

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) MILLING, JR., BERT W.	2. Court or Organization U.S. DISTRICT COURT - SOUTHERN	3. Date of Report 05/15/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) FULL TIME MAGISTRATE JUDGE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address 113 ST JOSEPH STREET MOBILE, AL 36602		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. BBVA COMPASS CHECKING ACCOUNT	A	Interest	J	T					
2. BBVA COMPASS MONEY MARKET ACCT	A	Interest	K	T					
3. PITMAN PROPERTIES, LLC - FAIRHOPE, AL	E	Distribution	J	U					
4. TD AMERITRADE - BROKERAGE ACCOUNT - HAYEK KALLEN									ALL ACCOUNTS LISTED BELOW
5. - A T & T INC	B	Dividend	K	T					
6. - ABBOTT LABS	A	Dividend	K	T					
7. - ABBVIE INC	B	Dividend	K	T					
8. - ACCENTURE LTD CL A	A	Dividend	K	T					
9. - ALTRIA GROUP	B	Dividend	L	T					
10. - APPLE INC	A	Dividend	K	T					
11. - ASTRAZENECA PLACE ADR	A	Dividend			Sold	12/08/16	J	A	
12. - BERKSHIRE HATHAWAY - CL B		None	L	T					
13. - CHEVRON CORP	B	Dividend	K	T					
14. - CHINA MOBILE LTD SP ADR	B	Dividend	K	T					
15. - COCA COLA	A	Dividend	K	T					
16. - CONOCO PHILLIPS	A	Dividend			Sold	09/25/16	J	A	
17. - EXXON MOBIL	B	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. - GENERAL ELECTRIC	B	Dividend	K	T					
19. - ICON PLC		None	K	T					
20. - INTEL CORP	B	Dividend	K	T					
21. - JOHNSON & JOHNSON	B	Dividend	K	T					
22. - MARATHON OIL	A	Dividend			Sold	05/05/16	J	A	
23. - MCDONALDS CORP	A	Dividend	K	T					
24. - MERCK & CO INC	A	Dividend			Buy	03/24/16	K		
25. - MICROSOFT	B	Dividend	L	T					
26. - MOLSON COORS BREWING CL B	A	Dividend	K	T					
27. - NOVARTIS A G	A	Dividend	K	T					
28. - NOVO-NORDISK A S ADR	B	Dividend	K	T					
29. - ORACLE CORP COM	A	Dividend	K	T					
30. - PEPSICO INC CO	B	Dividend	K	T					
31. - PFIZER INC	B	Dividend	K	T	Buy (add'l)	05/05/16	J		
32. - PHILLIP MORRIS	B	Dividend	K	T					
33. - QUALCOMM INC	A	Dividend	K	T	Buy (add'l)	05/05/16	J		
34. - SOUTHERN COMPANY	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. - STARBUCKS CORP	A	Dividend	K	T					
36. - TARGET CORP COM	A	Dividend			Sold	05/17/16	K	D	
37. - THERMO FISHER SCIENTIFIC	A	Dividend	L	T	Sold (part)	07/29/16	J	D	
38. - UNION PACIFIC CORP COM	A	Dividend	K	T	Buy (add'l)	03/24/16	K		
39.					Sold (part)	12/08/16	J	B	
40. - UNITED TECH CORP	A	Dividend	K	T					
41. - VERIZON COMMUNICATIONS	B	Dividend	L	T					
42. - VISA	A	Dividend	K	T					
43. - VODAFONE	A	Dividend							
44. - WAL-MART	A	Dividend	K	T					
45. - WASTE MANAGEMENT	A	Dividend	K	T					
46. - BRANDYWINE FUND		None	K	T					
47. - FRANKLIN FED TAX FREE INCOME CL	B	Dividend	L	T	Sold (part)	03/02/16	J	B	
48. - PROFESSIONALLY MGD: OSTERWEIS FD		None			Sold	03/24/16	K	C	
49. - SEQUIOA FUND		None			Sold	04/08/16	K	D	
50. - ALASKA MUN BD BK AUTH	A	Interest	K	T	Buy	03/17/16	K		
51. - AMGEN INC SENIOR NOTE	B	Interest	K	T					

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52. - BREVARD CNTY FLA SCH BRD		None	K	T	Buy	11/10/16	K		
53. - CHICAGO ILL G/O BONDS UNLTD 5%	A	Interest	K	T					
54. - CITIGROUP FUNDING INC SR MTN	A	Interest			Matured	05/31/16	K	A	
55. - EDGEcombe CNTY NC LMTD OBLIG	B	Interest	K	T					
56. - EL PASO CNTY COLO SCH	B	Interest			Matured	12/15/16	K		
57. - FOLEY ALA UTILS BRD	A	Interest	K	T	Buy	02/01/16	K		
58. - HEWLETT PACKARD CO SR UNSECURED	A	Interest	J	T					
59. - INGERSOLL-RAND GLOBAL HLDG CO	B	Interest	K	T					
60. - J P MORGAN CHASE BANK NA SR UNSECURED	A	Interest	K	T					
61. - J P MORGAN CHASE BANK NA CD	A	Interest	K	T					
62. - KENTUCKY ST PPTY & BLDGS COMM	B	Interest	K	T					
63. - LLOYDS TSB BANK PLC SR MED	B	Int./Div.	K	T					
64. - LOEWS CORP	A	Interest			Matured	03/15/16	K		
65. - LONG ISLAND PWR AUTH N Y ELEC	A	Interest			Matured	12/01/16	K		
66. - MICHIGAN MUNI BD AUTH REV	C	Interest	L	T					
67. - NAVIGATORS GROUP INC SENIOR	B	Interest	K	T					
68. - PLAINS EXPLORATION & PROD CO	B	Interest	K	T					

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69. - ROYAL BANK OF CDA BD		None	K	T	Buy	05/31/16	K		
70. - ROYAL BANK OF SCOTLAND PLC VAR	B	Interest	K	T					
71. - SOCIETE GENERALE SR UNSEC	A	Interest	K	T					
72. - TIME WARNER CABLE INC 8.25%	B	Interest	K	T					
73. - TROY ALA GO WTS	A	Interest	K	T	Buy	01/06/16	K		
74. - TRUSSVILLE ALA GO WTS CALLABLE 5%	A	Interest	K	T					
75. - UNION BANK CALIF N A 18.0%	A	Interest	K	T					
76. - UNION BANK CALIF N A SAN FRAN	A	Interest	K	T					
77. - UNIVERSITY OF AL AT BIRMINGHAM	B	Interest			Matured	12/01/16	K		
78. - UNIVERSITY CONN REV BDS		None	K	T	Buy	10/20/16	K		
79. - WELLS FARGO BK CD		None			Closed	01/02/16	K		
80. - WESTERN UNION NOTE MW	B	Interest	K	T					
81. - WESTERN UNION SR UNSECURED	A	Interest	K	T					
82. - CASH - HAYEK KALLEN		None	J	T					
83. - TD BANK USA FDIC INSRD DEPOSIT	A	Interest	M	T					
84.									
85.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **BERT W. MILLING, JR.**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544