

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Stapleton, Walter K.	2. Court or Organization U.S. Court of Appeals for the Third Circuit	3. Date of Report 05/03/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Senior Status	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address Federal Building 844 King Street - Lockbox 33 Wilmington, DE 19801		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ **NONE** (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ **NONE** (No reportable agreements.)

DATE

PARTIES AND TERMS

1.		
2.		
3.		

FINANCIAL DISCLOSURE REPORT

Page 2 of 16

Name of Person Reporting

Stapleton, Walter K.

Date of Report

05/03/2012

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2011

Chester County Country Properties (real estate brokerage firm)

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

FINANCIAL DISCLOSURE REPORT

Page 3 of 16

Name of Person Reporting

Stapleton, Walter K.

Date of Report

05/03/2012

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

FINANCIAL DISCLOSURE REPORT

Page 4 of 16

Name of Person Reporting

Stapleton, Walter K.

Date of Report

05/03/2012

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Baxter Int'l Com.	A	Dividend	K	T					
2. Conoco Phillips Com.	B	Dividend	K	T					
3. J&J Com.	A	Dividend	K	T					
4. Marathon Oil Corp. Com.	A	Dividend	J	T					
5. Marathon Petroleum Corp	A	Dividend	J	T	Spinoff (from line 4)	07/01/11	J		
6. Merck Com.	B	Dividend	K	T					
7. Pepsico Com.	A	Dividend	K	T					
8. Kimberly Clark Com.	B	Dividend	K	T					
9. Southern Co. Com.	B	Dividend	K	T					
10. Exxon Mobil	A	Dividend	K	T					
11. General Electric	A	Dividend	K	T					
12. 3M Corp.	A	Dividend	K	T					
13. SEI Tax Exempt Trust Account	A	Dividend	K	T					
14. Ares Cap. Corp.	A	Dividend	K	T					
15. Chubb Com.	A	Dividend	K	T					
16. Cisco Sys. Com.	A	Dividend	K	T					
17. Emerson Elec. Com.	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 16

Name of Person Reporting

Stapleton, Walter K.

Date of Report

05/03/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Teleflex Com.	A	Dividend	J	T					
19. Acorn II LP (*org. inv. Ponzi scheme; present value unknown)		None							
20. West View PA Bd.	B	Interest	L	T					
21. Clarco Inc.	A	Dividend	K	T					
22. Fleetwood PA Bd. 6/1/16	B	Interest	L	T					
23. J.M.Schmucker Co.	A	Dividend	K	T					
24. Vanguard Emg. Mkt. ETF	A	Dividend	K	T					
25. Vanguard FTSE All World ex. U.S.	B	Dividend	K	T					
26. IShares MSCI Pac. ex. Japan	A	Dividend	K	T					
27. Hewlett Packard	A	Dividend	K	T					
28. AT&T	B	Dividend	K	T					
29. Hummelstown PA Bd. 11/15/24	B	Interest	L	T					
30. Wallingford-Swart PA	A	Interest	K	T	Buy	08/24/11	K		
31. Spring Ford Area Sch.	A	Interest	K	T					
32. Warwick PA Sch.	B	Interest	M	T					
33. IShares HK China	B	Dividend	K	T					
34. IShares Malaysia	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 16

Name of Person Reporting

Stapleton, Walter K.

Date of Report

05/03/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. IShares Russell 1000 Gr.	A	Dividend	J	T					
36. IShares S&P Europe	A	Dividend			Sold	07/22/11	K		
37. IShares Dow Jones Select	A	Dividend	K	T					
38. Duke Energy	A	Dividend	K	T					
39. Nuveen Fd.	B	Dividend	K	T					
40. Buckeye Partners	D	Interest	M	T					
41. Johnson & Johnson Com.	B	Dividend	K	T					
42. Merck & Co. Com.	B	Dividend	K	T					
43. Real Estate Chester Co. PA (App.Date 4/12/94)		None	N	Q					
44. Star Bancorp.	A	Dividend	L	T					
45. U.S. Bancorp (formerly Firststar Corp)	A	Dividend	K	T					
46. Polymedix		None	J	T					
47. Fleetwood PA Bd.	B	Interest	L	T					
48. PA-exempt Mun. Bd.	B	Interest	L	T					
49. Bucks Co. Water & Sewer	A	Interest	K	T					
50. Monroe Co. PA	A	Interest	K	T					
51. Powershares Exch.	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 16

Name of Person Reporting

Stapleton, Walter K.

Date of Report

05/03/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. Phoenixville PA Sch. Bd.	B	Interest	L	T					
53. Berkshire Hathaway, Inc.	A	Dividend	J	T					
54. IShares Nasdaq Biotech	A	Dividend	J	T					
55. Powershares Tr. Wilder		None			Sold	07/22/11	J	A	
56. IShares U.S. Aerospace PTF	A	Dividend	J	T					
57. IShares S&P Global	A	Dividend	J	T					
58. Natixis ASG Global		None	K	T	Buy	05/03/11	K		
59. IRA-	D	Int./Div.	N	T					
60. - StreetTracks Gold Trust									
61. - IShares S&P Mid. Cap.									
62. - IShares DJ US Util.									
63. - IShares US Healthcare									
64. - IShares FTSE Xinhua									
65. - IShares Trust-Dow J Sel. Div.									
66. - IShares T NASDAQ									
67. - IShares Russell 1000 Gwth.									
68. - Vanguard Total Market									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 16

Name of Person Reporting

Stapleton, Walter K.

Date of Report

05/03/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69. - Plum Creek Timber Co.									
70. - ML Bank USA RASP account									
71. - Powershares Tr. Wilder Hill Clean Energy					Sold	07/22/11	K		
72. - iShares S&P Latin. Am.									
73. - Powershares DB Commodity									
74. - iShares Singapore									
75. - iShares So. Africa									
76. - iShares Trust DJ US Ind.									
77. - Powershares Exchange					Sold	07/22/11	J		
78. - Sector SPDR Consumers									
79. - Retirement Res. CLI account									
80. - Berkshire Hathaway Inc.									
81. - iShares Tr. Dow US									
82. - iShares S&P Global									
83. - Powershares Cleantech					Sold	03/20/11	J		
84. - Powershares Wilterhill									
85. - Powershares Global Water									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 9 of 16

Name of Person Reporting

Stapleton, Walter K.

Date of Report

05/03/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

86. - Alps Alerian MLP ETF									
87. - iShares DJ US Oil & Gas (erroneously omitted-prior reps)					Buy	01/27/09	J		
88. Ches. Co. Country Properties SEP -	D	Int./Div.	O	T					
89. - Berkshire Hathaway, Inc									
90. - BAC STEPS F 3/7/11					Redeemed	03/17/11	K		
91. - BAC STEPS F 3/23/12					Buy	03/17/11	K		
92. - Templeton Global Total									
93. - US Treas. Inflation Note 1/15/28									
94. - US Treas. Inflation Note 1/15/15									
95. - ML Bank USA RASP account									
96. - US Treas. Inflation Note 1/15/20									
97. - BAC Mitts Ind. 1/29/16					Buy	01/18/11	K		
98. - US Treas. Inflation Note 4/15/12									
99. - ML&Co. SRN MLFI-TR									
100. - US Treas. Inflation Note 7/15/20									
101. - Endowment TEI Fund									
102. - U.S. Treas. Inflation Note 1/15/18									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 10 of 16

Name of Person Reporting

Stapleton, Walter K.

Date of Report

05/03/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
103. - Natixis ASG Global									
104. - CD Discover Bank									
105. - BAC Clim. Rogrer									
106. - SEK STEPS Ford					Buy	03/17/11	K		
107. T. Rowe Price Sci.&Tech.Fd.		None	J	T					
108. Exxon Com.	A	Dividend	J	T					
109. 9.5/120th Int. Ptship (App. 01/15/98) (Randolph Co, GA)	A	Rent	L	Q					
110. Acorn II LP (org. inv. Ponzi scheme; present value unknown)		None							
111. Real Estate Hancock Co. ME (2001- \$280,000)	C	Rent	O	R					
112. Gen. Elec. Com.	A	Dividend	K	T					
113. SEI Tax Exempt Trust account	A	Dividend	J	T					
114. Kimberly Clark Com.	B	Dividend	K	T					
115. Cincinnati Fin. Corp. Com.	A	Dividend	K	T					
116. SEI Investments Co.	A	Dividend	K	T					
117. Colgate Palmolive	A	Dividend	K	T					
118. Medtronics	A	Dividend	K	T					
119. Duke Realty	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	P4 ~More than \$50,000,000
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 11 of 16

Name of Person Reporting

Stapleton, Walter K.

Date of Report

05/03/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. Rayonier, Inc.	B	Dividend	K	T					
121. Stericycle, Inc.		None	K	T					
122. Fleetwood PA Bd.	B	Interest	L	T					
123. Polymedix Inc.		None	J	T					
124. Graco Inc.	A	Dividend	K	T					
125. Pine Grove Sch. Bd.	A	Interest	K	T					
126. AT&T Inc.	B	Dividend	K	T					
127. Spectra Energy Corp.	A	Dividend	J	T					
128. Genesee & Wyo. Inc.		None	K	T					
129. Donaldson Inc.	A	Dividend	K	T					
130. Flowserve Corp.	A	Dividend	K	T					
131. BP PLC Spons ADR	A	Dividend	J	T					
132. iShares MSCI Pac. ex. Japan	A	Dividend	K	T					
133. Bar Harbor Bankshares	A	Dividend	K	T					
134. CMA PA Mun. account	B	Interest	M	T					
135. Perkiomen Sch. Dist. Bd.	A	Interest			Sold	06/14/11	L	B	
136. West Shore Sch. Dist. Bd.	A	Interest			Sold	06/14/11	K	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 12 of 16

Name of Person Reporting

Stapleton, Walter K.

Date of Report

05/03/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. Warwick PA Sch. Dist. Bd.	C	Interest	M	T					
138. N. Pocono Sch. Dist. Bd.	C	Interest	M	T					
139. Council Rock Sch. Dist. Bd.	B	Interest	L	T					
140. PA St. First	B	Interest	L	T					
141. CD Western Bank PR	C	Interest	K	T					
142. Indiana Cnty. PA Bd.		None			Sold	06/14/11	K	A	
143. Phoenixville PA Sch. Bd.	B	Interest	L	T					
144. Somerset PA Auth. Bd.	C	Interest	L	T					
145. Monroeville PA Bond	A	Interest	K	T					
146. Unionville-Chadds Ford Bd	B	Interest	K	T					
147. Bloomsberg Area Sch. Dist.	A	Interest	K	T	Buy	06/20/11	K		
148. Towanda Area Sch. Dist.	A	Interest	K	T	Buy	06/08/11	K		
149. Westmoreland PA Mun.	A	Interest	K	T	Buy	05/13/11	K		
150. Ringgold PA Sch. Dist.	A	Interest	L	T	Buy	06/15/11	K		
151. Lower Allen PA Bd	B	Interest	L	T					
152. Unionville-Chadds Ford Bd	A	Interest	K	T					
153. Sigma Aldrich	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 13 of 16

Name of Person Reporting

Stapleton, Walter K.

Date of Report

05/03/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. Stericycle		None	K	T					
155. Kinder Morgan Energy	B	Dividend	K	T					
156. CMA PA Municipal account	B	Interest	L	T					
157. Del. Valley Sch. Dist. Bd.	A	Interest	K	T					
158. Perkiomen Sch. Dist. Bd.	B	Interest	L	T					
159. Nashaminy Sch. Dist.	A	Interest	J	T					
160. Pa. TPK CML OIL	C	Interest	L	T					
161. Pa. St. Bond	B	Interest	K	T					
162. Spring-Ford Pa. Bd.	A	Interest	K	T					
163. Pa. State Bd.	A	Interest	K	T					
164. Erie Indmnty Co.	A	Dividend	K	T					
165. Vanguard Emer. Mkts ETF	A	Dividend	K	T					
166. Vanguard FTSE All-World Ex. US	B	Dividend	K	T					
167. Graco	A	Dividend	K	T					
168. SPDR SP Bric 40	A	Dividend	J	T					
169. Lower Gwynedd TWP Bd.	A	Interest			Redeemed	07/19/11	K		
170. Duke Energy	B	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 14 of 16

Name of Person Reporting

Stapleton, Walter K.

Date of Report

05/03/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. Vanguard All World Ex. US	A	Dividend	K	T					
172. Vanguard Emer. Mkts ETF	A	Dividend	K	T					
173. Alps Alerian MLP ETF	A	Dividend	K	T					
174. Mechanicsburg Pa Bd.	A	Interest	K	T					
175. Chester Co. Pa Bd.	A	Interest	K	T					
176. Real Estate Chester Co. Pa (4/27/10)		None	N	R					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 15 of 16

Name of Person Reporting

Stapleton, Walter K.

Date of Report

05/03/2012

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

The [REDACTED] partnership owns [REDACTED] tracts of timberland in Randolph County, GA. I am a general partner. My total interest is 9.5/120th. Partnership income is derived from hunting leases and the sale of timber.

FINANCIAL DISCLOSURE REPORT

Page 16 of 16

Name of Person Reporting

Stapleton, Walter K.

Date of Report

05/03/2012

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Walter K. Stapleton**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544