

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Dillon, Elizabeth K.	2. Court or Organization U.S. District Court, Western District of Virginia	3. Date of Report 07/14/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address U.S. District Court, Western District of Virginia 210 Franklin Road, S.W., Suite 132 Roanoke, Virginia 24011		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

- | | |
|--------------------------------------|---|
| 1. President, director, and employee | Guynn & Dillon, P.C. - held position prior to taking the oath of office on February 16, 2015, and not thereafter. |
| 2. Managing member | Blueacre, L.L.C. - held position prior to taking the oath of office on February 16, 2015, and not thereafter. |
| 3. | |
| 4. | |
| 5. | |

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

- | | |
|----|--|
| 1. | |
| 2. | |
| 3. | |

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2015	Guyonn & Dillon, P.C. - salary prior to taking the oath of office on February 16, 2015.	\$16,539.95
2. 2015	Blueacre, L.L.C.	\$5,349.00
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2015	One Beacon Insurance Group - salary
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Brokerage Account #1									
2. Merrill Lynch Bank Deposit Program	A	Int./Div.	J	T					
3. AMEX Technology Select SPDR - XLK	A	Dividend	J	T	Buy	07/23/15	J		
4. Clearbridge Energy MLP F - CEM		None	J	T	Buy	12/03/15	J		
5. Eaton Vance National Municipal Opportunities Trust - EOT	A	Dividend	J	T	Buy	02/26/15	J		
6. Franklin High Yield Tax Free Inc Fund Adv CL - FHYVX	A	Dividend	J	T					
7. Henderson Global Equity Income FD Class I - HFQIX	A	Dividend	J	T	Buy	04/21/15	J		
8. Kayne Anderson MLP - KYN	A	Dividend			Sold (part)	04/21/15	J	A	
9.					Sold	12/03/15	J	A	
10. Loomis Sayles Strategic Income Fund CL Y - NEZYX	A	Dividend			Sold	04/21/15	J	A	
11. Mainstay Large CAP Growth Fund CL I - MLAIX		None			Sold	04/21/15	K	C	
12. Market Vectors Intermediate Municipal Index ETF - ITM	A	Dividend	J	T	Buy (add'l)	04/21/15	J		
13. Oppenheimer Developing Markets FD CL Y		None	J	T					
14. Oppenheimer International Growth Fund CL Y - OIGYX		None	J	T	Sold (part)	04/21/15	J	B	
15. Powershares Buyback Achvrs - PKW	A	Dividend	J	T	Buy	04/21/15	J		
16. Powershares S&P 500 High Dividend Portfolio - SPHD	A	Dividend			Buy	04/21/15	J		
17.					Sold	07/23/15	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000 J = \$50,001 - \$100,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. Sector SPDR Energy - XLE		None	J	T	Buy	12/03/15	J		
19. SPDR KBW Regional Banking ETF - KRE	A	Dividend	J	T	Buy	07/23/15	J		
20. Spider Nuveen Barclays ST Municipal Bond ETF - SHM	A	Dividend	J	T					
21. SPDR S P Dividend ETF - SDY	A	Dividend	J	T	Buy	04/21/15	J		
22. T Rowe Price Virginia Tax Free Bond Fund CL None - PRVAX	A	Dividend	K	T	Buy (add'l)	04/21/15	J		
23. Vanguard Value ETF - VTV	A	Dividend			Sold	04/21/15	K	C	
24. Vanguard Growth ETF - VUG	A	Dividend	J	T	Sold (part)	04/21/15	J	A	
25. Vanguard Dividend Appreciation ETF - VIG	A	Dividend	K	T	Sold (part)	04/21/15	J	B	
26. Wisdom Tree TR Midcap Div FD - DON	A	Dividend	J	T					
27. Brokerage Account #2									
28. AMEX Technology Select SPDR - XLK	A	Dividend	J	T	Buy	07/23/15	J		
29. Clearbridge Energy MLPF - CEM		None	J	T	Buy	12/14/15	J		
30. Eaton Vance Floating - EIBLX	A	Dividend	J	T					
31. Henderson Global Equity Income FD Class I - HFQIX	A	Dividend	J	T	Buy	04/20/15	J		
32. Kayne Anderson MLP - KYN	A	Dividend			Sold	04/20/15	J	A	
33. Kinder Morgan Inc Del - KMI	A	Dividend			Buy	04/20/15	J		
34.					Sold	12/14/15	J	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
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35. Loomis Sayles Bond FD INSTL CL - LSBDX	A	Dividend			Sold	04/20/15	J	A	
36. Metropolitan West Total Return Bond FD CL 1 - MWTIX	A	Dividend	J	T	Buy	04/20/15	J		
37.					Buy (add'l)	11/25/15	J		
38. Oppenheimer Developing - ODVYX	A	Dividend	J	T					
39. Oppenheimer International - OIGYX	A	Dividend	J	T	Sold (part)	04/20/15	J	A	
40. PIMCO Income Fund CLP - PONPX	A	Dividend	J	T	Buy (add'l)	11/23/15	J		
41. Powershares Buyback Achvrs - PKW	A	Dividend	J	T	Buy	04/20/15	J		
42. Powershares S&P 500 High Dividend Portfolio - SPHD	A	Dividend	J	T	Buy	04/20/15	J		
43.					Buy (add'l)	07/23/15	J		
44. Sector SPDR Energy - XLE	A	Dividend	J	T	Buy	12/14/15	J		
45. SPDR KBW Regional Banking ETF - KRE	A	Dividend	J	T	Buy	07/23/15	J		
46. SPDR S P Divid ETF - SDY	A	Dividend	J	T	Buy	04/20/15	J		
47. TCW Total Return Bond FD CL 1 - TGLMX	A	Dividend	J	T	Buy	11/23/15	J		
48. Prudential Short Term - PIFZX	A	Dividend			Sold	04/20/15	J	A	
49. Vanguard Value ETF - VTV	A	Dividend			Sold	04/20/15	K	C	
50. Vanguard Growth ETF - VUG	A	Dividend	J	T	Sold (part)	04/20/15	J	B	
51. Vanguard Dividend Appreciation ETF - VIG	A	Dividend	K	T	Sold (part)	04/20/15	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52. Vanguard Intermediate Term Bond ETF - BIV	A	Dividend			Buy (add'l)	04/20/15	J		
53.					Sold	11/23/15	J	A	
54. Vanguard Short Term Bond - BSV	A	Dividend			Sold (part)	04/20/15	J	A	
55.					Sold	11/23/15	J		
56. Vanguard Short-Term Corporate Bond - VCSH	A	Dividend	J	T	Buy	04/20/15	J		
57. Wisdom Tree TR Midcap Div FD - DON	A	Dividend	J	T					
58. Bank of America NA RASP	A	Interest	J	T					
59. Brokerage Account #3									
60. Bank of America NA RASP	A	Interest	K	T					
61. ClearBridge Energy MLPF - CEM		None	J	T	Buy	12/04/15	J		
62. Eaton Vance Floating Rate Fund CL I - EIBLX	A	Dividend	J	T	Buy (add'l)	04/24/15	J		
63. Henderson Global Equity Income FD Class I - HFQIX	A	Dividend	J	T	Buy	04/24/15	J		
64. Kayne Anderson MLP - KYN	A	Dividend	J	T	Sold (part)	04/24/15	J	A	
65.					Sold (part)	12/14/15	J	A	
66. Kinder Morgan Inc Del - KMI	A	Dividend			Buy	04/24/15	J		
67.					Sold	12/14/15	J	A	
68. Loomis Sayles Bond FD INSTL CL - LSBDX	A	Dividend			Sold	04/24/15	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	L = \$50,001 - \$100,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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69. Metropolitan West Total Return Bond FD CL I - MWTIX	A	Dividend	K	T	Buy	04/24/15	J		
70.					Buy (add'l)	11/23/15	J		
71. Oppenheimer Developing Markets FL CL Y - ODVYX		None	J	T					
72. Oppenheimer International Growth Fund CL I - OIGYX		None	J	T	Sold (part)	04/24/15	J	A	
73. PIMCO Income Fund CL P - PONPX	B	Dividend	K	T	Buy (add'l)	11/23/15	J		
74. Powershares Buyback Actvrs - PKW	A	Dividend	J	T	Buy	04/24/15	J		
75. Powershares S&P 500 High Dividend Portfolio - SPHD	A	Dividend	K	T	Buy	04/24/15	K		
76.					Sold (part)	07/23/15	J	A	
77. Prudential Short Term Corporate Bond Fund Inc - PIFZX	A	Dividend			Sold	04/24/15	K	A	
78. Sector SPDR Energy - XLE	A	Dividend	J	T	Buy	12/14/15	K		
79. SPDR KBW Regional Banking ETF - KRE	A	Dividend	J	T	Buy	07/23/15	J		
80.					Buy (add'l)	12/14/15	J		
81. SPDR S P Divid ETF - SDY	A	Dividend	K	T	Buy	04/24/15	K		
82. TCW Total Return Bond FD CL I - TGLMX	A	Dividend	J	T	Buy	11/23/15	J		
83. Vanguard Dividend Appreciation ETF - VIG	A	Dividend	K	T	Sold (part)	04/24/15	J		
84. Vanguard Growth ETF - VUG	A	Dividend	K	T	Sold (part)	04/24/15	K	C	
85. Vanguard Intermediate Term Bond ETF - BIV	B	Dividend	K	T	Sold (part)	11/23/15	K	A	

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86. Vanguard Short Term Bond - BSV	A	Dividend	K	T	Sold (part)	11/19/15	J	A	
87. Vanguard Short Term Corporate Bond - VCSH	A	Dividend	K	T	Buy	04/24/15	K		
88. Vanguard Value ETF - VTV	A	Dividend			Sold	04/24/15	K	D	
89. Wisdom Tree TR Midcap Div FD - DON	A	Dividend	J	T	Sold (part)	04/24/15	J	A	
90. Wells Fargo cash accounts	A	Interest	K	T					
91. IRA Account #1									
92. OneBeacon Company Stock Fund	A	Dividend	K	T	Buy (add'l)	03/02/15	J		
93. Vanguard Target Retirement 2025		None	M	T	Buy (add'l)	04/02/15	J		
94.					Buy (add'l)	04/16/15	J		
95.					Buy (add'l)	04/30/15	J		
96.					Buy (add'l)	05/13/15	J		
97.					Buy (add'l)	05/28/15	J		
98.					Buy (add'l)	06/11/15	J		
99.					Buy (add'l)	06/24/15	J		
100.					Buy (add'l)	07/08/15	J		
101.					Buy (add'l)	07/22/15	J		
102.					Buy (add'l)	08/05/15	J		

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
103.					Buy (add'l)	08/18/15	J		
104.					Buy (add'l)	09/02/15	J		
105.					Buy (add'l)	09/16/15	J		
106.					Buy (add'l)	09/29/15	J		
107. IRA Account #2									
108. The Growth Fund of America	E	Dividend	N	T	Buy	01/30/15	J		
109.					Buy	03/16/15	J		
110. John Hancock Universal Life		None	L	T					
111. US Savings Bonds Series EE		None	J	T					
112. US Savings Bonds Series E		None	J	T					
113. Blueacre, L.L.C.		None			Sold	02/13/15	J		Jim H. Guynn, Jr.
114. Guynn & Dillon, P.C. (1/3 ownership interest		None			Sold	02/01/15	J		Guynn & Dillon, P.C.

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Dillon, Elizabeth K.

Date of Report

07/14/2016

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Dillon, Elizabeth K.

Date of Report

07/14/2016

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Elizabeth K. Dillon

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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