

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Ozerden, Halil S.	2. Court or Organization U.S. District Court, Southern Dist. of Mississippi	3. Date of Report 05/13/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States District Judge-Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address 2012 15th Street, Suite 714 Gulfport, MS 39501		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Power of Attorney	Family Member
2.	Executor	Family Member
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME

(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1. 2018

Dukes, Dukes, Keating & Faneca--Salary

2.

3.

4.

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.

2.

3.

4.

5.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

NONE (No reportable gifts.)

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

NONE (No reportable liabilities.)

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	BancorpSouth Bank	First Mortgage on Rental Property #1, Gulfport, MS	M
2.	BancorpSouth Bank	Second Mortgage on Rental Property #1, Gulfport, MS	K
3.	ACS	Law School Student Loan	L
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. BancorpSouth Bank, bank accounts	A	Interest	J	T					
2. BancorpSouth Bank, bank account (X)	B	Interest	L	T					
3. Mississippi Affordable College Savings Trust #1-Age/Risk Opt	A	Dividend	K	T					
4. Mississippi Affordable College Savings Trust #2-Age/Risk Opt	A	Dividend	K	T					
5. Mississippi Affordable College Savings Trust #3-Age/Risk Opt	A	Dividend	K	T					
6. Mississippi Affordable College Savings Trust #4-Age/Risk Opt	A	Dividend	J	T					
7. Mississippi Affordable College Savings Trust #5-Age/Risk Opt	A	Dividend	J	T					
8. IRA #1 (H)									
9. --Money Market Account (Y)									
10. --Southern Co. Common Stock	A	Dividend	J	T					
11. --Munder/Victory MidCap Core Growth Mut Fund	A	Dividend	J	T					
12. --Arrow DWA Balanced Fund	A	Dividend	J	T					
13. --American Funds Target Fund	A	Dividend	J	T					
14. --Am Cap Fund	A	Dividend	J	T					
15. --Deutsche Income Fund (name change)	A	Dividend	J	T					
16. --Growth Fund of America	A	Dividend	J	T					
17. --John Hancock Inv. Grade Bond Fund	A	Dividend	J	T					

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes	F = \$50,001 - \$100,000 J = \$15,000 or less (See Columns C1 and D3)	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000 (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market

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18. --Washington Mutual Inv. Fund	A	Dividend	J	T					
19. --John Hancock Blue Chip Growth	A	Dividend	J	T					
20. Rental Property #1, Gulfport, MS (2012)	E	Rent	M	Q					
21. Brokerage Acct #1(H)									
22. --Washington Mutual Inv. Fund	A	Dividend	J	T					
23. --Growth Fund of America	A	Dividend	J	T					
24. Brokerage Acct #2(H)									
25. --American Funds Balanced	A	Dividend	J	T					
26. --Am Cap Fund	A	Dividend	J	T					
27. Brokerage Acct #3(H)(X)									
28. --Money Market Account (X)	A	Dividend	L	T					
29. --BMW Bank, Salt Lake City, UT CD (X)	A	Interest			Buy	05/08/18	K		
30.					Redeemed	06/11/18	K		
31. --United Valley Bank,Cavaller, ND CD (X)	A	Interest			Buy	06/11/18	K		
32.					Redeemed	10/15/18	K		
33. --Citizens Bank, Providence, RI CD (X)	A	Interest			Buy	05/08/18	K		
34.					Redeemed	08/30/18	K		

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(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000	R = Cost (Real Estate Only)	P4 = More than \$50,000,000	S = Assessment	T = Cash Market
(See Column C2)	Q = Appraisal	V = Other	W = Estimated		
	U = Book Value				

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35. --New York Cmty Bank, NY CD (X)	A	Interest	K	T	Buy	08/30/18	K		
36. --MetaBank, Sioux Falls, SD CD (X)	A	Interest			Buy	05/08/18	K		
37.					Redeemed	09/17/18	K		
38. --Texas Capital Bank, TX CD (X)	A	Interest			Buy	05/08/18	K		
39.					Redeemed	11/16/18	K		
40. -- Walt Disney Co. Common Stock (X)	A	Dividend	J	T	Buy	11/30/18	J		
41. --Microsoft Corp. Common Stock (X)	A	Dividend	J	T	Buy	11/30/18	J		
42. --Ishares US Healthcare ETF (X)	A	Dividend	J	T	Buy	11/30/18	J		
43. --SPDR S&P 500 ETF (X)	A	Dividend	J	T	Buy	11/30/18	J		
44. Beneficiary IRA #1 (H)(X)									
45. --American Balanced Fund (X)	A	Dividend	J	T	Buy	05/07/18	J		
46. --EuroPacific Growth Fund (X)	A	Dividend	J	T	Buy	05/07/18	J		
47. --Growth Fund of America (X)	A	Dividend	J	T	Buy	05/07/18	J		
48. --Investment Co. of America (X)	A	Dividend	J	T	Buy	05/07/18	J		
49. --New Economy Fund (X)	A	Dividend	J	T	Buy	05/07/18	J		
50. --New Perspective Fund (X)	A	Dividend	J	T	Buy	05/07/18	J		
51. --Small Cap World Fund (X)	A	Dividend	J	T	Buy	05/07/18	J		

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52. --Washington Mutual Investors Fund (X)	A	Dividend	J	T	Buy	05/07/18	J		
53. Beneficiary IRA #2 (H)(X)									
54. --Cash Account (X)	A	Dividend	J	T					
55. --Accenture (X)	A	Dividend	J	T	Buy	05/11/18	J		
56. --Aqua America (X)	A	Dividend	J	T	Buy	05/11/18	J		
57. --Automatic Data Processing (X)	A	Dividend	J	T	Buy	05/11/18	J		
58. --Baxter Int'l (X)	A	Dividend	J	T	Buy	05/11/18	J		
59. --Becton Dickinson (X)	A	Dividend	J	T	Buy	05/11/18	J		
60. --Church & Dwight Co. (X)	A	Dividend	J	T	Buy	05/11/18	J		
61. --Chubb, Ltd (X)	A	Dividend	J	T	Buy	05/11/18	J		
62. --Coca Cola Co. (X)	A	Dividend	J	T	Buy	05/11/18	J		
63. --Costco (X)	A	Dividend	J	T	Buy	05/11/18	J		
64. --Emerson Electric (X)	A	Dividend	J	T	Buy	05/11/18	J		
65. --General Mills (X)	A	Dividend	J	T	Buy	05/11/18	J		
66. --Genuine Parts Co. (X)	A	Dividend	J	T	Buy	05/11/18	J		
67. --Johnson & Johnson (X)	A	Dividend	J	T	Buy	05/11/18	J		
68. --McDonald's Corp. (X)	A	Dividend	J	T	Buy	05/11/18	J		

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69. --Medtronic (X)	A	Dividend	J	T	Buy	05/11/18	J		
70. --Microsoft (X)	A	Dividend	J	T	Buy	05/11/18	J		
71. --Pepsico (X)	A	Dividend	J	T	Buy	05/11/18	J		
72. --Pfizer (X)	A	Dividend	J	T	Buy	05/11/18	J		
73. --Proctor & Gamble (X)	A	Dividend	J	T	Buy	05/11/18	J		
74. --Qualcomm (X)	A	Dividend	J	T	Buy	05/11/18	J		
75. --Raytheon (X)	A	Dividend	J	T	Buy	05/11/18	J		
76. --Smucker JM Co. (X)	A	Dividend	J	T	Buy	05/11/18	J		
77. --Stanley Black & Decker (X)	A	Dividend	J	T	Buy	05/11/18	J		
78. --Starbucks (X)	A	Dividend	J	T	Buy	05/11/18	J		
79. --Sysco Corp. (X)	A	Dividend	J	T	Buy	05/11/18	J		
80. --3M Co. (X)	A	Dividend	J	T	Buy	05/11/18	J		
81. --Union Pacific (X)	A	Dividend	J	T	Buy	05/11/18	J		
82. --United Technologies (X)	A	Dividend	J	T	Buy	05/11/18	J		
83. --UnitedHealth Group (X)	A	Dividend	J	T	Buy	05/11/18	J		
84. --Walmart Inc. (X)	A	Dividend	J	T	Buy	05/11/18	J		
85. --Walgreens Boots Alliance (X)	A	Dividend	J	T	Buy	05/11/18	J		

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86. --Praxair/Linde PLC (X)	A	Dividend	J	T	Buy	05/11/18	J		
87. --Merck & Co., Inc. (X)	A	Dividend	J	T	Buy	05/11/18	J		
88. --Illinois Tool Works (X)	A	Dividend	J	T	Buy	10/01/18	J		
89. Beneficiary IRA #3 (H)(X)									
90. --Powershares/INVESCO DWA Momentum ETF (X)	A	Dividend	J	T	Buy	05/08/18	J		
91.	A	Dividend	J	T	Buy (add'l)	11/01/18	J		
92. --Powershares/INVESCO S&P 500 ETF (X)	A	Dividend			Buy	05/08/18	J		
93.					Sold	11/01/18	J	A	
94. --Mercadolibre (X)	A	Dividend			Buy	05/08/18	J		
95.					Sold	05/09/18	J		
96. --Autohome (X)	A	Dividend			Buy	05/09/18	J		
97.					Sold	08/09/18	J		
98. --Virtusa (X)	A	Dividend			Buy	05/08/18	J		
99.					Sold	11/14/18	J		
100. --CRay Inc. (X)	A	Dividend			Buy	05/08/18	J		
101.					Sold	08/06/18	J		
102. --Energizer Holdings (X)	A	Dividend			Buy	05/08/18	J		

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103.					Sold	09/27/18	J	A	
104. --First Solar (X)	A	Dividend			Buy	05/08/18	J		
105.					Sold	06/04/18	J		
106. --Five Below, Inc.(X)	A	Dividend			Buy	05/08/18	J		
107.					Sold	06/08/18	J	A	
108.	A	Dividend			Buy	09/13/18	J		
109.	A	Dividend			Buy (add'l)	09/27/18	J		
110.					Sold	11/23/18	J		
111. --Grubhub, Inc.(X)	A	Dividend			Buy	05/08/18	J		
112.					Sold	07/26/18	J	A	
113.	A	Dividend			Buy	08/09/18	J		
114.					Sold	10/09/18	J		
115. --Match Group, Inc.(X)	A	Dividend			Buy	05/08/18	J		
116.					Sold	07/05/18	J	A	
117. --Nvidia (X)	A	Dividend			Buy	05/08/18	J		
118.					Sold	11/01/18	J		
119. --Netapp, Inc. (X)	A	Dividend			Buy	05/08/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Ozerden, Halil S.

Date of Report

05/13/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120.					Sold	12/14/18	J		
121. --Nu Skin Enterp. (X)	A	Dividend			Buy	05/08/18	J		
122.					Sold	10/09/18	J		
123. --Pure Storage, Inc. (X)	A	Dividend			Buy	05/08/18	J		
124.					Sold	11/20/18	J		
125. --Qualsys, Inc. (X)	A	Dividend			Buy	05/08/18	J		
126.					Sold	10/09/18	J		
127. --Rollins, Inc. (X)	A	Dividend	J	T	Buy	05/08/18	J		
128. --Shutterfly, Inc. (X)	A	Dividend			Buy	05/08/18	J		
129.					Sold	08/10/18	J		
130. --ST Microelectronics (X)	A	Dividend			Buy	05/08/18	J		
131.					Sold	07/26/18	J		
132. --Sodastream Int'l (X)	A	Dividend			Buy	05/08/18	J		
133.					Sold	08/07/18	J	A	
134. --Syntel, Inc. (X)	A	Dividend			Buy	05/08/18	J		
135.					Sold	07/24/18	J	A	
136. --Wix, Ltd (X)	A	Dividend			Buy	05/08/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Ozerden, Halil S.

Date of Report

05/13/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137.					Sold	10/09/18	J	A	
138. --Mellanox Tech. (X)	A	Dividend			Buy	06/04/18	J		
139.					Sold	09/27/18	J		
140.	A	Dividend			Buy	11/01/18	J		
141.					Sold	12/14/18	J	A	
142. --Helen of Troy (X)	A	Dividend	J	T	Buy	06/08/18	J		
143. --Blucora, Inc. (X)	A	Dividend			Buy	07/05/18	J		
144.					Sold	10/09/18	J		
145. --LivePerson, Inc. (X)	A	Dividend			Buy	07/24/18	J		
146.					Sold	11/21/18	J		
147. --Trip Advisor, Inc. (X)	A	Dividend			Buy	07/26/18	J		
148.					Sold	10/09/18	J		
149. --Advanced Micro Devices (X)	A	Dividend			Buy	07/26/18	J		
150.					Sold	09/13/18	J	A	
151. --Unisys (X)	A	Dividend			Buy	08/06/18	J		
152.					Sold	11/20/18	J		
153. --iRobot (X)	A	Dividend			Buy	08/07/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Ozerden, Halil S.

Date of Report

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154.					Sold	10/25/18	J		
155. --Verisign (X)	A	Dividend			Buy	08/10/18	J		
156.					Sold	10/09/18	J		
157. --Brooks Automation (X)	A	Dividend			Buy	09/13/18	J		
158.					Sold	11/21/18	J		
159. --Inphi Corp. (X)	A	Dividend			Buy	09/13/18	J		
160.					Sold	10/11/18	J		
161.	A	Dividend	J	T	Buy	11/26/18	J		
162. --Apple (X)	A	Dividend			Buy	10/09/18	J		
163.					Sold	12/24/18	J		
164. --Revlon (X)	A	Dividend	J	T	Buy	10/09/18	J		
165. --Align Tech. (X)	A	Dividend			Buy	10/09/18	J		
166.					Sold	10/25/18	J		
167. --IDEXX Labs (X)	A	Dividend			Buy	10/09/18	J		
168.					Sold	11/16/18	J		
169. --Intuitive Surgical (X)	A	Dividend	J	T	Buy	10/09/18	J		
170. --Merit Medical Syst. (X)	A	Dividend	J	T	Buy	10/09/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Ozerden, Halil S.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. --Teladoc Health (X)	A	Dividend			Buy	10/09/18	J		
172.					Sold	10/11/18	J		
173. --Molina Health (X)	A	Dividend	J	T	Buy	10/11/18	J		
174. --Broadcom, Inc. (X)	A	Dividend			Buy	10/11/18	J		
175.					Sold	10/29/18	J		
176. --Encompass Health (X)	A	Dividend	J	T	Buy	10/25/18	J		
177. --Church & Dwight Co. (X)	A	Dividend	J	T	Buy	10/25/18	J		
178. --Xilinx, Inc. (X)	A	Dividend			Buy	10/29/18	J		
179.					Sold	12/14/18	J	A	
180. --Veeva Sys. (X)	A	Dividend			Buy	11/14/18	J		
181.					Sold	12/24/18	J		
182. --Dexcom, Inc. (X)	A	Dividend	J	T	Buy	11/16/18	J		
183. --CDW Corp. (X)	A	Dividend			Buy	11/20/18	J		
184.					Sold	12/24/18	J		
185. --FormFactor, Inc. (X)	A	Dividend			Buy	11/20/18	J		
186.					Sold	12/14/18	J	A	
187. --Cisco Sys. (X)	A	Dividend			Buy	11/21/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A --\$1,000 or less F --\$50,001 - \$100,000	B --\$1,001 - \$2,500 G --\$100,001 - \$1,000,000	C --\$2,501 - \$5,000 H1 --\$1,000,001 - \$5,000,000	D --\$5,001 - \$15,000 H2 --More than \$5,000,000	E --\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J --\$15,000 or less N --\$250,001 - \$500,000 P3 --\$25,000,001 - \$50,000,000	K --\$15,001 - \$50,000 O --\$500,001 - \$1,000,000	L --\$50,001 - \$100,000 P1 --\$1,000,001 - \$5,000,000 P4 --More than \$5,000,000	M --\$100,001 - \$250,000 P2 --\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q --Appraisal U --Book Value	R --Cost (Real Estate Only) V --Other	S --Assessment W --Estimated	T --Cash Market	

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Name of Person Reporting

Ozerden, Halil S.

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05/13/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188.					Sold	12/24/18	J		
189. --Sleep Number Corp. (X)	A	Dividend	J	T	Buy	11/23/18	J		
190. --American STS Water Co. (X)	A	Dividend	J	T	Buy	12/14/18	J		
191. --Middlesex Water Co. (X)	A	Dividend	J	T	Buy	12/14/18	J		
192. --Casella Waste (X)	A	Dividend	J	T	Buy	12/14/18	J		
193. --U.S. Ecology (X)	A	Dividend	J	T	Buy	12/14/18	J		
194. --Waste Connections, Inc. (X)	A	Dividend	J	T	Buy	12/14/18	J		
195. --Kirkland Lake Gold (X)	A	Dividend	J	T	Buy	12/24/18	J		
196. --Royal Gold (X)	A	Dividend	J	T	Buy	12/24/18	J		
197. --Seabridge Gold, Inc. (X)	A	Dividend	J	T	Buy	12/24/18	J		
198. --SSR Mining Co. (X)	A	Dividend	J	T	Buy	12/24/18	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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Name of Person Reporting

Ozerden, Halil S.

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Line 2 and Part VII, Lines 27-198 represent inherited assets.

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Name of Person Reporting

Ozerden, Halil S.

Date of Report

05/13/2019

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Halil S. Ozerden**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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One Columbus Circle, N.E.
Washington, D.C. 20544