

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) VanBokkelen, Joseph S.	2. Court or Organization US District Court	3. Date of Report 11/06/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2016 to 12/31/2016
5b. ☒ Amended Report		
7. Chambers or Office Address United States Courthouse 5400 Federal Plaza, Suite 4200 Hammond, Indiana 46320		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. AT&T	A	Dividend	J	T					
2. Federated Capital Reserves	A	Interest	J	T					
3.									
4.									
5.									
6. Presbyterian Church Retirement Fund/ Spouse									
7. -Fidelity Equity Income Fund	A	Dividend			Sold	10/27/16	K		
8. -Fidelity US Bond Index	A	Dividend			Sold	10/27/16	K		
9.									
10.									
11. AXA Equitable-Annuity Fund/ Spouse									
12. -EQ/Equity 500 Index	A	Dividend	J	T					
13.									
14. Capital Securities/spouse IRA									
15. -Pershing Prime Reserves (formerly Centennial Money Market)	A	Interest	K	T					
16. -MFS TR II Emerging Growth Class A	A	Dividend	K	T					
17.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. AT&T Inc.	A	Dividend	K	T					
19. Government PPTYS Income Trust	B	Interest	J	T					
20. Penn VA Corp GTD Fixed RT SR NT	A	Interest	K	T					
21.									
22. Spouse Rollover Account									
23. Fidelity Portfolio Advisory Services	F	Dividend	L	T	Buy	10/27/16	L		
24. -See Part VIII									
25.									
26. AXA Equitable Annuity									
27. -AXA Large Cap Growth	A	Dividend	L	T					
28. -AXA Large Cap Value Volat	A	Dividend	M	T					
29. -EQ/Core Bond Index	A	Dividend	L	T					
30. -EQ/Quality Bond PLUS	A	Dividend	K	T					
31. -EQ/Equity 500 Index	A	Dividend	M	T					
32.									
33.									
34. Capital Securities IRA									

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. - American General Fin. Corp. Income Notes	A	Interest	J	T					
36. -Eli Lilly Co.	A	Dividend			Sold	11/23/16	K		
37. -Lockheed Martin Corp.	A	Dividend	K	T					
38. -Barclay's Bk Plc 6.625% Ser & ADR	A	Interest			Sold	09/15/16	J		
39. -National Westminster BK PLC Sponsored ADR	A	Interest	J	T					
40. -Pershing Prime Reserves	A	Interest	K	T					
41. Conoco Phillips	A	Dividend	J	T					
42.									
43.									
44. Centier Bank	A	Interest	J	T					
45. Fidelity Fund Cash Reserves	A	Interest	J	T					
46. Peoples Bank	A	Interest	J	T					
47.									
48. AT&T Inc	A	Dividend	J	T					
49.									
50.									
51.									

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52.									
53.									
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66.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Answer to Part VII, Line 23: regarding the listed account "Fidelity Portfolio Advisory Services," [REDACTED] is only able to select a general investment strategy and [REDACTED] cannot select the specific stocks. The investment strategy is the diversification.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Joseph S. VanBokkelen**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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One Columbus Circle, N.E.
Washington, D.C. 20544