

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Harwell, Robert B.	2. Court or Organization U.S. District Court of S.C.	3. Date of Report 05/06/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Article III Judge, Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address 401 West Evans Street McMillan Federal Bldg Florence, SC 29501		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Officer/Director	Harwell Farms and Investment, Inc.
2.	Personal Representative / Executor	Estate of David W. Harwell
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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Name of Person Reporting

Harwell, Robert B.

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 12/31/18	South Carolina Judges - Solicitors Retirement System Survivors Annuity (named beneficiary of monthly survivor annuity from deceased father)	\$19,458.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Wells Fargo & Co Common Stock (and former Wachovia Bank Stock)	A	Dividend	J	T					
2. Autoliv Inc. Common Stock	A	Dividend	J	T					
3. First Reliance Bank "Account"	C	Interest	M	T					
4. Raymond James/Cantella/Bank of India New York "Account"	A	Interest	M	T	Matured	11/21/18			
5. The Bank of Clarendon "Account"	A	Interest	M	T					
6. South State Bank "Account"	C	Interest	M	T			N		
7. PNC Bank "Account"	C	Interest	M	T					
8. Anderson Brothers Bank "Account"	B	Interest	M	T					
9. Conway National Bank "Account"	A	Interest	M	T					
10. Carolina Bank "Account"	A	Interest			Matured	12/21/18			
11. BB&T Bank "Accounts"	A	Interest	N	T					
12. Harwell Farms & Investment 12 1/2% 12/31/04 compiled \$31M	A	Dividend	K	U					
13. PCDG, LLC (10%) 1998 & 2000 \$32,000	E	Distribution	K	R					
14. SC Future Scholar Plan #1, Age-Based Moderate Track.no control		None	K	T	Redeemed (part)	07/19/18	J		
15. SC Future Scholar Plan #2, Age-Based Moderate Track.no control		None	K	T	Redeemed (part)	08/30/18	J		
16. Claussen Farms, Florence, SC (1999 - \$125,000)		None	M	R					
17. SC Tuition Prepayment Program #1 (no control)					Redeemed	12/31/18	J		

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes	F = \$50,001 - \$100,000 J = \$15,000 or less (See Columns C1 and D3)	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	P4 = More than \$50,000,000
3. Value Method Codes	Q = Appraisal (See Column C2)	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. SC Tuition Prepayment Program #2 (no control)		None	J	T	Redeemed	12/31/18	J		
19. Wells Fargo Beneficiary IRA- First Clearing LLC (H)									
20. -Cash account(Wells Fargo cash and sweep balance)		None	J	T					
21. -Bank of America stock	A	Dividend	K	T					
22. -BB&T stock	B	Dividend	L	T					
23. -Duetsche Inv. Trust Core Equity Fund	A	Dividend	K	T					
24. Edward Jones Beneficiary IRA(H)									
25. -Amcap Fund - Class A	A	Dividend	K	T	Redeemed (part)	11/26/18	J		
26. -Capital Income Builder Class A	A	Dividend	L	T	Redeemed (part)	11/26/18	J		
27. -Europacific Fund- Class A	A	Dividend	K	T	Redeemed (part)	11/26/18	J		
28. -Income Fund of America - Class A	B	Dividend	L	T	Redeemed (part)	11/26/18	J		
29. -New World Fund - Class A	A	Dividend	J	T	Redeemed (part)	11/26/18	J		
30. -Small Cap World Fund - Class A	A	Dividend	J	T	Redeemed (part)	11/26/18	J		
31. -New Economy Fund - Class A	A	Dividend	K	T	Redeemed (part)	11/26/18	J		
32. Estate #1 (50%)(H)									see Note #1
33. -Wells Fargo cash account	A	Interest	M	T					
34. -Bell Legal Group Georgetown SCAccounts Receivable		None	J	W					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. Wells Fargo Securities Account(H)									
36. -Wells Fargo Cash Account	A	Interest	N	T					
37. -BB&T Corp Common Stock	D	Dividend	N	T					
38. -Toronto Dominion Bank Common stock	A	Dividend	J	T					
39. -Bank of America Common stock	A	Dividend	K	T					
40. -American Express Co. Common Stock	A	Dividend	K	T					
41. -Ameriprise Financial Inc. Common Stock	A	Dividend	J	T					
42. -Chevron Corp. Common Stock	A	Dividend	J	T					
43. -Corning Inc. Common Stock	A	Dividend	J	T					
44. -Fannie Mae Common Stock		None	J	T					
45. -Home Depot Inc. Common Stock	A	Dividend	J	T					
46. -Medtronic PLC Common Stock	A	Dividend	J	T					
47. -Target Corp Common Stock	A	Dividend	K	T					
48. -Deutsche Invst TR Core Equity FD Class S	B	Int./Div.	L	T					
49. -High 50 Dividend Strategy Series 15-3Q CA	A	Int./Div.	J	T					
50. A Services, LLC & ASG Services LLC (17500units)	C	Distribution	J	V					
51. PershingLLC(Cetera Advisors)IRA#1(H)									

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52. -AT&T common Stock					Sold	12/24/18	J	A	
53. -Altria Group Inc common stock		None	J	T					
54. -Amgen Inc common stock	A	Dividend	J	T					
55. -Apple Inc common stock	A	Dividend	J	T					
56. -Apple Inc common stock	A	Dividend	K	T	Buy	09/05/18	J		
57. -BP PLC Spon ADR common stock	B	Dividend	J	T					
58. -Centurylink common stock	B	Dividend	K	T	Buy	12/24/18	J		
59. -Centurylink common stock	B	Dividend	J	T	Buy	09/05/18	J		
60. -Citigroup Inc common stock	A	Dividend	J	T					
61. -Duke Energy Corp common stock	A	Dividend	J	T					
62. -General Mills Inc.commonstock	A	Dividend	J	T					
63. -Johnson&Johnson common stock	A	Dividend	J	T					
64. -Phillip Morris Intl. Inc common stock	A	Dividend	J	T					
65. -Southern Co. common stock					Sold	09/05/18	J	A	
66. -Verizon Comm common stock	A	Dividend	J	T					
67. -Pimco Income Fund Class A	C	Dividend	L	T					
68. -Cash Account(Pershing LLC-Flexinsured FDIC acct)	A	Interest	J	T					

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69. Pershing LLC(Cetera Advisors) IRA#2(H)					Open				
70. -Cash Acct(PershingLLC-Flexinsured FDIC acct)	A	Interest	K	T					
71. -Apple Inc common stock	A	Dividend	K	T					
72. -BP PLC Spons ADR	B	Dividend	K	T					
73. -Boeing Co. common stock	A	Dividend	K	T					
74. -Bristol Myers Squibb common stock	A	Dividend	J	T					
75. -Cisco Systems Inc common stock	A	Dividend	K	T					
76. -Coca Cola Co. common stock	A	Dividend	K	T					
77. -Duke Energy common stock	A	Dividend	K	T					
78. -General Electric Co. common stock					Sold	12/24/18	J		
79. -General Mills Inc. common stock	A	Dividend	J	T					
80. -Verizon Comm.common stock	A	Dividend	K	T					
81. -Pimco Income Fund Class A	C	Dividend	M	T					
82. Pershing LLC(Cetera Advisors) Individual Account #1 (H)									
83. -Cash Account (PershingLLC-Flexinsured FDICacct)	A	Interest	J	T					
84. -Ally BK Sandy Utah CTF	B	Interest	M	T	Buy	09/14/18	M		
85. -Altria Group common stock		None	K	T	Buy	02/09/18	K		

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86.	-Toronto Dominion BK common stock		None	K	T	Buy	02/09/18	K	
87.	-DFA Tax Managed US Marketwide Value Portfolio II	B	Int./Div.	L	T				
88.	Pershing LLC(Cetera Advisors) Individual Account # 2 (H)								
89.	-Ally BK Sandy Utah CTF	B	Interest	L	T	Buy	02/15/18	L	
90.									
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1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Note #1. I am 50% beneficiary of gross values shown

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Robert B. Harwell**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544