					
92. Merrill Lynch CMA	A	Interest	N	T					
93. Coca Cola Co.	D	Dividend	N	T					
94. Spectra Energy	C	Dividend	M	T					
95. Duke Energy Corp.	D	Dividend	M	T					
96. Exxon/Mobil Corp.	E	Dividend	O	T					
97. General Electric Co.	D	Dividend	N	T					
98. International Paper Co.	C	Dividend	M	T					
99. Sonoco Products	C	Dividend	M	T					
100. Schlumberger, Ltd.	B	Dividend	L	T					
101. Merck Co.	D	Dividend	N	T					
102. Triangle Properties Assoc., LLC	G	Distribution	P1	U					

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Page 10 of 14

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103. J.M. Wallace Land Co., LLC	G	Distribution	P1	U					
104. East Mecklenburg Co.	G	Distribution	O	U					
105. The Mason Wallace Co., LLC	G	Distribution	P1	U					
106. Indian Land, SC Investment Real Estate		None	N	W					
107. Microsoft	D	Dividend	N	T					
108. McDonalds Corp.	D	Dividend	M	T					
109. Verizon Comm.	D	Dividend	M	T					
110. AT&T	D	Dividend	M	T					
111. Phillips 66	C	Dividend	M	T					
112. Pfizer	D	Dividend	M	T					
113. Chevron Corp.	D	Dividend	M	T					
114. Express Scripts Hldg		None	K	T					
115. Conoco Phillips	C	Dividend	M	T					
116. Baxter Int'l.	B	Dividend	M	T					
117. Bristol Myers Squibb	D	Dividend	M	T					
118. Dominion Res.	D	Dividend	M	T					
119. SX5E Stepup		None	M	T					

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FINANCIAL DISCLOSURE REPORT

Page 11 of 14

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. Emerson Electric	B	Dividend	K	T					
121. IBM	C	Dividend	L	T					
122. Nucor Corp.	B	Dividend	L	T					
123. Lockheed Martin	D	Dividend	N	T					
124. Lowes Cos.	D	Dividend	N	T					
125. Pepsico	C	Dividend	M	T					
126. INTER VIVOS TRUST Dtd 11-22-89		None	L	T					
127. John Hancock Life Insurance Policy:		None	M	T					
128. BB&T Demand Deposit Account	A	Interest	J	T					
129. Prudential Financial	A	Dividend	K	T					
130. NY Life Fixed Annuity	D	Interest	M	T					
131. Fst. Eagle Global FD	B	Dividend	N	T					
132. Baxalta Inc.	A	Dividend			Donated (part)				
133.					Sold	06/06/16	N		Merrill Lynch
134. Catepillar Inc.	C	Dividend	L	T					
135. CSX Corp.	A	Dividend	K	T					
136. Intel Corp.	B	Dividend	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 12 of 14

Name of Person Reporting

HODGES, GEORGE R.

Date of Report

06/15/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. KinderMorgan	B	Dividend	L	T					
138. Price T. Rowe Grp.	B	Dividend	K	T					
139. Merrill Lynch Mgd. Acct.	E	Dividend	O	T					
140. Shire PLC-ADR	A	Dividend	J	T	Buy	06/07/16	J		Merrill Lynch

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 13 of 14

Name of Person Reporting

HODGES, GEORGE R.

Date of Report

06/15/2017

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

I. POSITIONS

Trustee Inter Vivos life insurance trust dated 11-22-89.

VII. INVESTMENTS and TRUSTS

Conflicts: I do not handle any disputes involving any company in which stock is owned (individually and as Trustee). Also, I do not handle any disputes involving closely held businesses or anything related thereto. Those matters are assigned to the other bankruptcy judges in the Western District of North Carolina. I no longer have a conflict on matters involving Bank of America, since my brother's retirement from it. The Committee on Codes of Conduct has determined that that is not a conflict for my hearing disputes involving BB&T on account of a brother-in-law's involvement on a "local board" of the bank.

Note: Income is received from several closely held family corporations including East Mecklenburg Corp. ("S" corporation), The Mason Wallace Co., LLC, J.M. Wallace Land Co., LLC, and Triangle Properties Associates, LLC, and real property owned in common. This is in the nature of investment income and is reported in PART VII. The corporations buy, sell and own real estate, but the stockholders do not control any of those decisions or assets individually.

I own two cows that produce calves that I sell. The cows have a value of \$1,000. During 2016, I sold two calves at the Chesnee Livestock Market for a total of \$547.

FINANCIAL DISCLOSURE REPORT

Page 14 of 14

Name of Person Reporting

HODGES, GEORGE R.

Date of Report

06/15/2017

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **S/ GEORGE R. HODGES**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544