

# FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)

|                                                                                                                                                                                                           |                                                                                                                                                                                                                                                                                          |                                                                  |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------|
| <b>1. Person Reporting</b> (last name, first, middle initial)<br><br>Cox, Susan E.                                                                                                                        | <b>2. Court or Organization</b><br><br>US District Court                                                                                                                                                                                                                                 | <b>3. Date of Report</b><br><br>08/12/2013                       |
| <b>4. Title</b> (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)<br><br>US Magistrate Judge -Full time                                                | <b>5a. Report Type</b> (check appropriate type)<br><input type="checkbox"/> Nomination <input type="checkbox"/> Date<br><input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final<br><b>5b.</b> <input type="checkbox"/> Amended Report | <b>6. Reporting Period</b><br><br>01/01/2012<br>to<br>12/31/2012 |
| <b>7. Chambers or Office Address</b><br><br>219 South Dearborn Ave.<br>Room 1334<br>Chicago, IL 60604                                                                                                     |                                                                                                                                                                                                                                                                                          |                                                                  |
| <p align="center"><b>IMPORTANT NOTES:</b> The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</p> |                                                                                                                                                                                                                                                                                          |                                                                  |

## I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

|    | <u>POSITION</u> | <u>NAME OF ORGANIZATION/ENTITY</u> |
|----|-----------------|------------------------------------|
| 1. | Custodian       | Custodial Account #1               |
| 2. | Custodian       | Custodial Account #2               |
| 3. | Director        | Helping Hand Rehabilitation Center |
| 4. |                 |                                    |
| 5. |                 |                                    |

## II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

|    | <u>DATE</u> | <u>PARTIES AND TERMS</u> |
|----|-------------|--------------------------|
| 1. |             |                          |
| 2. |             |                          |
| 3. |             |                          |

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Name of Person Reporting

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**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME  
(yours, not spouse's)

1. \_\_\_\_\_

2. \_\_\_\_\_

3. \_\_\_\_\_

4. \_\_\_\_\_

**B. Spouse's Non-Investment Income -** *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2012

Baxter International - Salary &amp; Bonus

2. \_\_\_\_\_

3. \_\_\_\_\_

4. \_\_\_\_\_

**IV. REIMBURSEMENTS** *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED1. Boston University Law  
School

4/11/12-4/14/12

Boston, MA

Speaker

Transportation &amp; Lodging

2. \_\_\_\_\_

3. \_\_\_\_\_

4. \_\_\_\_\_

5. \_\_\_\_\_

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

| SOURCE | DESCRIPTION | VALUE |
|--------|-------------|-------|
| 1.     |             |       |
| 2.     |             |       |
| 3.     |             |       |
| 4.     |             |       |
| 5.     |             |       |

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

| CREDITOR | DESCRIPTION | VALUE CODE |
|----------|-------------|------------|
| 1.       |             |            |
| 2.       |             |            |
| 3.       |             |            |
| 4.       |             |            |
| 5.       |             |            |

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Name of Person Reporting

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## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 1. First National Bank of LaGrange Accounts                                                                                 | A                                       | Interest                                      | J                                               | T                                         | Open                                            | 01/31/12                | J                               |                                |                                                                   |
| 2. Fifth Third Bank Account                                                                                                 | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 3. Chase Bank                                                                                                               | A                                       | Interest                                      |                                                 |                                           | Closed                                          | 01/31/12                | J                               | A                              |                                                                   |
| 4. Chase Bank                                                                                                               | A                                       | Interest                                      |                                                 |                                           | Closed                                          | 01/31/12                | J                               | A                              |                                                                   |
| 5. Wells Fargo Bank Account                                                                                                 | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 6. US Employees Credit Union Account                                                                                        | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 7. Baxter International - Exercisable Stock Options                                                                         |                                         | None                                          | N                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 8. Baxter International - Unvested Stock Options                                                                            |                                         | None                                          | L                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 9. Baxter Int'l Common                                                                                                      | A                                       | Dividend                                      | L                                               | T                                         | Buy (add'l)                                     | 2/21/12                 | N                               |                                |                                                                   |
| 10.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold (part)                                     | 2/21/12                 | N                               | A                              |                                                                   |
| 11.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy (add'l)                                     | 10/31/12                | O                               |                                |                                                                   |
| 12.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy (add'l)                                     | 10/31/12                | O                               |                                |                                                                   |
| 13. Edward Lifesciences Common                                                                                              |                                         | None                                          | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 14. Farm - Wilson County, KS (1/12th Interest)                                                                              | A                                       | Rent                                          | K                                               | W                                         |                                                 |                         |                                 |                                |                                                                   |
| 15. BAXTER INT'L 401K PLAN                                                                                                  |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 16. Stable Income Fund                                                                                                      | C                                       | Dividend                                      | L                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 17. Composite Fund                                                                                                          | D                                       | Dividend                                      | L                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|-------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                   | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

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| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
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|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |

|                                          |   |          |   |   |                |          |   |   |  |
|------------------------------------------|---|----------|---|---|----------------|----------|---|---|--|
| 18. S&P 500 Equity Index Fund            | D | Dividend | L | T |                |          |   |   |  |
| 19. General Equity Fund                  | B | Dividend | J | T |                |          |   |   |  |
| 20. International EAFE Equity Index Fund | C | Dividend | K | T |                |          |   |   |  |
| 21. Small Cap Fund                       | C | Dividend | K | T |                |          |   |   |  |
| 22. Baxter Int'l Common                  | E | Dividend | L | T |                |          |   |   |  |
| 23. BROKERAGE ACCOUNT #1                 |   |          |   |   |                |          |   |   |  |
| 24. AT&T Common                          | A | Dividend |   |   | Sold           | 10/09/12 | J | B |  |
| 25. Annaly Capital Mgt. Unit Trust       | B | Dividend | K | T | Buy<br>(add'l) | 11/07/12 | J |   |  |
| 26. Basic Materials Ultra Sector         | A | Dividend |   |   | Sold           | 10/03/12 | J | A |  |
| 27. Bristol Myers Squibb Common          | A | Dividend |   |   | Sold           | 02/14/12 | J | B |  |
| 28. Chemtrade Logistics                  | A | Dividend | J | T | Buy            | 05/24/12 | J |   |  |
| 29. CVS Caremark Common                  | A | Dividend |   |   | Sold           | 02/14/12 | J | A |  |
| 30. EBAY Common                          |   | None     | J | T |                |          |   |   |  |
| 31. Exelon Common                        | A | Dividend |   |   | Sold           | 10/23/12 | J | A |  |
| 32. Fidelity Canada                      | A | Dividend |   |   | Sold           | 05/24/12 | J | A |  |
| 33. Fidelity Cash Reserves Fund          | A | Interest | J | T |                |          |   |   |  |
| 34. Fidelity High Income                 | A | Dividend | J | T | Buy            | 05/24/12 | J |   |  |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
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| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

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|                                         |   |           |   |   |                |          |   |   |  |
|-----------------------------------------|---|-----------|---|---|----------------|----------|---|---|--|
| 35.                                     |   |           |   |   | Buy<br>(add'l) | 11/09/12 | J |   |  |
| 36. Fidelity Investment Grade Bond Fund | A | Int./Div. | K | T | Buy            | 11/09/12 | K |   |  |
| 37. Fidelity New Markets Income         | A | Int./Div. | J | T | Buy<br>(add'l) | 11/08/12 | J |   |  |
| 38. Fidelity Select Energy Fund         | A | Dividend  |   |   | Sold           | 10/03/12 | J | A |  |
| 39. Fidelity Select Pharmaceutical      | A | Dividend  |   |   | Buy            | 05/24/12 | J |   |  |
| 40.                                     |   |           |   |   | Sold           | 10/03/12 | J | A |  |
| 41. Fidelity Short Term Bond Fund       | A | Int./Div. | J | T | Buy            | 05/24/12 | J |   |  |
| 42. First Energy                        | A | Dividend  |   |   | Sold           | 11/01/12 | J | B |  |
| 43. France Telecom                      | A | Dividend  |   |   | Buy            | 05/24/12 | J |   |  |
| 44.                                     |   |           |   |   | Sold           | 10/09/12 | J | A |  |
| 45. General Electric Common             | A | Dividend  |   |   | Sold           | 10/10/12 | J | A |  |
| 46. Harbor Bond Institutional Fund      | A | Int./Div. | J | T |                |          |   |   |  |
| 47. Ishares Silver Trust                |   | None      |   |   | Sold           | 02/14/12 | J | A |  |
| 48. Matthews Asia Dividend              | A | Dividend  | J | T |                |          |   |   |  |
| 49. Matthews Pacific Tiger Fund         | A | Dividend  |   |   | Sold           | 10/03/12 | J | C |  |
| 50. McDonalds Corp                      | A | Dividend  | J | T |                |          |   |   |  |
| 51. New York Community Bank             | A | Dividend  |   |   | Sold           | 10/12/12 | J | A |  |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
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| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

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|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 52. Northern Property Trust                                                                                                 | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 53. Pembina Pipeline                                                                                                        | A                                       | Dividend                                      | J                                               | T                                         | Buy                                             | 04/13/12                | J                               |                                |                                                                   |
| 54. PIMCO Investment Grade Corp Bond Fund                                                                                   | B                                       | Int./Div.                                     | K                                               | T                                         | Buy<br>(add'l)                                  | 11/08/12                | K                               |                                |                                                                   |
| 55. Procter & Gamble                                                                                                        | A                                       | Dividend                                      |                                                 |                                           | Buy                                             | 05/24/12                | J                               |                                |                                                                   |
| 56.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold                                            | 10/23/12                | J                               | A                              |                                                                   |
| 57. Provident Energy Trust                                                                                                  | A                                       | Royalty                                       |                                                 |                                           | Merged<br>(with line 53)                        | 04/13/12                | J                               |                                |                                                                   |
| 58. SPDR Gold Trust Shares                                                                                                  |                                         | None                                          | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 59. Staples                                                                                                                 | A                                       | Dividend                                      |                                                 |                                           | Buy                                             | 05/24/12                | J                               |                                |                                                                   |
| 60.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold                                            | 10/10/12                | J                               | A                              |                                                                   |
| 61. Templeton Global Bond Fund                                                                                              | A                                       | Int./Div.                                     | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 62. Vodaphone Common                                                                                                        | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 05/24/12                | J                               | A                              |                                                                   |
| 63. Wellpoint                                                                                                               | A                                       | Dividend                                      |                                                 |                                           | Buy                                             | 05/24/12                | J                               |                                |                                                                   |
| 64.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold                                            | 10/16/12                | J                               | A                              |                                                                   |
| 65. Yum Brands Inc                                                                                                          | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 02/14/12                | J                               | C                              |                                                                   |
| 66. BROKERAGE ACCOUNT #2                                                                                                    |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 67. AT&T Common                                                                                                             | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 10/09/12                | J                               | A                              |                                                                   |
| 68. Abbvie Inc                                                                                                              | A                                       | Dividend                                      | J                                               | T                                         | Spinoff<br>(from line 69)                       | 12/31/12                | J                               |                                |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                          |                                                              |                         |
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| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 69. Abbott Laboratories                                                                                                     | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 70. Annaly Capital Management                                                                                               | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 71. Apple Inc. Common                                                                                                       | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 72. Baxter Int'l Common                                                                                                     | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 73. Bell Aliant Reg Communications                                                                                          | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 02/14/12                | J                               | A                              |                                                                   |
| 74. Century Link Common                                                                                                     | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 10/11/12                | J                               | A                              |                                                                   |
| 75. Coca Cola Common                                                                                                        | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 10/16/12                | J                               | B                              |                                                                   |
| 76. Energy Transfer Partners                                                                                                | A                                       | Dividend                                      | K                                               | T                                         | Buy                                             | 11/13/12                | K                               |                                |                                                                   |
| 77. Fidelity Muni Money Market Fund                                                                                         | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 78. Fidelity High Income                                                                                                    | A                                       | Int./Div.                                     | J                                               | T                                         | Buy                                             | 05/25/12                | J                               |                                |                                                                   |
| 79.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 11/08/12                | J                               | A                              |                                                                   |
| 80. Fidelity Investment Grade Bond Fund                                                                                     | A                                       | Int./Div.                                     | J                                               | T                                         | Buy                                             | 11/08/12                | J                               |                                |                                                                   |
| 81. Fidelity Short Term Bond                                                                                                | A                                       | Int./Div.                                     |                                                 |                                           | Buy                                             | 05/25/12                | J                               |                                |                                                                   |
| 82.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold                                            | 11/08/12                | J                               | A                              |                                                                   |
| 83. General Electric Common                                                                                                 | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 10/10/12                | J                               | A                              |                                                                   |
| 84. IBM Common                                                                                                              | A                                       | Dividend                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 85. JPMorgan Chase                                                                                                          | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                          |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                  | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$5,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                          | T = Cash Market                                              |                         |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Cox, Susan E.

Date of Report

08/12/2013

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 86. Kimberly Clark Common                                                                                                   | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 10/24/12                | J                               | B                              |                                                                   |
| 87. Oracle Corp Common                                                                                                      | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 10/08/12                | J                               | A                              |                                                                   |
| 88. Powershares ETF Int'l Dividend                                                                                          | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 89. Powershares Nat'l Muni Bond Fund                                                                                        | A                                       | Int./Div.                                     | K                                               | T                                         | Buy                                             | 11/13/12                | K                               |                                |                                                                   |
| 90. SPDR Gold Trust                                                                                                         |                                         | None                                          | J                                               | T                                         | Buy                                             | 05/30/12                | J                               |                                |                                                                   |
| 91. SPDR Technology ETF                                                                                                     |                                         | None                                          |                                                 |                                           | Sold                                            | 02/14/12                | J                               | B                              |                                                                   |
| 92. Spy Puts June 16 2012 125                                                                                               |                                         | None                                          |                                                 |                                           | Sold                                            | 05/25/12                | J                               | A                              |                                                                   |
| 93. Spy Puts July 21 2012 130                                                                                               |                                         | None                                          |                                                 |                                           | Buy                                             | 05/29/12                | J                               |                                |                                                                   |
| 94.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold                                            | 07/20/12                | J                               | A                              |                                                                   |
| 95. Unilever PLC Common                                                                                                     | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 10/22/12                | J                               | B                              |                                                                   |
| 96. Vanguard Short-Term Bond ETF                                                                                            | A                                       | Int./Div.                                     | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 97. Verizon Communications Common                                                                                           | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 10/10/12                | J                               | C                              |                                                                   |
| 98. BROKERAGE ACCOUNT #3                                                                                                    |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 99. AT&T Common                                                                                                             | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 10/09/12                | J                               | A                              |                                                                   |
| 100. Apple Common                                                                                                           | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 101. Bristol Myers Squibb Common                                                                                            | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 02/14/12                | J                               | A                              |                                                                   |
| 102. Fidelity Cash Fund                                                                                                     | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                          |                                                              |                         |
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| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$5,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                          | T = Cash Market                                              |                         |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Cox, Susan E.

Date of Report

08/12/2013

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 103. Fidelity High Income                                                                                                   | A                                       | Int./Div.                                     | J                                               | T                                         | Buy                                             | 11/08/12                | J                               |                                |                                                                   |
| 104. Fidelity Investment Grade Bond Fund                                                                                    | A                                       | Int./Div.                                     | J                                               | T                                         | Buy                                             | 11/08/12                | J                               |                                |                                                                   |
| 105. Fidelity New Markets Income                                                                                            | A                                       | Int./Div.                                     | K                                               | T                                         | Buy<br>(add'l)                                  | 11/08/12                | J                               |                                |                                                                   |
| 106. Fidelity Select Energy Service                                                                                         | A                                       | Int./Div.                                     |                                                 |                                           | Buy                                             | 05/25/12                | J                               |                                |                                                                   |
| 107.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 10/03/12                | J                               | A                              |                                                                   |
| 108. Fidelity Select Materials                                                                                              | A                                       | Int./Div.                                     |                                                 |                                           | Buy                                             | 05/25/12                | J                               |                                |                                                                   |
| 109.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 10/03/12                | J                               | A                              |                                                                   |
| 110. General Electric Common                                                                                                | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 10/10/12                | J                               | A                              |                                                                   |
| 111. Johnson & Johnson Common                                                                                               | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 10/23/12                | J                               | A                              |                                                                   |
| 112. Paychex Inc                                                                                                            | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 113. Pimco Invest Grade Corp Bond Fund                                                                                      | A                                       | Int./Div.                                     | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 114. Powershares DB Agriculture Fund                                                                                        |                                         | None                                          |                                                 |                                           | Sold                                            | 02/14/12                | J                               | A                              |                                                                   |
| 115. Wells Fargo & Co                                                                                                       | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 10/09/12                | J                               | A                              |                                                                   |
| 116. BROKERAGE ACCOUNT #4                                                                                                   |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 117. AT&T Common                                                                                                            | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 10/09/12                | J                               | A                              |                                                                   |
| 118. Apple Common                                                                                                           | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 119. Bristol Myers Squibb Common                                                                                            | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 02/14/12                | J                               | A                              |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|-------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                   | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Cox, Susan E.

Date of Report

08/12/2013

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 120. Fidelity Cash Fund                                                                                                     | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 121. Fidelity High Income Fund                                                                                              | A                                       | Int./Div.                                     | J                                               | T                                         | Buy                                             | 11/08/12                | J                               |                                |                                                                   |
| 122. Fidelity Investment Grade Bond Fund                                                                                    | A                                       | Int./Div.                                     | J                                               | T                                         | Buy                                             | 11/08/12                | J                               |                                |                                                                   |
| 123. Fidelity New Markets Income                                                                                            | A                                       | Int./Div.                                     | K                                               | T                                         | Buy<br>(add'l)                                  | 11/08/12                | J                               |                                |                                                                   |
| 124. Fidelity Select Energy Service                                                                                         | A                                       | Int./Div.                                     |                                                 |                                           | Buy                                             | 05/25/12                | J                               |                                |                                                                   |
| 125.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 10/03/12                | J                               | A                              |                                                                   |
| 126. Fidelity Select Materials                                                                                              | A                                       | Int./Div.                                     |                                                 |                                           | Buy                                             | 05/25/12                | J                               |                                |                                                                   |
| 127.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 10/03/12                | J                               | A                              |                                                                   |
| 128. General Electric Common                                                                                                | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 10/10/12                | J                               | A                              |                                                                   |
| 129. Johnson & Johnson Common                                                                                               | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 10/23/12                | J                               | A                              |                                                                   |
| 130. Paychex Inc                                                                                                            | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 131. Powershares DB Agriculture Fund                                                                                        |                                         | None                                          |                                                 |                                           | Sold                                            | 02/14/12                | J                               | A                              |                                                                   |
| 132. Vanguard Short Term Bond ETF                                                                                           | A                                       | Int./Div.                                     | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 133. Wells Fargo & Co                                                                                                       | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 10/09/12                | J                               | A                              |                                                                   |
| 134. CUSTODIAL ACCOUNT #1                                                                                                   |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 135. First National Bank of LaGrange Account                                                                                | A                                       | Interest                                      | J                                               | T                                         | Open                                            | 01/31/12                | J                               |                                |                                                                   |
| 136. Chase Bank                                                                                                             | A                                       | Interest                                      |                                                 |                                           | Closed                                          | 01/31/12                | J                               | A                              |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
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| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 137. CUSTODIAL ACCOUNT #2                                                                                                   |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 138. First National Bank of LaGrange Account                                                                                | A                                       | Interest                                      | J                                               | T                                         | Open                                            | 01/31/12                | J                               |                                |                                                                   |
| 139. Chase Bank                                                                                                             | A                                       | Interest                                      |                                                 |                                           | Closed                                          | 01/31/12                | J                               | A                              |                                                                   |
| 140. IL Bright Directions 529 Plan #1 - Fund 80A                                                                            | B                                       | Int./Div.                                     | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 141. IL Bright Directions 529 Plan #2 - Fund 60A                                                                            | B                                       | Int./Div.                                     | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
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| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Cox, Susan E.

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## VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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Name of Person Reporting

Cox, Susan E.

Date of Report

08/12/2013

## IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Susan E. Cox**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure  
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