

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) King, Leo L.	2. Court or Organization U.S. District Court, W.D. Ky.	3. Date of Report 07/28/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge, full-time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address United States District Court 501 Broadway Paducah, Ky, 42001		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2016

Sherman, Carter, Barnhart, PSC, Salary

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Paducah Bank and Trust, Checking Account	A	Interest	K	T					
2. BROKERAGE ACCOUNT #1									
3. -Wells Fargo Money Market Account	A	Interest	L	T					
4. -AT&T Stock	C	Dividend	L	T					
5. -Abbot Laboratories Stock	A	Dividend	K	T					
6. -Abbvie Inc Stock	B	Dividend	K	T					
7. -Archer Daniels Midland Stock	B	Dividend	L	T					
8. -Bristol Myers Squibb Stock	C	Dividend	M	T					
9. -Cegene Corp Stock	A	Dividend	N	T					
10. -Chevron Corp Stock	C	Dividend	L	T					
11. -Comcast Corp Stock	A	Dividend	K	T					
12. -Corning Inc Stock	A	Dividend	K	T					
13. -Disney Stock	C	Dividend	M	T					
14. -Exxon Stock	B	Dividend	L	T					
15. -GE Stock	B	Dividend	L	T					
16. -General Mills Stock	C	Dividend	L	T					
17. -Halliburton Co Stock	A	Dividend	K	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
(See Column C2)	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
	U = Book Value	V = Other	W = Estimated		

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18. -Hasbro Inc Stock	B	Dividend	K	T					
19. -Intell Corp Stock	C	Dividend	M	T					
20. -IBM Stock	C	Dividend	L	T					
21. -McCormick & Co Inc Stock	B	Dividend	L	T					
22. -Merk & Co. Stock	C	Dividend	L	T					
23. -Microsoft Stock	C	Dividend	M	T					
24. -Oracle Corp Stock	B	Dividend	L	T					
25. -PNC Financial Services Stock	A	Dividend	K	T					
26. -Nuveen Invt Fds Inc Real Estate Secs Fund CI A	C	Dividend	M	T					
27. -PIMCO All Asset Authority Fund Class C	C	Dividend	M	T					
28. -PIMCO FD PAC INVT MGMT, All Asst Fund Class C	C	Dividend	M	T					
29. -Principal Invs Fd Real Estate Secs Fd CI A	B	Dividend	M	T					
30. -Principal Global Div Income Fd	D	Dividend	M	T					
31. -Proctor & Gamble Stock	C	Dividend	M	T					
32. -Southern Company Stock	B	Dividend	K	T					
33. -Vodafone Group Stock	B	Dividend	K	T					
34. -Verizon Stock	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35. -Louisville/Jefferson Cnty Ky Metro Gvt Pkg ath riv fr city	A	Interest			Redeemed	12/30/16	K	A	
36. -Shelby Cnty Ky sch dist fin corp sch bldg rev b/e	A	Interest			Redeemed	02/01/16	K	A	
37. BROKERAGE ACCOUNT #1A									
38. -Prince Georges Cnty MD Pub Impt Ser Bond, [REDACTED]	A	Interest	J	T	Buy	09/09/16	J		
39. -KY St Pty & Bldgs Commn Revs Bond, [REDACTED]	A	Interest	J	T	Buy	04/15/16	J		
40. -Kenton Cnty Ky Sch Dist Bond, [REDACTED]	A	Interest	J	T	Buy	02/22/16	J		
41. -KY St Pty & Bldgs Bond, [REDACTED]	A	Interest	J	T	Buy	03/28/16	J		
42. -Harris Cnty Tx Mun Util Bond, [REDACTED]	A	Interest	J	T	Buy	02/19/16	J		
43. -Henry Cnty Ky Sch Dist Bond, [REDACTED]	A	Interest	J	T	Buy	03/21/16	J		
44. -Leitchfield KY RFDG SER Bond, [REDACTED]	A	Interest	J	T	Buy	05/02/16	J		
45. -KY St Pty & Bldgs Bond, [REDACTED]	A	Interest	J	T	Buy	03/23/16	J		
46. -New Braunfels Tx CTF OBLG Bond, [REDACTED]	A	Interest	J	T	Buy	10/05/16	J		
47. -Harris Cnty Tx Mun Util Bond, [REDACTED]	A	Interest	J	T	Buy	02/23/16	J		
48. -Western Ky Univ Rvs Bond, [REDACTED]	A	Interest	J	T	Buy	04/05/16	J		
49. -Fayette Cnty Ky Sch Dist Bond, [REDACTED]	A	Interest	J	T	Buy	04/01/16	J		
50. -Hardin Cnty Ky Sch Dist Bond, [REDACTED]	A	Interest	J	T	Buy	07/05/16	J		
51. -Bullitt Cnty Ky Sch Dist Bond, [REDACTED]	A	Interest	J	T	Buy	02/23/16	J		

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52. -Montgomery Cnty Tx Mun Bond, ██████████	A	Interest	J	T	Buy	08/29/16	J		
53. -Washington DC Met Area Tran Auth Bond, ██████████	A	Interest	J	T	Buy	04/11/16	J		
54. -Ky St PPT & Bldgs Bond, ██████████	A	Interest	J	T	Buy	04/15/16	J		
55. -Boone Cnty Ky Sch Dist Bond, ██████████	A	Interest	J	T	Buy	04/11/16	J		
56. -Fayette Cnty Ky Sch Dist Fin Corp Bond, ██████████	A	Interest	J	T	Buy	07/20/16	J		
57. -Miami Dade Cnty FL W&S Bond, ██████████	A	Interest	J	T	Buy	08/16/16	J		
58. -Harris Cnty Tx Mun Util Bond, ██████████	A	Interest	J	T	Buy	02/19/16	J		
59. -Brushy Creek Regl Util Auth Tx Bond, ██████████	A	Interest	J	T	Buy	08/25/16	J		
60. -Ky St PPTY & Bldgs Bond, ██████████	A	Interest			Buy	02/26/16	J		
61.					Redeemed	02/15/16	J	A	
62. -Fairview PA Sch Dist Bond, ██████████	A	Interest			Buy	03/02/16	J		
63.					Redeemed	08/01/16	J	A	
64. -Russellville Ky W&S Bond	A	Interest			Buy	07/20/16	J		
65.					Redeemed	10/03/16	J	A	
66. BROKERAGE ACCOUNT #2									
67. -Guggenheim S&P Small Cap 600 Pure Growth	A	Dividend			Sold	02/08/16	J	A	
68. -Powershares QQQ	A	Dividend			Sold	02/08/16	K	A	

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69. -Schwab US Mid-Cap	A	Dividend			Sold	12/29/16	K	B	
70. -Vanguard Mid-Cap Growth Fund	A	Dividend			Sold	02/08/16	K	A	
71. -Guggenheim FDS TR Mid Cap Value Ser	A	Dividend			Sold	02/08/16	J	A	
72. --Delaware Group Equity FDS II Value Fd	A	Dividend			Sold	12/29/16	K	B	
73. -Federated Equity FDS Absolute Return Fund	A	Dividend			Sold (part)	02/08/16	J	A	
74.					Sold	12/29/16	K	A	
75. -Financial Invs Trust Emerald Growth Fund	A	Dividend			Buy (add'l)	02/08/16	J		
76.					Sold	02/29/16	K	A	
77. -Bond Fund of Amer the Class F2	A	Dividend			Sold	02/08/16	J	A	
78.					Buy	02/25/16	J		
79.					Sold	12/29/16	J	A	
80. -American Funds Growth Fund of America	A	Dividend			Buy (add'l)	02/08/16	K		
81.					Buy (add'l)	12/22/16	J		
82.					Sold	12/29/16	L	B	
83. -Harbor Fd Cap Appreciation FD	A	Dividend			Sold	12/29/16	K	A	
84. -American Funds New World Fund	A	Dividend			Sold	12/29/16	K	A	
85. -American Funds Washington Mutual FD F2	A	Dividend			Sold	12/29/16	K	A	

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86. -American Europacific Growth Fun CL F2	A	Dividend			Buy (add'l)	02/25/16	J		
87.					Sold	12/29/16	K	A	
88. -Nuveen Invst FDS Reas Estate SECs	A	Dividend			Sold (part)	02/08/16	J	A	
89.					Sold	12/29/16	K	A	
90. -Virtus Emerging Markets Opportunities FND	A	Dividend			Sold (part)	02/08/16	J	A	
91.					Sold	12/29/16	J	A	
92. -Smallcap World FD CL F2	A	Dividend			Sold	12/29/16	K	A	
93. -Victory Portfolios Munder Mid Cap Core Growth	A	Dividend			Sold	12/29/16	J	A	
94. -Blackrock FDS Mid Cap Growth Fund	A	Dividend			Buy	02/08/16	J		
95.					Sold	12/29/16	J	B	
96. -Ishares ETF Russell Midcap Growth Fund	A	Dividend			Buy	02/08/16	K		
97.					Sold	12/29/16	K	C	
98. -Ishares ETF Russell Top 200 Growth	A	Dividend			Buy	02/25/16	K		
99.					Sold	12/29/16	K	C	
100. -Vanguard Long Term Govt Bond Fund	A	Dividend			Buy	02/08/16	K		
101.					Sold	12/29/16	K	A	
102. -Goldman Sachs Square Money Mkt Fund	A	Dividend			Buy	02/08/16	J		

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2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
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103.					Sold	11/09/16	J	A	
104. IRA #1									
105. -Europacific Growth Fd, cl A	A	Dividend	J	T					
106. -Growth Fund America, Cl A	A	Dividend	J	T					
107. -New Economy Fund SBI Cl A	A	Dividend	J	T					
108. -Smallcap World Fd A	A	Dividend	J	T					
109. -Wash Mutl Invs Fd Inc, Cl A	A	Dividend	J	T					
110. IRA #2									
111. -Guggenheim S&P Small Cap 600 Pure Growth	A	Dividend			Sold	02/08/16	J	A	
112. -Powershares QQQ	A	Dividend			Sold	02/08/16	J	A	
113. -Schwab US Mid-Cap	A	Dividend			Sold	02/25/16	J	A	
114. -Vanguard Mid-Cap Growth Fund	A	Dividend			Sold	02/08/16	J	A	
115. -American Cap World Bd Class F2	A	Dividend			Sold	02/09/16	J	A	
116. -Blackrock FDS Mid Cap Growth Port	A	Dividend			Buy (add'l)	02/09/16	J		
117.					Sold	12/29/16	J	A	
118. -Federated Equity FDS Absolute Return Fund	A	Dividend			Sold	02/09/16	J	A	
119. -Fidelity Advisor Emerging Markets	A	Dividend			Sold	02/09/16	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. -Fidelity Advisor SER II Short Fixed Income Fd	A	Dividend			Sold	02/09/16	J	A	
121. -Bond Fund of AmericaClass F2	A	Dividend			Sold	02/09/16	J	A	
122. -American Funds Growth Fund of America	A	Dividend			Buy (add'l)	02/09/16	J		
123.					Sold (part)	02/25/16	J	A	
124.					Sold	12/29/16	K	A	
125. -American Funds New World Fund CL F2	A	Dividend			Buy (add'l)	02/09/16	J		
126.					Sold	12/29/16	J	A	
127. -American Funds Washington Mutual Fd	A	Dividend			Sold	12/29/16	K	A	
128. -Janus Invt Fd Flexible Bd Fd Class I (In 80 from 2014 disclosure)	A	Dividend			Sold	02/09/16	J	A	
129. -Metropolitan West FDS Total Return BD	A	Dividend			Sold	02/09/16	J	A	
130. -JPMorgan TR I Interpid Value Fd Select	A	Dividend			Buy (add'l)	02/09/16	J		
131.					Sold	12/29/16	J	A	
132. -JP Morgan TR II Core Bd Fd Select	A	Dividend			Sold	02/09/16	J	A	
133. -American Europacific Growth Fu CL F2	A	Dividend			Buy (add'l)	02/09/16	J		
134.					Sold	12/29/16	K	A	
135. -Nuveen Invt Fds Real Estate SECs Fund	A	Dividend			Sold	02/09/16	J	A	
136. -Virtus Emerging Markets Opportunities Fnd	A	Dividend			Sold	02/09/16	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. -Pimco FDS Income Fund Class P	A	Dividend			Sold	02/10/16	J	A	
138. -Smallcap World Fd	A	Dividend			Buy (add'l)	02/09/16	J		
139.					Sold	12/29/16	J	A	
140. -TRowe Price Blue Chip Growth Fund	A	Dividend			Sold	02/25/16	J	A	
141. -Ishares ETF Russell Midcap Growth Fund	A	Dividend			Buy	02/08/16	J		
142.					Sold	12/29/16	J	A	
143. -Vanguard Long Term ETF Govt Bond fund	A	Dividend			Buy	02/08/16	J		
144.					Sold	12/29/16	J	A	
145. -Goldman Sachs TR Finl Square Money Mkt fd	A	Dividend			Buy	02/09/16	J		
146.					Sold	11/10/16	J	A	
147. -Ishares ETF Russell Top 200 Growth fund	A	Dividend			Buy	02/25/16	J		
148.					Sold	12/29/16	J	A	
149. -Energy Select Sector SPDR fund	A	Dividend	J	T	Buy	12/29/16	J		
150. -Industrial Select Sector SPDR fund	A	Dividend	J	T	Buy	12/29/16	J		
151. -Ishares Core S&P Smallcap fund	A	Dividend	K	T	Buy	12/29/16	K		
152. -Ishares Core US Aggregate Bond fund	A	Dividend	K	T	Buy	12/29/16	K		
153. -Ishares S&P Midcap 400 value fund	A	Dividend	K	T	Buy	12/29/16	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. -Ishares S&P Midcap 400 growth fund	A	Dividend	K	T	Buy	12/29/16	K		
155. -Powershares Em Mar Sov De PT fund	A	Dividend	J	T	Buy	12/29/16	J		
156. -SectorSPDR Tr Technology Select sector fund	A	Dividend	K	T	Buy	12/29/16	K		
157. -Select Sector SPDR TR Real Estate Select Sector fund	A	Dividend	J	T	Buy	12/29/16	J		
158. -Select Sector SPDR TR Financial fund	A	Dividend	J	T	Buy	12/29/16	J		
159. -Select Sector SPDR Consumer Staples	A	Dividend	J	T	Buy	12/29/16	J		
160. -Select Sector SPDR Fd Materials fund	A	Dividend	J	T	Buy	12/29/16	J		
161. -Select Sector SPDR FD Health care fund	A	Dividend	J	T	Buy	12/29/16	J		
162. -Select Sector SPDR Fd consumer discretionary fund	A	Dividend	J	T	Buy	12/29/16	J		
163. -SPDR Bloomberg Barclays high yield bond fund	A	Dividend	J	T	Buy	12/29/16	J		
164. -Vanguard FTSE Developeped Marktets fund	A	Dividend	K	T	Buy	12/29/16	K		
165. -Vanguard Intl Equity Index fds ftse emerging markets	A	Dividend	K	T	Buy	12/29/16	K		
166. -Vanguard REIT fund	A	Dividend	J	T	Buy	12/29/16	J		
167. TRUST #1									
168. -Wells Fargo Money Mrkt Account	A	Int./Div.	K	T					
169. -Ishares Russell Top 200 Growth fund	A	Dividend	J	T	Buy	02/25/16	J		
170. -Ishares Russell Midcap Growth fund	A	Dividend	J	T	Buy	02/08/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. -Guggenheim S&P Small ET Cap Pure Growth	A	Dividend			Sold	02/08/16	J	A	
172. -Powershares QQQ TR	A	Dividend			Sold	02/08/16	J	A	
173. -Schwab US Mid Cap ET	A	Dividend	J	T					
174. -Vanguard Mid-cap Growth Fund	A	Dividend			Sold	02/08/16	J	A	
175. -American Cap World Bd	A	Dividend	J	T					
176. -BlackrockFDS Mid Cap Growth Port	A	Dividend	J	T					
177. -Federated Equity FDS Absolute Return Fund	A	Dividend	J	T					
178. -Fidelity Advisor Emerging Mrkts	A	Dividend	J	T					
179. -Fidelity Advisor Ser I Short Fixed Income FD	A	Dividend	J	T					
180. -Bond Fund of America, CI A	A	Int./Div.	J	T					
181. -American Funds Growth Fund of America (Ln 105 of 2014 disclosure)	A	Dividend	J	T					
182. -American Funds New World FD	A	Dividend	J	T					
183. -American Funds Washington Mutual FD	A	Dividend	J	T					
184. -Janus Invt FD Flexible BD FD	A	Dividend	J	T					
185. -Metropolitan West FDS Total Return BD FD	A	Dividend	J	T					
186. -JP Morgan TR I Intrepid Value FD	A	Dividend	J	T					
187. -JP Morgan TR II Core BD FD Select CL	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. -American Europacific Growth Fd, C1 A (Ln 104 of 2014 disclosure)	A	Dividend	J	T					
189. -Nuveen Invt FDS Real Estate SEC's Fund	A	Dividend	J	T					
190. -Virtus Emerging Markets Opportunities FND	A	Dividend	J	T					
191. -Pimco FDS Income Fund Class P	A	Dividend	J	T					
192. -SmallCAP World FD Inc CL F2	A	Dividend	J	T					
193. -T Rowe Price Blue Chip Growth Fund	A	Dividend	J	T					
194. -Goldman Sachs TR Fin Square money market fd	A	Dividend			Buy	02/09/16	J		
195.					Sold	11/10/16	J	A	
196. TRUST #2									
197. -Well Fargo Money Mrkt Account	A	Interest	J	T					
198. -Energ Select Sector SPDR	A	Dividend	J	T	Buy	12/29/16	J		
199. -Industrial Select Sector SPDR	A	Dividend	J	T	Buy	01/29/16	J		
200. -Ishares Agency Bodn fund	A	Dividend	J	T	Buy	12/29/16	J		
201. -Ishares US Preferred Stock fund	A	Dividend	J	T	Buy	12/29/16	J		
202. -Ishares 7-10 yr treasury bond fund	A	Dividend	J	T	Buy	12/29/16	J		
203. -Ishares Core S&P Midcap fund	A	Dividend	K	T	Buy	12/29/16	K		
204. -Ishares Core S&P small cap fund	A	Dividend	J	T	Buy	12/29/16	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. -Ishares Core US Aggregate Bond fund	A	Dividend	K	T	Buy	12/29/16	K		
206. -Ishares JP Morgan USD Emerging Markets Bond fund	A	Dividend	J	T	Buy	12/29/16	J		
207. -Ishares MBS MBB fund	A	Dividend	J	T	Buy	12/29/16	J		
208. -Ishares Tip Bond fund	A	Dividend	J	T	Buy	12/29/16	J		
209. -Powershares Em Mar Sov De Pt fund	A	Dividend	J	T	Buy	12/29/16	J		
210. -Sector SPDR Tr Technology Select sector fund	A	Dividend	J	T	Buy	12/29/16	J		
211. -Select Sector SPDR Tr Real Estate Select Sector SPDR Fd	A	Dividend	J	T	Buy	12/29/16	J		
212. -Select Sector SPDR TR Financial fund	A	Dividend	J	T	Buy	12/29/16	J		
213. -Select Sector SPDR Consumer Staples fund	A	Dividend	J	T	Buy	12/29/16	J		
214. -Select Sector SPDR Fd Materials	A	Dividend	J	T	Buy	12/29/16	J		
215. -Select Sector SPDR fd health care	A	Dividend	J	T	Buy	12/29/16	J		
216. -Select Sector SPDR fd consumer discretionary	A	Dividend	J	T	Buy	01/29/16	J		
217. -SPDR Bloomberg Barclays high yield bond fund	A	Dividend	J	T	Buy	12/29/16	J		
218. -Vanguard FTSE Developed Markegts ETF fund	A	Dividend	J	T	Buy	12/29/16	J		
219. -Vanguard Intermediate Term Corp bond fund	A	Dividend	J	T	Buy	12/29/16	J		
220. -Vanguard Intl Equity Index fds ftse emerging mkts	A	Dividend	J	T	Buy	12/29/16	J		
221. -Vanguard REIT VNQ fund	A	Dividend	J	T	Buy	12/29/16	J		

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222. -Guggenheim S&P Small cap 600 pure growth	A	Dividend			Sold	02/08/16	J	A	
223. -Powershares QQQ TR Series 1	A	Dividend			Sold	02/08/16	J	A	
224. -Schwab US Mid-Cap	A	Dividend			Sold	12/29/16	J	A	
225. -Vanguard Mid Cap Growth Fund	A	Dividend			Sold	02/08/16	J	A	
226. -American Cap World Bd	A	Dividend			Sold	12/29/16	J	A	
227. -Blackrock FDS Mid Cap Growth Port	A	Dividend			Sold	12/29/16	J	A	
228. -Federated Equity FDS Absolute Return Fund	A	Dividend			Sold	12/29/16	J	A	
229. -Fidelity Advisor Emerging Mkts	A	Dividend			Sold	12/29/16	J	A	
230. -Fidelity AdvisorSER II Short Fixed Incom FD	A	Dividend			Sold	12/29/16	J	A	
231. -Bond Fund of America, CI A	A	Dividend			Sold	12/29/16	J	A	
232. -American Funds Growth Fund America, CI A (Ln 112 of 2014 disclosure)	A	Dividend			Sold	12/29/16	J	A	
233. -American Funds New World Fund	A	Dividend			Sold	12/29/16	J	A	
234. -American Funds Washington Mutual FD	A	Dividend			Sold	12/29/16	J	A	
235. -Janus Invst Fd Flexible Bd Fd	A	Dividend			Sold	12/29/16	J	A	
236. --Metropolitan West Fds Total Treturn Bd Fd	A	Dividend			Sold	12/29/16	J	A	
237. -JPMorgan TR I Intrepid Value Fd	A	Dividend			Sold	12/29/16	J	A	
238. -JPMorgan TR II Core Bd Fd Select	A	Dividend			Sold	12/29/16	J	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

239. -American Europacific Growth (In 111 on 2014 disclosure)	A	Dividend			Sold	12/29/16	J	A	
240. -Nuveen Invt FDS Reas Estate SECs Fund	A	Dividend			Sold	12/29/16	J	A	
241. -Virtus Emerging Markets Opportunities Fnd	A	Dividend			Sold	12/29/16	J	A	
242. -Pimco Fds Income Fund Class P	A	Dividend			Sold	12/29/16	J	A	
243. -Smallcap World Fd CL F2	A	Dividend			Sold	12/29/16	J	A	
244. -T Rowe Price Blue Chip Growth Fund	A	Dividend			Sold	12/29/16	J	A	
245. -Vanguard Long Term Government Bond fund	A	Dividend			Buy	02/08/16	J		
246.					Sold	12/29/16	J	A	
247. -Goldman Sachs TR Fin Square Money Market fund	A	Dividend			Buy	02/08/16	J		
248.					Sold	12/29/16	J	A	
249. -Ishares Russell Mid Cap Growth fund	A	Dividend			Buy	02/08/16	J		
250.					Sold	12/29/16	J	A	
251. -Ishares Russell Top 200 Growth fund	A	Dividend			Buy	02/08/16	J		
252.					Sold	12/29/16	J	A	
253. 401(k) #1									
254. -American Funds Income Fnd R3	E	Dividend	M	T					
255. Royal Crown Bottling Corp (2011 Appraisal)	A	Distribution	P1	Q					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

256. RC Transportation Co. (2010 Appraisal)	G	Distribution	O	Q					
257. Rental Agricultural Property, Henderson County, Ky (\$57,036)	E	Rent	K	S					
258. POP Investments, LLC (Aggregate Ownership Arrangement)									
259. -Dodge & Cox Income Fd	A	Dividend	L	T	Buy	12/06/16	L		
260. -Doubleline Total Return Bond fund	B	Dividend	M	T	Buy	04/14/16	M		
261. -Principal Preferred Securities Inst	A	Dividend	J	T					
262. -Touchstone Flexible Income Fund	B	Dividend	K	T					
263. -Signet Jewelers Stock	A	Dividend			Sold	12/12/16	K	A	
264. -Allegion Pub LTD stock	A	Dividend	J	T	Buy	01/12/16	J		
265. -Chubb Limited Stock	A	Dividend	J	T	Buy	01/19/16	J		
266. -AT&T Inc stock	A	Dividend	J	T	Buy	12/08/16	J		
267. -Alexion Pharmaceuticals Stock	A	Dividend			Sold	12/12/16	K	A	
268. -Alliant Corp Stock	B	Dividend	K	T					
269. -Amazon Stock	A	Dividend	K	T					
270. -Anheuser Busch Inbev Stock	A	Dividend	K	T	Buy	12/12/16	K		
271. -Apple Inc. Stock	A	Dividend	K	T					
272. -Autozone Inc Stock	A	Dividend			Sold	01/08/16	K	D	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

273. -Big Lots Inc stock	A	Dividend	K	T	Buy	03/07/16	K		
274.					Buy (add'l)	03/08/16	J		
275. -Biogen Inc stock	A	Dividend	K	T	Buy	10/05/16	K		
276. -Celgene Corp Stock	A	Dividend	L	T	Sold (part)	12/08/16	J	D	
277. -Chevron Corp Stock	B	Dividend	K	T					
278. -Chubb Corp Stock	A	Dividend			Sold	01/19/16	J	A	
279. -Clorox Co Stock	A	Dividend			Sold	01/25/16	K	E	
280. -ConocoPhillips Stock	A	Dividend	J	T					
281. -Deere & Co Stock	A	Dividend			Sold	01/12/16	J	A	
282. -Duke Energy Corp Stock	A	Dividend	J	T					
283. -East West Bancorp Inc Stock	A	Dividend	K	T					
284. -Exxon Mobil Corp Stock	A	Dividend	K	T					
285. -FMC Technologies Inc. Stock	A	Dividend			Sold	10/05/16	K	A	
286. -Seafarer Overseas Gr and Income fund	B	Dividend	L	T	Buy	10/31/16	K		
287. -General Dynamics Corp stock	A	Dividend	K	T	Buy	08/24/16	K		
288. -General Electric Co Stock	A	Dividend	K	T					
289. -Gilead Sciences Inc Stock	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
290. -HD Supply Hldgs inc stock	A	Dividend	K	T	Buy	06/24/16	K		
291. -Intel Corp Stock	A	Dividend	K	T					
292. -IBM Stock	B	Dividend	K	T					
293. -Ishares Core S&P Mid-Cap	A	Dividend	K	T	Buy	12/08/16	K		
294.					Buy (add'l)	12/12/16	K		
295. -JP Morgan Chase & Co. Stock	A	Dividend	K	T					
296. -Johnson & Johnson Stock	B	Dividend	K	T					
297. -Magna Intl Inc Stock	A	Dividend			Sold	12/12/16	K	A	
298. -Monster Beverage Corp Stock	A	Dividend	K	T	Sold (part)	08/12/16	J	D	
299. -National-Oilwell Inc. Stock	A	Dividend			Sold	10/05/16	J	A	
300. -Novartis A G Stock	B	Dividend			Sold	08/24/16	K	D	
301. -Occidental Pete Corp Stock	A	Dividend	J	T					
302. -Oracle Corp Stock	A	Dividend	K	T					
303. -PNC Financial Services Group Stock	A	Dividend			Sold	10/05/16	K	A	
304. -Packaging Corp Amer Stock	A	Dividend	K	T					
305. -Procter & Gamble Co	A	Dividend	K	T					
306. -Regal Beloit Corp	A	Dividend			Sold	06/24/16	K	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
307. -SBA Communications Corp Stock	A	Dividend	J	T					
308. -Sherman Williams Co Stock	A	Dividend	J	T					
309. -Spectra Energy Corp	B	Dividend	K	T					
310. -Trinity Inds Inc Stock	A	Dividend	J	T					
311. -Tupperware Brands Corp. Stock	B	Dividend			Sold	10/05/16	K	B	
312. -Tyson Foods Inc. Stock	A	Dividend			Sold	03/07/16	K	D	
313. -US Bankcorp Del Stock	A	Dividend			Sold	10/05/16	K	D	
314. -Vanguard Small Cap Value ETF	A	Dividend	K	T					
315. -Virtus Insight Stock	A	Dividend			Sold	12/06/16	J	A	
316. -Walgreens Boots Alliance Inc stock	A	Dividend	J	T	Buy	12/12/16	J		
317. -Fifth Third Banksafe Trust Money Market Acct	A	Interest	K	T					
318. TRUST #3									
319. -Fifth Third Banksafe Trust Money Market Account	A	Interest	K	T					
320. -Dodge & Cox Income fd	A	Dividend	J	T	Buy	12/08/16	J		
321. -Doubleline Total Return Bond I	C	Dividend	L	T	Buy (add'l)	04/14/16	L		
322. -Principal Preferred Securities	A	Dividend	J	T	Buy	04/14/16	K		
323.					Sold (part)	12/08/16	K	A	

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
324. -Touchstone Flexible Income Fund	B	Dividend			Sold	07/25/16	K	B	
325. -Allegion Pub Ltd stock	A	Dividend	J	T	Buy	01/12/16	J		
326. -Accenture PLC Class A Stock	A	Dividend	K	T					
327. -Chubb Limited stock	A	Dividend	J	T	Buy	01/19/16	J		
328. -Signet Jewelers Stock	A	Dividend			Buy (add'l)	10/05/16	J		
329.					Sold	12/12/16	K	A	
330. -Anheuser Busch Invbev stock	A	Dividend	J	T	Buy	12/12/16	J		
331. -AT&T Stock	A	Dividend	K	T					
332. -Alexion Pharmaceuticals Stock	A	Dividend			Sold	12/12/16	K	A	
333. -Amazon Comm Inc. Stock	A	Dividend			Sold	03/16/16	K	E	
334. -Apple Inc Stock	A	Dividend	K	T					
335. -Big Lots Inc stock	A	Dividend	K	T	Buy	03/07/16	K		
336.					Buy (add'l)	03/08/16	J		
337. -Biogen IDEC stock	A	Dividend	J	T					
338. -Celgene Corp Stock	A	Dividend	J	T					
339. -Chubb Corp stock	A	Dividend			Sold	01/19/16	K	D	
340. -Citigroup Inc Stock	A	Dividend	J	T	Buy	12/20/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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341. -Conoco Phillips Stock	A	Dividend	K	T					
342. -Danaher Corp stock	A	Dividend	J	T	Buy	12/12/16	J		
343. -Deere & Co Stock	A	Dividend			Sold	01/12/16	K	D	
344. -Discover Finanacial Services stock	A	Dividend	J	T	Buy	12/20/16	J		
345. -East West Bancorp stock	A	Dividend	J	T	Buy	10/05/16	J		
346. -Ecolab Inc Stock	A	Dividend	K	T					
347. -General Dynamics Corp	A	Dividend	J	T	Buy	10/05/16	J		
348. -Gilead Sciences Inc Stock	A	Dividend	J	T					
349. -HD Supply Hldgs inc stock	A	Dividend	J	T	Buy	10/05/16	J		
350. -Home Depot Inc stock	A	Dividend	J	T	Buy	08/25/16	J		
351. -Ishares Core S&P Mid-cap fund	A	Dividend	J	T	Buy	12/12/16	J		
352. -Ishares core S&P small-cap fund	A	Dividend	J	T	Buy	12/12/16	J		
353. -Johnson & Johnson Stock	A	Dividend	K	T					
354. -Mastercard Inc Stock	A	Dividend	J	T					
355. -McKesson Corp Stock	A	Dividend	J	T					
356. -Medtronic Inc. Stock	A	Dividend			Sold	03/16/16	J	A	
357. -Microsoft Corp Stock	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
358. -Monster Beverage Corp Stock	A	Dividend	K	T	Sold (part)	08/12/16	J	C	
359. -Morgan Stanley Stock	A	Dividend			Sold	08/25/16	J	A	
360. -Nextera Energy Inc Stock	A	Dividend	K	T					
361. -Occidental Pete Corp Stock	A	Dividend	J	T					
362. -Procter & Gamble Co stock	A	Dividend	J	T	Buy	10/05/16	J		
363. -Royal Dutch Shell stock	A	Dividend	J	T	Buy	10/05/16	J		
364. -Sherman Williams Co. Stock	A	Dividend	J	T					
365. -Tyson Foods Inc. Stock	A	Dividend			Sold	03/07/16	K	D	
366. -Verizon Communications Stock	A	Dividend	K	T					
367. -Walgreens Boots Alliance Inc	A	Dividend	J	T	Buy	12/12/16	J		
368. -Litman Gregory Masters Alt Strategies Instl	A	Dividend			Sold	03/14/16	K	A	
369. -UBS E-Tracs Alerian ML: Infrastr Etn-Etf	B	Dividend	K	T					
370. -Vanguard REIT fund	A	Dividend	K	T	Buy	12/12/16	K		
371. -Voya Equity r Real Est Fd	A	Dividend			Sold	12/08/16	K	A	
372. -Schwab 1000 Index Fund	B	Dividend	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

King, Leo L.

Date of Report

07/28/2017

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Line 46 of my 2015 disclosoure should have stated "sold" rather than "sold(part)". All shares of Janus Invst Fd, fllexible Bd class 1 were sold on 10/28/2015. Value Code should have been "K".

NEW NOTE FOR AMENDED REPORT:

This amended report is filed in response to letter of inquiry dated July 25, 2017. Line 97 of my 2016 annual report erroneously stated "sold part" instead of "sold". All shares of Ishares ETF Russell Midpcap Growth Fund were sold on the date listed. Line 97 has been corrected in this amended report.

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

King, Leo L.

Date of Report

07/28/2017

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Leo L. King**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
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