

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Carr, James M.	2. Court or Organization Bankruptcy Court for the Southern District of Indiana	3. Date of Report 09/21/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address Room 322 46 E. Ohio St United States Courthouse Indianapolis , In 46204		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Partner	Baker & Daniels LLP
2.	Partner	Faegre Baker Daniels LLP
3.	Director	WFYI Foundation , Inc.
4.	Director	Board of Visitors Maurer School of Law , Indiana University
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2012	Faegre Baker Daniels LLP , former law firm, payout of capital account and retirement benefit , no control
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.

2.

3.

4.

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1. Indiana Continuing Legal
Education

5/8/14-5/9/14

French Lick , Indiana

Chair CLE seminar

meals , hotel , and seminar registration

2.

3.

4.

5.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. 401 K account Schwab Retirement Services, Inc., Vanguard Target Retir	C	Int./Div.	K	T					
2.									
3. The Ball & Biscuit LLC	A	Dividend	J	U					
4. Lawyer Metrics, LLC	A	Int./Div.	J	U					
5. BROKERAGE MONEY MARKET - Dreyfus Corporation	A	Int./Div.	K	T					
6. BROWN CAP MGMT MUT FDS SML CO INSTL	B	Int./Div.	K	T					
7. CASH - Dreyfus Corporation	A	Int./Div.	J	T					
8. COLUMBIA ACORN INTERNATIONAL FUND-Z ACINX	C	Int./Div.	L	T					
9. DIVERSIFIED BOND American Century ADFIX	B	Int./Div.	M	T					
10. DODGE & COX INCOME FUND DODIX	C	Int./Div.	M	T					
11. FIDELITY ADV GOVERNMENT INCOME FVIIIX	B	Int./Div.	L	T					
12. FIDELITY ADV MID CAP II I FIIMX	D	Int./Div.	L	T					
13. FIDELITY ADV NEW INSIGHTS I FINSX	D	Int./Div.	M	T					
14. HARBOR BOND INST HABDX	C	Int./Div.	L	T					
15. INCOME FUND CLASS P PONPX	D	Int./Div.	M	T					
16. JOHN HANCOCK DISCIPLINED VALUE MID CAP FUND CLASS JVMIX	B	Int./Div.	L	T					
17. LOW DURATION FUND CLASS P PLDPX	C	Int./Div.	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. MFS INTERNATIONAL GROWTH FUND-I MQGIX	B	Int./Div.	M	T					
19. MFS VALUE FUND-I MEIIX	D	Int./Div.	M	T					
20. NEW WORLD FUND-F2 NFFFX	D	Int./Div.	M	T					
21. NFJ DIVIDEND VALUE A PNEAX	B	Int./Div.	M	T					
22. NORTHERN SMALL CAP VALUE FUND NOSGX	B	Int./Div.	K	T					
23. PIMCO COMMODITIES PLUS STRATEGY FUND CLASS P PCLPX	D	Int./Div.	L	T					
24. POWERSHARES QQQ TRUST UNIT SER I QQQ	B	Int./Div.	M	T					
25. PRINCIPAL HIGH YIELD (P) PYHPX	C	Int./Div.	L	T					
26. REAL ESTATE REACX American Century Investments	B	Int./Div.	M	T					
27. REAL RETURN - INST HARRX Harbor Funds	C	Int./Div.	L	T					
28. SCOUT INTERNATIONAL FUND UMBWX	D	Int./Div.	L	T					
29. SPDR S&P 500 ETF TR TR UNIT SPY	C	Int./Div.	M	T					
30. TEMPLETON GLBL BND FUND - ADVISOR CLAS TGBAX	C	Int./Div.	L	T					
31. THE OAKMARK INTERNATIONAL FUND CLASS I OAKIX	D	Int./Div.	L	T					
32. Brokerage Money Mgt - Dreyfus Corporation	A	Int./Div.	L	T					
33. PIMCO ALL ASSET A PASAX	E	Int./Div.	N	T					
34.									

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35.									
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ James M. Carr**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544