

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Davis, Tony M.	2. Court or Organization U.S. Bankruptcy Court, W.D. TX, Austin Division	3. Date of Report 04/20/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Bankruptcy Judge, Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address U.S. Courthouse Homer Thornberry Federal Judicial Building 903 San Jacinto, Ste. 322 Austin, TX 78701		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2014	Wages from Williams-Sonoma
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. State Bar Seminar (Starting out Right)	May 2, 2014	San Antonio, TX	Educational Seminar	Transportation
2. Bankruptcy Bench Bar Conference	May 21-23, 2014	San Antonio, TX	Bench/Bar Conference and Seminar	Hotel, meals
3. UT Consumer Bankruptcy Conference	July 23-26, 2014	Galveston, TX	Educational Seminar	Hotel, transportation, meals
4. TX, La., Ms. Bankruptcy Bench Bar	February 12 - 14, 2014	New Orleans, La.	Conference and Seminar	Hotel, Air Fare, Meals
5. Advanced Consumer/ Business Seminar	February 19-21, 2014	Houston, TX	Educational Seminar	Transportation, Hotel, Meals

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. JP Morgan Core Moderate Growth Funds	E	Int./Div.	O	T					
2. Aberdeen Emerging Mkts FD Instl SV CL		None			Sold	02/21/14	J	A	
3. Artisan Small Cap Fund Investor CL		None	J	T					
4. Blackrock National Muni Fund CL A	C	Dividend	L	T	Sold (part)	06/10/14	J	A	
5.					Sold (part)	06/10/14	J	A	
6. William Blair Int'l Growth Class N	A	Dividend	J	T					
7. Columbia Contrarian Core Fund CL R5	A	Dividend	J	T	Sold (part)	06/10/14	J	A	
8. Columbia Select Large Cap Growth R5	A	Dividend	J	T	Sold (part)	06/20/14	J	A	
9.					Sold (part)	12/10/14	J	A	
10. Dreyfus Opportunistic Midcap Value CL A	A	Dividend	J	T	Buy (add'l)	05/08/14	J		
11.					Sold (part)	06/11/14	J	A	
12. American Europacific Growth	A	Dividend	J	T					
13. Fimm MMKT Port INSt. CL	A	Int./Div.	K	T					
14. Fidelity Municipal Money Market	A	Dividend	J	T	Buy	06/11/14	J		
15. Fidelity Advosor Int'l Disc. CL I	A	Dividend	J	T	Buy	10/21/14	J		
16.					Buy (add'l)	12/18/14	J		
17. Fidelity Emerging Markets	A	Dividend	J	T	Buy (add'l)	12/18/14	J		

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Fidelity Advisor New Insights CL 1		None			Sold	06/10/14	J	A	
19. Fidelity Growth Co.	A	Dividend	J	T	Sold (part)	06/10/14	J	A	
20. Fidelity Low Priced Stock	A	Dividend	J	T					
21. Fidelity Dividend Growth		None			Sold	05/08/14	J	A	
22. Fidelity Municipal Income	A	Dividend	J	T	Sold (part)	06/11/14	J	A	
23.					Sold (part)	10/21/14	J	A	
24. Franklin Int'l SM Cap Growth Advisor	A	Dividend	J	T	Sold (part)	10/29/14	J	A	
25. Goldman Sachs SM Cap Value Instl	A	Dividend	J	T	Sold (part)	06/11/14	J	A	
26. Oakmark Fund I	A	Dividend	J	T					
27. Oakmark Int'l CL 1	A	Dividend			Sold	12/17/14	J	A	
28. ING Global Real Estate CL A, Now known as Voya Global RE	A	Dividend	J	T					
29. Invesco Convertible FD CL Y	A	Dividend			Buy	05/08/14	J		
30.					Sold	12/08/14	J	A	
31. Ishares Russell Midcap Value ETF	A	Dividend	J	T					
32. Ishares Russell 1000 Value ETF	A	Dividend	K	T	Sold (part)	06/13/14	J	A	
33. John Hancock Disciplined Value I2	A	Dividend	J	T	Sold (part)	06/11/14	J	A	
34. Lazard Emerging Mkts Equity Open CL Shrs	A	Dividend	J	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. MFS Int'l Value Fund CL A	A	Dividend	J	T	Buy	10/21/14	J		
36.					Buy (add'l)	12/18/14	J		
37. Manning & Napier World Oppt Ser CL A		None			Sold	10/20/14	J	A	
38. Morgan Stanley Mid Cap Growth Port CL P		None			Sold (part)	06/10/14	J	A	
39.					Sold	12/12/14	J	A	
40. Nuveen All American Muni Bond Class I	B	Dividend	K	T	Sold (part)	06/10/14	J	A	
41. Nuveen Intermediate Duration Muni Bond A	A	Dividend	J	T	Buy	12/09/14	J		
42. Oppenheimer Int'l Growth Class A, fka Oppenheimer Growth Class A		None			Buy (add'l)	02/24/14	J		
43.					Sold	06/10/14	J	A	
44. Oppenheimer Int'l Growth Class I	A	Dividend	J	T	Spinoff (from line 42)	10/09/14	J		
45. Oppenheimer Int'l Bond Fd CL Y	A	Dividend	J	T	Buy	12/18/14	J		
46. Oppenheimer Dev Markets FD Class Y	A	Dividend			Buy	10/21/14	J		
47.					Sold	12/18/14	J	A	
48. Prudential Jennison Mid Cap Growth CL A	A	Dividend	J	T					
49. SPDR S&P ETF Trust Unit Ser I	A	Dividend	K	T	Buy	12/22/14	J		
50. SPDR SER TR Barclays Conv. Sec. ETF	A	Dividend	J	T	Buy	12/11/14	J		
51.					Buy (add'l)	12/22/14	J		

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52. T Rowe Price Overseas Stock Fund		None			Buy	02/24/14	J		
53.					Buy (add'l)	05/08/14	J		
54.					Sold (part)	06/11/14	J	A	
55.					Sold	10/21/14	J	A	
56. T Rowe Price Small Cap Value Advisor CL	A	Dividend	J	T					
57. T Rowe Price Mid Cap Value Adv Class	A	Dividend	J	T					
58. Sector SPDR TR SHS Ben Int Financial	A	Dividend	J	T					
59. Templeton Frontier Mkts FD Adv CL	A	Dividend	J	T	Sold (part)	06/11/14	J	A	
60. Templeton Global Bond Class A	A	Dividend			Buy (add'l)	05/08/14	J		
61.					Sold (part)	06/11/14	J	A	
62.					Sold	12/18/14	J	B	
63. Vanguard Index FDS Vanguard Growth Vipers	A	Dividend	K	T					
64. Transamerica Int'l Equity Cl I	A	Dividend	J	T	Buy	10/21/14	J		
65. Transamerica Int'l Small Cap Value I	A	Dividend	J	T	Buy	10/31/14	J		
66. Wasatch Frontier Emerg. Small Cos	A	Dividend	J	T	Buy	12/18/14	J		
67. Wells Fargo Short Term Muni Inc-Inst.	A	Dividend	K	T	Sold (part)	06/11/14	J	A	
68.					Buy (add'l)	12/18/14	J		

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69. Wells Fargo Muni Bond FD	A	Dividend	K	T	Sold (part)	06/11/14	K	A	
70.					Sold (part)	12/18/14	J		
71. Norfolk Southern	A	Dividend	J	T	Buy	01/15/14	J		
72. JP Morgan Savings Account	A	Interest	O	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Tony M. Davis**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544