

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) McManus, Michael S.	2. Court or Organization Eastern District of California	3. Date of Report 05/15/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address United States Courthouse 501 I Street, Suite 3-200 Sacramento CA 95814		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Member	Sacramento Valley Bankruptcy Forum
2.	Member	National Conference of Bankruptcy Judges
3.	Member	State Bar of California
4.	Member	American Bankruptcy Institute
5.	Fellow	American College of Bankruptcy

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2011	University of Pacific, McGeorge School of Law, teaching income Fall Semester August to December 2010	\$2,500.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2011	Twin Rivers School District
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Sacramento Valley Bankruptcy Forum	October 27, 2011	Sacramento, CA	Speak at educational program	Meal paid for by source.
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Bank Account, IRA, Bank of America	A	Interest	J	T					
2. Mutual Fund, Growth Fund of Amer. Part VIII	A	Dividend	K	T	Sold (part)	04/01/11	J	A	N/A
3. Bank Account, Wells Fargo Bank	A	Interest	J	T					
4. Bank Account, Wells Fargo Bank	A	Interest	J	T					
5. Bank Account, UBS Bank. Part VIII	A	Interest	L	T	Distributed (part)	04/04/11	K		N/A
6. Bank Account, IRA, Wells Fargo Bank	A	Interest	J	T					
7. Bank Account, Wells Fargo Bank	A	Interest	J	T					
8. Certificate of Deposit, Wells Fargo Bank. Part VIII	A	Interest	J	T					
9. Mutual Fund, American Funds Capital World Gro&Inc. Part VIII	B	Dividend	K	T	Buy (add'l)	04/01/11	J		N/A
10. Mutual Fund, American Funds, US Govt. Sec. Part VIII	A	Dividend	K	T	Sold (part)	04/01/11	K	A	N/A
11. Mutual Fund, Baron Growth Fund. Part VIII	A	Dividend	J	T	Sold (part)	04/01/11	J	A	N/A
12. Mutual Fund, Blackrock Global Alloc. Fund. Part VIII	A	Dividend	K	T	Buy (add'l)	04/01/11	J		N/A
13. Mutual Fund, Columbia Marico 21st Century Fund. Part VIII		None			Sold (part)	04/01/11	J	A	N/A
14. Mutual Fund, Columbia Marico 21st Century Fund. Part VIII		None			Sold	10/06/11	J	B	N/A
15. Mutual Fund, FT Templeton Global Bond Fund. Part VIII	B	Dividend	K	T	Buy (add'l)	04/01/11	J		N/A
16. Mutual Fund, Gabelli Equity Income Fund. Part VIII	A	Dividend	J	T	Sold (part)	04/01/11	J	A	N/A
17. Mutual Fund, IVY Global Natural Res. Fund. Part VIII		None	J	T	Sold (part)	04/01/11	J	A	N/A

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes	F = \$50,001 - \$100,000 J = \$15,000 or less (See Columns C1 and D3)	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Mutual Fund, Keeley Small Cap Fund. Part VIII		None	J	T	Sold (part)	04/01/11	J	A	N/A
19. Mutual Fund, Loomis Sayles Inv. Gr. Bond Fund. Part VIII	B	Dividend	J	T	Sold (part)	04/01/11	K	C	N/A
20. Mutual Fund, Pimco Real Return Fund. Part VIII	B	Dividend	K	T	Buy (add'l)	04/01/11	J		N/A
21. Mutual Fund, Royce Premier Fund. Part VIII	B	Dividend	J	T	Sold (part)	04/01/11	J	A	N/A
22. Money Market, UBS PACE MM. Part VIII	A	Interest	J	T	Buy (add'l)	04/01/11	J		N/A
23. Mutual Fund, TIAA-CREF, T-C Lifecycle 2015 Fund. Part VIII	A	Dividend	J	T	Buy (add'l)	01/04/11	J		N/A
24. Mutual Fund, Prudential Short Term Corporate Bond. Part VIII	A	Dividend	K	T	Buy	04/01/11	K		N/A
25. Certificate of Deposit, Bank of China. Part VIII	A	Interest	K	T	Buy	04/01/11	K		N/A
26. Certificate of Deposit, Bank of China (same CD as #25)	A	Interest			Matured	07/06/11	K	A	N/A
27. Certificate of Deposit, Bank of China. Part VIII	A	Interest	K	T	Buy	04/01/11	J		N/A
28. Certificate of Deposit, Bank of China (same CD as #27)	A	Interest			Matured	10/06/11	J	A	N/A
29. Certificate of Deposit, GE Money Bank. Part VIII	A	Interest	K	T	Buy	07/11/11	J		N/A
30. Mutual Fund, Fidelity Advisor Floating Rate. Part VIII	A	Dividend	K	T	Buy	04/01/11	K		N/A
31. Mutual Fund, Calamos Gro & Inc. Part VIII	A	Dividend	J	T	Buy	10/06/11	J		N/A

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part IV.

No. 1 was not a program that had the primary purpose of educating judges. It was an educational program for attorneys, accountants, and other professionals, including judges, working in the bankruptcy/insolvency context.

Part VII

Part VII: No. 2. All dividends were reinvested in this mutual fund on 12/21. The gross value reported in Column C(1) includes the reinvested dividends. The total amount reinvested was within Value Code J.

Part VII: No. 5. All interest earned was retained in this bank account. The gross value reported in Column C(1) includes reinvested interest.

Part VII: No. 8. This 3-month certificate of deposit was initially purchased on 6/19/07 and has been rolled over with the interest earned each three months thereafter.

Part VII: No. 9. All dividends were reinvested in this mutual fund on 3/21, 6/20, 9/19, and 12/19. The gross value reported in Column C(1) includes the reinvested dividends. The total amount reinvested was within Value Code J.

Part VII: No. 10. All dividends were reinvested in this mutual fund on 1/31, 3/1, 3/29, 4/29, 5/26, 6/29, 7/29, 8/29, 9/29, 10/31, 11/29, 12/29. The gross value reported in Column C(1) includes the reinvested dividends. The total amount reinvested was within Value Code J.

Part VII: No. 11. All dividends were reinvested in this mutual fund on 12/1. The gross value reported in Column C(1) includes the reinvested dividends. The total amount reinvested was within Value Code J.

Part VII: No. 12. All dividends were reinvested in this mutual fund on 7/22, 12/20. The gross value reported in Column C(1) includes the reinvested dividends. The total amount reinvested was within Value Code J.

Part VII: Nos. 13, 14. Regular monthly purchases of this mutual fund were made on 5/2, 6/1, 7/1, 8/1, and 9/1. The invested funds were drawn from No. 5. Each monthly investment in this mutual fund was within Value Code J. The gross value for the fund reported in Column C(1) includes these additional investments. As reported on No. 14, all holdings in the fund were sold on 10/06.

Part VII: No. 15. All dividends were reinvested in this mutual fund on 1/20, 2/17, 3/17, 4/19, 5/17, 6/16, 7/18, 8/16, 9/16, 10/19, 11/17, 12/19. The gross value reported in Column C(1) includes the reinvested dividends. The total amount reinvested was within Value Code J.

Part VII: No. 16. All dividends were reinvested in this mutual fund on 1/31, 2/28, 3/31, 4/29, 5/31, 6/30, 7/28, 8/30, 9/29, 10/28, 11/29, 12/29. The gross value reported in Column C(1) includes the reinvested dividends. The total amount reinvested was within Value Code J.

Part VII: No. 19. All dividends were reinvested in this mutual fund on 1/5, 2/4, 3/4, 4/6, 5/3, 6/2, 7/5, 8/2, 9/2, 10/4, 11/7, 12/6, 12/30. The gross value reported in Column C(1) includes the reinvested dividends. The total amount reinvested was within Value Code J.

Part VII: No. 20. All dividends were reinvested in this mutual fund on 1/3, 2/1, 3/1, 4/1, 5/2, 6/1, 7/1, 8/1, 9/1, 10/3, 11/1, 12/1, 12/8, 12/29. The gross value reported in Column C(1) includes the reinvested dividends. The total amount reinvested was within Value Code J.

Part VII: No. 21. All dividends were reinvested in this mutual fund on 12/19. The gross value reported in Column C(1) includes the reinvested dividends. The total amount reinvested was within Value Code J.

Part VII: No. 22. All interest earned was retained in this money market account. The gross value reported in Column C(1) includes reinvested interest.

Part VII: No. 23. This fund is a retirement account provided by University of Pacific, McGeorge School of Law, where I teach part time. See Part III-A. All dividends, which totaled an amount within Value Code J, were reinvested, and further amounts within Value Code J were deducted from my pay to purchase additional shares. The dividends were reinvested on 3/31, 6/30, 9/30 and 12/30. The additional purchases from pay were made on 1/4, 9/6, 9/20, 10/5, 10/19, 11/3, 11/18, 12/5, 12/20. The gross value reported in Column C(1) includes all reinvestment and additional purchases.

Part VII: No. 24. All dividends were reinvested in this mutual fund on 4/21, 5/23, 6/21, 8/1, 10/3, 11/1, 12/1, 12/27. The gross value reported in Column C(1) includes the reinvested dividends. The total amount reinvested was within Value Code J.

Part VII: Nos. 25, 26, 27, 28, 29. The funds used to purchase these certificates of deposit were drawn from No. 5. The funds from maturing certificates were deposited back into No. 5.

Part VII: No. 30. All dividends were reinvested in this mutual fund on 5/2, 6/1, 7/1, 8/1, 9/1, 10/3, 11/1, 12/1. The gross value reported in Column C(1) includes the reinvested dividends. The total amount reinvested was within Value Code J.

Part VII: No. 31. All dividends were reinvested in this mutual fund on 12/23. The gross value reported in Column C(1) includes the reinvested dividends. The total amount reinvested was within Value Code J.

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII: Nos. 2, 9, 10, 11, 12, 15, 16, 17, 18, 19, 20, 21, 22, 24, and 30. Regular monthly purchases of these mutual funds were made on 5/2, 6/1, 7/1, 8/1, 9/1, 10/3, and 11/1. The funds used for the purchases were drawn from No. 5. Each monthly investment in each mutual fund was within Value Code J. The gross value for each fund reported in Column C(1) includes these additional investments.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Michael S. McManus**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
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