

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Brown, Elizabeth E.	2. Court or Organization U.S. Bankruptcy Court for the District of Colorado	3. Date of Report 08/08/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address 721 19th Street Denver, CO 80202		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Trustee	Trust #1
2. Adjunct Professor	University of Colorado School of Law
3. Adjunct Professor	University of Denver Sturm College of Law
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2017	American Bankruptcy Law Journal - future Editor-in-Chief
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 8

Name of Person Reporting

Brown, Elizabeth E.

Date of Report

08/08/2018

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2017	University of Colorado School of Law - Teaching	\$9,000.00
2. 2017	University of Denver Sturm College of Law - Teaching	\$6,000.00
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2017	Self-employed (psychotherapist)
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 8

Name of Person Reporting

Brown, Elizabeth E.

Date of Report

08/08/2018

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

FINANCIAL DISCLOSURE REPORT

Page 4 of 8

Name of Person Reporting

Brown, Elizabeth E.

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08/08/2018

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. 1st Bank of Republic Plaza N.A. (cash) (Y)									
2. TRUST #1 (H)									
3. John Hancock Universal Life Insurance Policy		None	L	T					
4. 1st Bank of Republic Plaza N.A. (cash) (Y)									
5. Metlife stock (X)	A	Dividend	J	T					
6. IRA #1 (H)									
7. RBC/Wells Fargo Deposit Acct (cash) (Y)									
8. Fundamental Investors CI A (ANCFX)	B	Dividend	K	T					
9. Fundamental Investors Inc CI F1 (AFIFX)	B	Dividend	K	T					
10. Growth Fund of Amer (GFAFX)	C	Dividend	L	T					
11. Investment Co. of Amer CI A (AIVSX)	B	Dividend	K	T					
12. Investment Co of Amer CI F1 (AICFX)	B	Dividend	K	T					
13. Washington Mutual Inv (WSHFX)	B	Dividend	K	T					
14. Cap World Grwth & Incm (CWGLX)	B	Dividend	K	T					
15. New Perspective Fund CI A (ANWPX)	B	Dividend	K	T					
16. New Perspective Fund CI F1 (NPFFX)	B	Dividend	K	T					
17. iShares TR (TIP)	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 8

Name of Person Reporting

Brown, Elizabeth E.

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Amer. Balanced CI A (ABALX)	A	Dividend	K	T					
19. Amer Balanced Fd CI F1 (BALFX)	B	Dividend	K	T					
20. Gov't Nat'l Mtg. Assn (Y)									
21. IRA #2 (H)									
22. RBC Bank Deposit Program	A	Dividend	K	T					
23. Advisors Inner Circle Fd (CIPMX)		None			Sold	03/09/17	J	C	
24. AMG GWK US Sm Cap Grwth I (ATSIX) (formerly Aston Sm Cap Fd (ATASX))	A	Dividend	J	T					
25. AMG Mngrs Mntg & Clldwl Grwth (MCGIX) (formerly Ashton Growth Fd)	A	Dividend	J	T					
26. Artisan Partners Funds Val Fund (APDLX) (formerly Artisan Value Fund)	B	Dividend	J	T					
27. Dodge & Cox Stock Fd (DODGX)	B	Dividend	K	T					
28. iShares Core S&P Small Cap (IJR)	A	Dividend	J	T	Buy	03/09/17	J		
29. iShares Core S&P 500 (IVV)	A	Dividend	J	T	Buy	03/09/17	J		
30. Ivy Lg Cap Gwth Fd (IYGIX)	A	Dividend	J	T					
31. Riverpark Wedgewood Fund (RWGIX)	B	Dividend	J	T					
32. SPDR S&P 500 ETR (SPY)	A	Dividend	J	T					
33. Thornburg Intl Value Fd (TGVIX)	C	Dividend	K	T					
34. iShares 3-7 yr. Treasury (IEI)	A	Dividend	K	T					

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(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 6 of 8

Name of Person Reporting

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Date of Report

08/08/2018

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35. Loomis Sayles Inv (LSIIX)	A	Dividend	J	T					
36. Income Fund of Am (AMECX) (Y)									
37. Int'l Growth & Income (IGAAX) (Y)									
38. CollegeInvest Colorado 529 College Savings Plan (H)									
39. Scholars Choice College Savings Prgm - Age Based		None	K	T	Distributed (part)	09/07/17	J		

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FINANCIAL DISCLOSURE REPORT

Page 7 of 8

Name of Person Reporting

Brown, Elizabeth E.

Date of Report

08/08/2018

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Line 1: Consolidation of 2016, lines 3, 4, 32, and 33.

Part VII, line 3: See 2016, line 1.

Part VII, line 7: See 2016, line 12.

Part VII, lines 8 and 9: See 2016, line 5.

Part VII, line 11 and 12: See 2016, line 7.

Part VII, lines 15 and 16: See 2016, line 9.

Part VII, lines 18 and 19: See 2016, line 11.

Part VII, line 22: See 2016, lines 18 and 31.

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 42 of the filing instructions.

FINANCIAL DISCLOSURE REPORT

Page 8 of 8

Name of Person Reporting

Brown, Elizabeth E.

Date of Report

08/08/2018

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Elizabeth E. Brown

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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