

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Hodges, Shiva V.	2. Court or Organization U.S. District Court	3. Date of Report 05/15/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States Magistrate Judge, Full-time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address Matthew J. Perry, Jr. Federal Courthouse 901 Richland Street Columbia, South Carolina 29201		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2014	USC Law School- teaching	\$2,500.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2014	- salary
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. South Carolina Association for Justice	August 7-9, 2014	Hilton Head Island, SC	Guest/speaker at annual convention/CLE	Lodging/Registration
2. South Carolina Bar	January 2, 2014	Charleston, SC	Speaker at CLE	Travel mileage
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Chase Bank	Mortgage on Property #1 (rental property), Pawleys Island, SC	M
2.	NBSC	Line of Credit on Property #1 (rental property), Pawleys Island, SC	M
3.	South Carolina Student Loan Corporation	Student Loan #1	K
4.	South Carolina Student Loan Corporation	Student Loan #2	K
5.	Chase	Mortgage on Property #2 (rental property), Columbia, SC	N
6.	NBSC	Line of Credit on Property #2 (rental property), Columbia, SC	L
7.	Nationstar Mortgage	Mortgage on Property #3 (rental property), Florence, SC	M
8.	NBSC Visa	Credit Card	J

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Property #1, Pawleys Island, SC	D	Rent	M	W					
2. Northwestern Mutual Whole Life Policy #1	B	Dividend	K	T					
3. 401K #1	D	Int./Div.			Closed	09/10/14	M		
4. -Invesco Stable Value Fund					Buy (add'l)	06/17/14	J		
5. -Invesco Stable Value Fund					Sold	09/30/14	J		
6. -Vanguard Total Bond Market Index Signal					Closed	06/03/14	J		
7. -PIMCO Total Return Instl					Buy (add'l)	06/17/14	J		
8. -PIMCO Total Return Instl					Sold	09/30/14	J		
9. -Coheen & Steers Realty Shares					Sold	09/30/14	J		
10. -AllianzGI NFJ Dividend Value Instl					Sold (part)	06/17/14	J		
11. -AllianzGI NFJ Dividend Value Instl					Sold	09/30/14	K		
12. -T.Rowe Price Blue Chip Growth					Sold (part)	06/17/14	J		
13. -T. Rowe Price Blue Chip Growth					Sold	09/30/14	K		
14. -AllianceBern Discovery Value I					Sold	09/30/14	J		
15. -DFA US Targeted Value I					Sold	09/30/14	J		
16. -Invesco Small Cap Growth R5					Sold	09/30/14	J		
17. -American Funds Europacific Growth A					Sold	09/30/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. -Columbia Acorn International Z					Sold	09/30/14	J		
19. -Vanguard Total Bond Market Index Adm					Buy	06/03/14	J		
20. -Vanguard Total Bond Market Index Adm					Buy (add'l)	06/17/14	J		
21. -Vanguard Total Bond Market Index Adm					Sold	09/30/14	J		
22. 401K #2 (X)	B	Int./Div.	M	T					
23. -Ishares Russell 1000 GRW ETF					Buy	11/05/14	J		
24. -Ishares Russell 100 GRW ETF					Buy	12/12/14	J		
25. -Ishares Russell 1000 Value ETF					Buy	11/05/14	J		
26. -Ishares Russell 1000 Value ETF					Buy	12/12/14	J		
27. -Vanguard Mid-cap ETF Index					Buy	11/05/14	J		
28. -Vanguard Mid-cap ETF Index					Buy	12/12/14	J		
29. -Clearbridge Aggressive GWTH I					Buy	11/05/14	J		
30. -Clearbridge Aggressive GWTH I					Buy	12/12/14	J		
31. -American Europacific GRW F2					Buy	11/05/14	J		
32. -American Europacific GRW F2					Buy	12/12/14	J		
33. -MFS International Value I					Buy	11/05/14	J		
34. -MFS International Value I					Buy	12/12/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35. -Ishares Select Dividend ETF					Buy	11/05/14	J		
36. -Ishares Select Dividend ETF					Buy	12/12/14	J		
37. -JP Morgan Core Plus Bond A					Buy	11/05/14	J		
38. -JP Morgan Core Plus Bond A					Buy	12/12/14	J		
39. -Fidelity ADV Real Estate Inc. I					Buy	11/05/14	J		
40. -Fidelity ADV Real Estate Inc. I					Buy	12/12/14	J		
41. -Alerian MLP ETF					Buy	11/05/14	J		
42. -Alerian MLP ETF					Buy	12/12/14	J		
43. -Vanguard Small Cap ETF					Buy	11/05/14	J		
44. -Vanguard Small Cap ETF					Buy	12/12/14	J		
45. -Templeton Global BD FD ADV					Buy	11/05/14	J		
46. -Templeton Global BD FD ADV					Buy	12/12/14	J		
47. -RS Low Duration Bond A					Buy	11/05/14	J		
48. -RS Low Duration Bond A					Buy	12/12/14	J		
49. -JP Morgan Strat Inc. OPP SEL					Buy	11/05/14	J		
50. -JP Morgan Strat Inc. OPP SEL					Buy	12/12/14	J		
51. -Oppenheimer Developing MKTS Y					Buy	11/05/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52. -Oppenheimer Developing MKTS Y					Buy	12/12/14	J		
53. -Wisdomtree Euro SM Cap DIV ETF					Buy	11/05/14	J		
54. -Wisdomtree Euro SM Cap DIV ETF					Buy	12/12/14	J		
55. -SPDR Gold TR Gold SHS					Buy	11/05/14	J		
56. Hodges Investments, LLC (Y)									
57. -Coastal Land Group (Y)									
58. Property #2, Columbia, SC	E	Rent	N	W					
59. NBSC Account	A	Interest	J	T					
60. Property #3, Florence, SC	D	Rent	M	W					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

1) Part VII, Lines 3, 22. 401K #1 was rolled over into 401K #2

2) Part VII, Lines 56 and 57 - The value of the disposition of Coastal Land Group in 2014 falls below reporting thresholds. Hodges Investments, LLC contains no other assets.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Shiva V. Hodges**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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