

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Thurman, William T.	2. Court or Organization U.S. Bankruptcy Court	3. Date of Report 05/01/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address 350 South Main Street, Rm 358 Salt Lake City, Utah 84101		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Board of Trustees	Utah Bankruptcy Lawyers Forum
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	American Bankruptcy Institute	Jan. 23, 24, 25 2014	Denver, Colorado	Speak at seminar	meals, lodging, travel expense and tuition waiver
2.	Norton Institute on Bankruptcy Law	Feb. 23 and 24, 2014	Park City, Utah	Speak at seminar	meals, lodging and tuition waiver
3.	Utah State Bar Association	March 13 and 14, 2014	St. George, Utah	Attend Mid Year Bar Convention	meals
4.	National Conference of Bankruptcy Judges	March 25, 26 and 27, 2014	Washington, D.C.	Visit selected members of Congress regarding duties as chair of NCBJ legislative comm	meals, lodging, travel expenses

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5.	National Conference of Bankruptcy Judges	April 6, 7 and 8 2014	Kansas City, Mo.	Committee Chair; Mid year meeting	meals, lodging, travel expenses
6.	Federal Bar Association of Utah	May 16, 2014	St. George, Utah	Speak at seminar	tuition waiver, meals
7.	Utah State Bar Association	July 16, 17, 18 and 19, 2014	Snowmass, Colorado	Speak at annual meeting of Bar Association	meals, lodging, travel expenses, tuition waiver
8.	National Association of Bankruptcy Trustees	September 10, 11 and 12, 2014	Salt Lake City, Utah	Speak at national conventioin	meals
9.	National Conference of Bankruptcy Judges	September 16, 17 and 18, 2014	Washington, D.C.	Participate in Congressional Reception with officers of the FJA and the MJA	meals, lodging, travel expenses
10.	National Conference of Bankruptcy Judges	October 8, 9, 10 and 11, 2014	Chicago, Ill.	Attend Annual Meeting; Committee Chair	meals, lodging, travel expense
11.	Federal Bar Association of Utah	November 7, 2014	Salt Lake City, Utah	Participate in Annual Dinner and seminar	meals, attendance fee waived

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Savings, checking Accts									
2. ---Mountain America Credit Union	D	Interest	J	T					
3. ---Zions First National Bank	D	Interest	K	T					
4.									
5. Broker #1 Account									
6. ---Eaton Vance Tx Mngd Value C Fund	A	Int./Div.	K	T					
7.									
8. New England Life (funds held for filer as cash values)									
9. ---BlackRock Bnd Inc. Prt fund	A	Dividend	J	T					
10. ---BR Money Market Fund	A	Dividend	J	T					
11. ---MetLife Stock Index Fund	A	Dividend	J	T					
12. ---MFS Value Portfolio Fund	A	Dividend	J	T					
13. ---L/S Small Cap Fund	A	Dividend	J	T					
14. ---Baillie Intn'l Stock fund	A	Dividend	J	T					
15. ---Davis Venture Value Fund	A	Dividend			Sold	07/11/14	J	A	
16. ---WMC Core Equity Opp	A	Dividend			Buy	07/15/14	J		
17. ---Jenison Growth Fund	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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18.									
19. IRA (Same Broker as #1) see Part VIII									
20. ---DA Davidson Money Market Fund	A	Int./Div.	J	T					
21. ---Davis NY Venture Value C1 C Fund.	A	Dividend	J	T					
22. ---Franklin Rising Dividends C1 C Fund	A	Dividend	J	T					
23. ---Thornburg Inv. Inc Builder Class C Fund	A	Dividend	J	T					
24. ---Capital Inc. Builder Class C fund	A	Dividend	J	T					
25. ---Growth Fund of Am C1 C Fund.	A	Dividend	J	T					
26.									
27. 401(K) Broker #4 Account; see part VIII									
28. ---American Century One Choice 2015 Insti Fund (see Part VIII)	B	Dividend	M	T					
29.									
30. Utah Educational Savings Plan, Age Based Gr; see Part VIII	A	Dividend	J	T					
31.									
32. Broker #3 Account									
33. ---Fidelity Focused Stock Fund	A	Int./Div.	K	T					
34. ---Janus Balanced Fund C1 T	A	Int./Div.	L	T					

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35. ---United Comm Bk Sr Nt 6%	A	Int./Div.	J	T					
36. ---Zions Bancorp. Mtn. 3.6% note	A	Int./Div.	K	T					
37.									
38.									
39.									
40.									
41.									
42.									
43.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

#18 Part VII. Filer's spouse has started withdrawing nominal amounts (\$500) from these accounts each month. The broker liquidates so much of the accounts each month and deposits the cash into the money market account to make the distribution to filer's spouse.

#29 Part VII. The full name of this fund is the Utah Educational Savings Plan, Age-Based Aggressive Growth (529 Plan). Five separate Funds are maintained for five separate minor children under the filer's and spouse's names. Monthly contributions are made.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **William T. Thurman**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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