

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Hammond, Mary E.	2. Court or Organization US BANKRUPTCY COURT - NORTHERN DISTRICT OF CALIFORNIA	3. Date of Report 07/29/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) BANKRUPTCY JUDGE (FULL-TIME)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address 1300 CLAY STREET COURTROOM 215 OAKLAND, CA 94612		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 2011	FRIEDMAN DUMAS & SPRINGWATER LLP AGREEMENT, WITHDRAWN 06/30/2012
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME

(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1. 2012

SALARY AT APPLE, INC.

2.

3.

4.

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.

2.

3.

4.

5.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. WELLS FARGO CHECKING ACCOUNT	A	Interest	J	T					
2. WELLS FARGO SAVINGS ACCOUNT	A	Interest	K	T					
3. ALLY BANK CD	A	Interest			Closed	07/31/12	J		
4. AAPL (COMMON)	D	Dividend	O	T	Sold (part)	08/01/12	M	E	
5. 401K #1	D	Dividend	N	T					
6. - THIRD AVENUE REAL ESTATE VALUE INSTL					Sold	09/30/12	K		
7. - VANGUARD WINDSOR II ADM									
8. - VANGUARD INSTITUTIONAL INDEX INSTL PL									
9. - VANGUARD TOTAL STOCK MARKET INDEX INSTL					Sold	09/30/12	K		
10. - FIDELITY CONTRAFUND									
11. - NUVEEN NWQ SMALL CAP VALUE I									
12. - VANGUARD EXTENDED MARKET INDEX INSTL PL					Buy	09/30/12	K		
13. - WELLS FARGO ADVANTAGE DISCOVERY INSTL					Buy	09/30/12	K		
14. - VANGUARD TOTAL INTERNATIONAL STOCK INDEX INSTITUTIONAL					Buy	09/30/12	K		
15. - VANGUARD SMALL CAP INDEX INSTL					Sold	09/30/12	K		
16. - VANGUARD EXPLORER / ADMRL					Sold	09/30/12	K		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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Hammond, Mary E.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

17. - DODGE & COX INTERNATIONAL STOCK									
18. - VANGUARD DEVELOPED MARKETS INDEX INSTL					Sold	09/30/12	K		
19. - ING CLARION GLOBAL REAL ESTATE SECURITIES					Buy	09/30/12	K		
20. 401K #2	A	Dividend			Closed	06/30/12	M		
21. - AMERICAN CENTURY REAL ESTATE CLASS A									
22. - DREYFUS EMERGING MARKETS CLASS A									
23. - HARBOR INTERNAL INV									
24. - LAZARD EMERGING MARKETS EQUITY OPEN									
25. - NATIONWIDE SMALL CAP INDEX A									
26. - FRANKLIN MUTUAL BEACON CLASS A									
27. - JPMORGAN MID CAP VALUE A									
28. - T ROWE PRICE MID-CAP VALUE									
29. - AMERICAN FUNDS GROWTH FUND OF AMER R3									
30. - NATIONWIDE S&P 500 INDEX INSTL									
31. - PIONEER HIGH YIELD A									
32. - PIONEER STRATEGIC INCOME A									
33. -PRINCIPAL HIGH YIELD A									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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34. - WELLS FARGO ADVANTAGE GOVERNMENT SEC INV									
35. IRA #1	A	Dividend	K	T					
36. - COLUMBIA ACORN CLASS Z									
37. - FIDELITY CANADA									
38. - MERIDIAN VALUE FUND									
39. - MATTHEWS INDIA FUND									
40. IRA #2	A	Dividend	K	T					
41. - CLIPPER									
42. - FIDELITY REAL ESTATE INVESTMENT									
43. - RBC MICROCAP VALUE FUND CLASS S									
44. IRA #3	B	Dividend	L	T					
45. - FIDELITY STOCK SELECTOR LARGE CAP VALUE									
46. - FIDELITY MID CAP VALUE									
47. - FIDELITY MAGELLAN									
48. - FIDELITY LOW PRICED STOCK									
49. - PERKINS SMALL CAP VALUE CLASS T									
50. IRA #4	C	Dividend	M	T					

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51. - FIDELITY CASH RESERVES									
52. - DODGE & COX STOCK									
53. - DREYFUS SMALL CAP STOCK INDEX									
54. - FIDELITY DIVERSIFIED INTERNATIONAL									
55. - FIDELITY MID CAP STOCK									
56. - FIDELITY VALUE									
57. - MERIDIAN VALUE FUND									
58. - FIDELITY CONTRAFUND									
59. - FIDELITY STRATEGIC DIVIDEND & INCOME									
60. - FIDELITY STRATEGIC INCOME									
61. IRA #5	A	Dividend	J	T					
62. - FIDELITY DIVERSIFIED INTERNATIONAL									
63. IRA #6	A	Dividend	J	T					
64. - NOVO NORDISK A/S ADR FKA NOVO INDUSTRIES									
65. NEW YORK LIFE VARIABLE UNIVERSAL LIFE	A	Int./Div.	K	T					
66. - MAINSTAY VP JANUS BALANCED PORTFOLIO - INITIAL CLASS									
67. - MAINSTAY VP S&P 500 INDEX									

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68. - FIDELITY VIP CONTRAFUND									
69. NEW YORK LIFE MODIFIED PREMIUM WHOLE LIFE INSURANCE		None	J	T					
70. NEW YORK LIFE WHOLE LIFE INSURANCE		None	J	T					
71. NEW YORK LIFE MODIFIED PREMIUM WHOLE LIFE INSURANCE		None	J	T					
72. NEW YORK LIFE WHOLE LIFE INSURANCE		None	J	T					
73. NEW YORK LIFE WHOLE LIFE INSURANCE		None	L	T					
74. NEW YORK LIFE MODIFIED PREMIUM WHOLE LIFE INSURANCE		None	J	T					
75.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

PART VII, PAGE 4, LINE 2: WELLS FARGO SAVINGS ACCOUNT WAS ACCIDENTALLY OMITTED FROM INITIAL DISCLOSURES.

PART VII, PAGE 5, LINE 20: THE HOLDINGS IN 401K #2 WERE LIQUIDATED AT A LOSS AND ROLLED OVER INTO IRA ACCOUNT #4.

PART VII, PAGE 8, LINES 69-74: LIFE INSURANCE POLICIES #2-7 WERE ACCIDENTALLY OMITTED FROM INITIAL DISCLOSURES.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Mary E. Hammond

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
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Washington, D.C. 20544