

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Hardiman, Thomas M.	2. Court or Organization U. S. Court of Appeals	3. Date of Report 07/14/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Circuit Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address 2270 United States Courthouse 700 Grant Street Pittsburgh, PA 15219		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Director	Big Brothers Big Sisters of Greater Pittsburgh, Inc.
2.	Trustee	St. Edmund's Academy
3.	Trustee	Foundation
4.	Adjunct Law Professor (pro bono)	Duquesne Law School
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME

(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2013

The First City Company

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	Academy of Trial Lawyers of Allegheny County	Honorary Membership	\$600.00
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. PNC Checking Account	A	Interest	K	T					
2. Capital One Savings Acct.	B	Interest	N	T					
3. PNC Money Market Acct.	A	Interest	N	T					
4. Vanguard Prmpcp Mut. Fund (MF)	A	Dividend	K	T					
5. Fulton Financial Stk (CS)	A	Dividend	J	T					
6. WELLS FARGO EQUITY ACCOUNT									
7. Wells Fargo MM	A	Interest	M	T					
8. Altisource Asset Management		None			Sold	05/06/13	J	D	
9. Altisource Portfolio Solutions CS		None	K	T					
10. Altisource Resident - Class B		None			Sold	04/24/13	J	A	
11. AIG Group	A	Dividend	L	T	Sold (part)	11/21/13	K	D	
12. Apple	A	Dividend	L	T	Buy	01/14/13	J		
13. Appel	A	Dividend	L	T	Buy	02/24/13	K		
14. Bank New York Mellon CS	A	Dividend	K	T					
15. Barrick Gold Corp CS	A	Dividend	J	T					
16. Berkshire Hathaway		None	L	T					
17. Chemtura		None	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. Cisco Systems CS	B	Dividend	K	T					
19. Citigroup	A	Dividend	K	T					
20. Clearwire Corp. CS		None			Sold	07/12/13	J	A	
21. Corning CS	A	Dividend	K	T					
22. Direct TV CS		None	K	T	Sold (part)	05/06/13	J	C	
23. Dish Network CS		None	L	T					
24. Disney CS	A	Dividend	K	T					
25. Domtar Corp CS	A	Dividend	K	T	Sold (part)	10/22/13	J	C	
26. Ebay CS		None	K	T	Sold (part)	01/17/13	J	C	
27. Express Scripts		None	K	T	Buy	02/27/13	K		
28. Express Scripts		None	K		Buy	04/12/13	J		
29. Express Scripts		None	K		Buy	09/20/13	J		
30. Goldman Sachs	A	Dividend	K	T	Sold (part)	10/29/13	K	B	
31. Google		None	M	T					
32. Health Net		None			Sold	03/07/13	K	C	
33. JP Morgan Chase CS	B	Dividend	L	T					
34. Kinross Gold_lorp		None	K	T	Buy	12/17/13	K		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. Mastercard	A	Dividend	K	T	Sold (part)	01/09/13	K	C	
36. Mastercard	A	Dividend	K	T	Sold (part)	08/17/13	J	D	
37. Mastercard	A				Sold	10/17/13	K	E	
38. Metropsc Communications (became T.Mobile)		None	K	T	Buy	05/01/13	K		
39. Newscorp CLA		None	J	T					
40. Newscorp CLB		None	K	T	Spinoff (from line 39)	06/19/13	K		
41. Newmont Mining	A	Dividend	K	T	Buy	03/26/13	K		
42. T. Mobile (previously Metropsc Communications)		None	L	T	Spinoff (from line 38)	05/01/13	L		
43. Time Warner (new)	A	Dividend	L	T	Sold (part)	05/02/13	K	D	
44. Time Warner	A	Dividend	L	T	Sold (part)	10/22/13	J	C	
45. TRW Automotive Holdings		None	K	T					
46. US Bancorp CS	A	Dividend	K	T	Sold (part)	07/23/13	K	C	
47. Fairchild Semiconductor					Sold	08/03/13	K	D	
48. WELLS FARGO BOND ACCOUNT									
49. Wells Fargo MM	A	Interest	K	T					
50. RBS Capital Funding f/k/a ABN Amro Cap VII	B	Dividend	K	T					
51. American Int'l Grp	B	Interest			Sold	06/17/13	K	A	

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52. Barclays Bank PLC Pfd. Stk (PF)	B	Dividend	K	T					
53. Deutsche Bank	B	Interest	K	T					
54. Duke Energy PF	A	Dividend	J	T					
55. Eaton Vance En. Equity MF	A	Dividend	J	T					
56. Eaton Vance Gr. India MF		None	K	T					
57. Guggenheim Credit Allocation Fd	C	Dividend	K	T	Buy	06/25/13	K		
58. Investment Co. of America MF	A	Dividend	L	T					
59. Renaissance Re Hldgs PF	A	Interest			Sold	06/27/13	J	A	
60. Spectra Energy Corp PF	A	Dividend	J	T					
61. Washington Mutual Invest. MF	B	Dividend	L	T					
62. Capital World Income MF	A	Dividend	K	T					
63. Europacific Growth MF	A	Dividend	K	T					
64. New Perspective FDA MF	A	Dividend	K	T					
65. Lord Abbett Inv. Trust C class	B	Dividend	L	T					
66. Lord Abbett Inv. Trust Class A	D	Dividend	M	T					
67. 401(k) ACCOUNT #1A									
68. Lg. Value Equity MF (Dodge & Cox)	A	Dividend	K	T					

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69. Lg. Value Growth (T. Rowe Price)	A	Dividend	K	T					
70. Mid Cap Value (Perkins Mid Cap Value)	A	Dividend	J	T					
71. International Value II (Dodge & Cox)	A	Dividend	J	T					
72. International Growth (Jamns Overseas)	A	Dividend	J	T					
73. 401(k) ACCOUNT #2									
74. Merrill Lynch	A	Interest	J	T					
75. LSI (f/k/a Agere Systems) CS		None	J	T					
76. Central Europe & Russia MF	A	Dividend	J	T					
77. Century Link	A	Dividend	J	T				C	
78. Citigroup CS		None	J	T					
79. Dow Chemical CS	A	Dividend			Sold	12/31/13			
80. General Electric CS	A	Dividend	J	T					
81. Intel CS	A	Dividend			Sold	06/03/13		B	
82. Merck CS	A	Dividend	J	T					
83. Nektar Therapeutics CS		None	J	T					
84. Panacos Pharm. CS		None	J	T					
85. Pfizer CS	A	Dividend	J	T					

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86. Sirius CS		None	J	T					
87. Wilsons Leather CS		None	J	T					
88. TRUST #1									
89. Wells Fargo Trust Account	A	Interest	K	T					
90. AMCAP MF	A	Dividend	L	T					
91. Capital World Gr. & Income MF	B	Dividend	L	T					
92. Europacific Growth MF	A	Dividend	K	T					
93. Fundamental Inv. MF	A	Distribution	L	T					
94. Growth Fund of America MF		None	L	T					
95. Investment Co. of America MF	B	Dividend	L	T					
96. New Perspective MF	A	Dividend	M	T					
97. Washington Mutual Inv. MF	B	Dividend	L	T					
98. TRUST #2									
99. Wells Fargo Trust Account	A	Interest	K	T					
100. AMCAP MF	A	Dividend	L	T					
101. Capital World Gr. & Income MF	B	Dividend	L	T					
102. Europacific Growth MF	A	Dividend	L	T					

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103. Fundamental Inv. MF	A	Dividend	L	T					
104. Growth Fund of America MF		None	L	T					
105. Investment Co. of America MF	A	Dividend	K	T					
106. New Perspective MF	A	Dividend	L	T					
107. Washington Mutual Inv. MF	A	Dividend	L	T					
108. TRUST #3									
109. Wells Fargo Trust Account	A	Interest	L	T					
110. AMCAP MF	A	Dividend	K	T					
111. Capital World Gr. & Income MF	B	Dividend	L	T					
112. Europacific Growth MF	A	Dividend	L	T					
113. Fundamental Inv. MF	A	Dividend	K	T					
114. Growth Fund of America MF		None	K	T					
115. Investment Co. of America MF	A	Dividend	K	T					
116. New Perspective MF	A	Dividend	L	T					
117. Washington Mutual Inv. MF	A	Dividend	K	T					
118. TRUST #4 (REDACTED) #3)									
119. Wells Fargo Trust Account	A	Interest	J	T					

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120. AMCAP MF	A	Dividend	M	T					
121. Fundamental Inv. MF	B	Dividend	M	T					
122. Growth Fund of America MF	A	Dividend	M	T					
123. Investment Co. of America MF	B	Dividend	M	T					
124. Lord Abbet Inv. Trust	A	Dividend	K	T					
125. New Perspective MF	A	Dividend	L	T					
126. Washington Mutual Inv. MF	B	Dividend	M	T					
127. TRUST #5									
128. Wells Fargo Bank Account	B	Interest	M	T					
129. AMCAP MF	A	Dividend	K	T					
130. Capital Income Builder MF	A	Dividend	K	T					
131. Capital World Gr. & Income MF	A	Dividend	K	T					
132. Eaton Vance Gr. India MF		None	K	T					
133. INTL Growth & Income MF	A	Dividend	K	T					
134. Fundamental INVS Inc. MF	A	Dividend	K	T					
135. Growth Fund of America MF		None	K	T					
136. Investment Co. of America MF	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. JJ Lorick LLC (X)		None	P1	T					
138. New Perspectives FD Inc. MF	A	Dividend	K	T					
139. Altisource Asset Management		None			Sold	05/06/13	J	B	
140. Altisource Portfolio CS		None	K	T					
141. Altisource Residential Corp		None			Sold	04/24/13	J	A	
142. AIG Group	A	Dividend	K	T	Sold (part)	11/21/13	J	C	
143. Apple Inc.	A	Dividend	K	T	Buy	01/14/13	J		
144. Appel Inc.	A	Dividend	K	T	Buy	04/24/13	J		
145. Bank of NY Mellon	A	Dividend	K	T					
146. Barrick Gold CS	A	Dividend	J	T					
147. Berkshire Hathaway		None	K	T					
148. Chemtura		None	K	T					
149. Cisco Systems CS	A	Dividend	K	T					
150. Citigroup	A	Dividend	K	T					
151. Clearwire CS		None			Sold	07/12/13	J	A	
152. Corning	A	Dividend	J	T					
153. Direct TV CS		None	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. Dish Network CS		None	K	T					
155. Domtar	A	Dividend	J	T	Sold (part)	10/22/13	J	B	
156. Ebay CS		None	J	T	Sold (part)	01/17/13	J	B	
157. Express Scripts		None	K	T	Buy	02/27/13	J		
158. Express Scripts		None	K	T	Buy	04/12/13	J		
159. Express Scripts		None	K	T	Buy	09/20/13	J		
160. Goldman Sachs	A	Dividend	K	T	Sold (part)	10/29/13	J	A	
161. Google		None	K	T					
162. Healthnet		None			Sold	03/07/13	J	B	
163. JPMorgan Chase CS	A	Dividend	K	T	Sold (part)	03/14/13	J	C	
164. Mastercard	A	Dividend	K	T	Sold (part)	01/09/13	J	B	
165. Mastercard	A	Dividend	K	T	Sold (part)	08/08/13	J	B	
166. Mastercard	A				Sold	10/17/13	K	C	
167. Metrepcs Comm (became T.Mobile)		None	K	T	Buy	05/01/13	J		
168. Newscorp - CLA		None	J	T					
169. Newscorp - CLB		None	J	T	Spinoff (from line 168)	06/19/13	J		
170. Time Warner, Inc. New	A	Dividend	J	T	Sold (part)	05/02/13	J	B	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. TRW Automotive Holdings		None	K	T					
172. Twenty First Century Fox		None	K	T	Spinoff (from line 168)	07/23/13	J		
173. US Bancorp CS	A	Dividend	K	T	Sold (part)	07/23/13	J	C	
174. Fairchild Semiconductor					Sold	08/03/13	K	D	
175. TRUST #6									
176. JJ Lorick LLC (X)		None	P1	T	Donated	12/31/13	P1		
177. Wells Fargo Trust Account	B	Interest	M	T					
178. AMCAP MF	A	Dividend	K	T					
179. Capital Income Builder MF	A	Dividend	K	T					
180. Capital World Gr. & Income MF	A	Dividend	K	T					
181. Eaton Vance Gr. India MF		None	K	T					
182. INTL Growth & Income MF	A	Dividend	K	T					
183. Fundamental INVS Inc. MF	A	Dividend	K	T					
184. Growth Fund of America MF		None	K	T					
185. Investment Co. of America MF	A	Dividend	K	T					
186. New Perspectives FD Inc. MF	A	Dividend	K	T					
187. AltiSource Asset Management		None			Sold	05/06/13	J	B	

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. Altisource Portfolio CS		None	K	T					
189. Altisource Residential Corp		None			Sold	04/24/13	J	A	
190. Apple Inc.	A	Dividend	K	T	Buy	01/14/13	J		
191. Apple Inc.	A	Dividend	K	T	Buy	04/24/13	J		
192. AIG Group	A	Dividend	K	T	Sold (part)	11/21/13	J	C	
193. Bank of New York Mellon	A	Dividend	K	T					
194. Barrick Gold CS	A	Dividend	J	T					
195. Berkshire Hathaway		None	K	T					
196. Chemtura		None	K	T					
197. Cisco Systems CS	A	Dividend	K	T					
198. Clearwire CS		None			Sold	07/12/13	J	A	
199. Citigroup	A	Dividend	K	T					
200. Corning	A	Dividend	J	T					
201. DirectTV CS		None	K	T					
202. Dish Network CS		None	K	T					
203. Dotmar	A	Dividend	J	T	Sold (part)	10/22/13	J		
204. Ebay CS		None	J	T	Sold (part)	01/17/13	J	B	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. Express Scripts		None	K	T	Buy	02/27/13	J		
206. Express Scripts		None	K	T	Buy	04/12/13	J		
207. Express Scripts		None	K	T	Buy	09/20/13	J		
208. Goldman Sachs	A	Dividend	K	T	Sold (part)	10/29/13	J	A	
209. Google		None	K	T					
210. JPMorgan Chase CS	A	Dividend	K	T	Sold (part)	03/14/13	J	C	
211. Mastercard	A	Dividend	K	T	Sold (part)	01/09/13	J	B	
212. Mastercard	A	Dividend	K	T	Sold (part)	08/08/13	J	B	
213. Mastercard	A				Sold	10/17/13	K	C	
214. Metro PCS (became T. Mobile)		None			Merged (with line 215)	05/01/13	J		
215. T.Mobile		None	K	T	Buy	05/01/13	K		
216. News Corp CLA		None	J	T					
217. NewsCorp CLB		None	J	T	Spinoff (from line 215)	06/19/13	J		
218. Time Warner New	A	Dividend	J	T	Sold (part)	05/02/13	J	B	
219. TRW Automotive		None	K	T					
220. Twenty First Century Fox		None	K	T	Spinoff (from line 215)	07/23/13	J		
221. US Bancorp CS	A	Dividend	K	T	Sold (part)	07/23/13	J	C	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222. Fairchild Semiconductor					Sold	08/03/13	K	D	
223. REAL ESTATE PARTNERSHIP									
224. West Moon Twp. Assoc.	F	Distribution	M	U					
225. Bensalem Realty Assoc.	G	Distribution	N	U					
226. FC Donegal Assoc.	G	Distribution	P1	U					
227. First City GA Partners	F	Distribution	O	U					
228. McIntyre Square Assoc.	D	Distribution	L	U					
229. SCHWAB ACCOUNT									
230. Abington PA School Dist.	B	Interest	K	T					
231. Agilent Technologies	A	Dividend	K	T	Buy	06/06/13	J		
232. AmerisourceBergen Corp CS	A	Dividend	K	T					
233. Apple	A	Dividend	J	T	Buy	01/15/13	J		
234. Automatic Data Proc. CS	A	Dividend	K	T					
235. BCE New	A	Dividend	K	T	Buy	08/28/13	J		
236. Bard CR Inc. CS	A	Dividend			Sold	07/17/13	K	D	
237. Becton Dickinson CS	A	Dividend	K	T					
238. Butler Twp. BD	A	Interest			Sold	10/01/13	K	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
(See Column C2)	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

239. Colgate Palmolive CS	A	Dividend	K	T	Buy	08/28/13	J		
240. Conoco Phillips CS	A	Dividend	K	T					
241. Eaton Corp	A	Dividend	K	T					
242. Emerson Elec. CS	A	Dividend	K	T					
243. Exxon Mobile CS	A	Dividend	K	T	Buy	02/12/13	J		
244. Exxon Mobile CS	A	Dividend	K	T	Buy	08/29/13	J		
245. IBM CS	A	Dividend	K	T	Buy	04/19/13	J		
246. Johnson & Johnson CS	A	Dividend	K	T					
247. JP Morgan Chase CS	A	Dividend			Sold	03/22/13	K	D	
248. Lowes	A	Dividend	K	T	Sold (part)	02/12/13	J	B	
249. Mastercard	A	Dividend	K	T	Sold (part)	10/25/13	K	A	
250. Microsoft	A	Dividend	J	T	Buy	02/12/13	J		
251. Monroeville PA Mun.	A	Interest	K	T					
252. Mount Lebanon PA	B	Interest	K	T					
253. Octorara PA Area	B	Interest	K	T					
254. Pepsico CS	A	Dividend	K	T					
255. Pfizer	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

256. PNC Financial CS	A	Dividend	K	T					
257. Praxair CS	A	Dividend	K	T					
258. Republic Services CS	A	Dividend	K	T	Buy	02/12/13	J		
259. Republic Services CS		None			Sold (part)	05/13/13	J	B	
260. Rock-Tenn Co CUA	A	Dividend	K	T	Buy	11/05/13	J		
261. Schlumberger CS	A	Dividend	K	T	Buy	02/12/13	J		
262. Symantec Corp	A	Dividend	J	T	Buy	10/24/13	J		
263. Schwab MM	A	Interest	M	T					
264. Synopsis		None	K	T					
265. Sysco Corp. CS	A	Dividend			Sold	07/17/13	K	C	
266. Unilever CS	A	Dividend	K	T	Buy	08/28/13	J		
267. United Parcel Svc. CS	A	Dividend	K	T					
268. University Area Jt.	A	Interest	K	T					
269. Pennsylvania HSG	A	Interest	K	T					
270. Xylem	A	Dividend	K	T	Buy	07/16/13	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Hardiman, Thomas M.

Date of Report

07/14/2014

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

LZH/TMH

New Investment made in Quaker Capital Partners I, L. P.

January 1, 2013 - 250,000 invested

Investment Liquidated. Sent back through a combination of cash and securities in January, 2014 - no profit made

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Hardiman, Thomas M.

Date of Report

07/14/2014

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Thomas M. Hardiman**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544