

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Gordon, Leo M.	2. Court or Organization U.S. Court of International Trade	3. Date of Report 10/31/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Court of International Trade - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address U.S. Court of International Trade One Federal Plaza New York, NY 10278		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Governor & President	Newark Academy Alumni Ass'n Board of Governors - Livingston, NJ
2.	Trustee	Newark Academy Board of Trustees - Livingston, NJ
3.	Member	Emory Law Alumni Board - Atlanta, GA
4.	Executor	Estate
5.	Executor	Estate

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2012	- Salary
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Georgetown University Law Center	2/8/12-2/10/12	Washington, DC	CLE Seminar	Transportation, lodging, and meals
2. International Customs Law Academy	8/21/12-8/25/12	Cancun, Mexico	Annual Meeting of International Customs Law Academy	Transportation, lodging, and meals
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Bank of America	Credit Card	J
2.	Sallie Mae Servicing	Student Loans	K
3.	Chase Card Services	Credit Card	K
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Verizon Communications - Common Stock	A	Dividend	J	T					
2. ML Bank Deposit Program - Wells Fargo Bank, N.A.	A	Interest	J	T					
3. ML Bank Deposit Program - Wells Fargo Bank, N.A.	A	Interest	J	T					
4. Franklin Templeton - Temp Class A - World Fund - Mutual Fund	B	Dividend	K	T					
5. Invesco VanKampen Amer. Cap. High Yield Mun. F. - Mutual Fun	B	Dividend	K	T					
6. Disney - Common Stock	A	Dividend	J	T					
7. Valley Nat'l Savings Bank - Savings Account	A	Interest	J	T					
8. ING Security Life - Whole Life Insurance Policy	A	Interest	J	T					
9. Apple - Common Stock		None	L	T					
10. Visa Inc. - Common Stock	A	Dividend	J	T					
11. Stonebridge Life Ins. Co. - Whole Life Ins. Policy	A	Interest	J	T					
12. Portage Minerals Inc.		None	J	T					
13. Bank of America - Savings Acct.	A	Interest	J	T					
14. U.S. Treasury HH Bonds		None	J	T					
15. Estate #1	D	Int./Div.	N	T					
16. -U.S. Treasury EE Bonds									
17. - AGE Bank Deposit Program - Wells Fargo Bank, N.A.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. - NFJ Div. Inc. & Prem. Strategy - Fund - Mut. Fund (Y)									
19. -Nuveen Quality Preferred Income Fund - Mut. Fund. (Y)									
20. - GNMA - Bonds					Sold (part)	11/12/12	J	A	
21. - Fed. Home Loan Mtg. Corp. - Bonds									
22. - Fed. Home Loan Mtg. Corp. - Bonds									
23. -Franklin Templeton - High Inc. Tr. Fnd - Class A - Mut.Fund					Sold (part)	11/12/12	J	A	
24. -Franklin Templeton -European Fund - Class A -Mut. Fund (Y)									
25. -Amer. Funds -Wash. Mut.Investors Fund- Class A - Mut. Fund					Sold (part)	11/12/12	K	C	
26. -Bank of America Checking Account									
27. IRA #1	D	Int./Div.	L	T					
28. -AGE Bank Deposit Program - Wells Fargo Bank, NA									
29. -Calamos Investment Trust New Convertible Fund - Mutual Fund									
30. -Calamos Investment Trust New Growth Fund - Mutual Fund					Sold (part)	04/13/12	J	A	
31. -Kinectics Mutual Fund Inc -Paradigm Fund - Mutual Fund					Sold (part)	10/12/12	J	A	
32. -WHV Int'l Equity Fund - Class 1- Mutual Fund									
33. -Pimco Real Return-Bond					Sold (part)	07/13/12	J	A	
34. -Pimco Real Return -Bond					Sold (part)	01/12/12	J	A	

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35. -Wells Fargo Advantage Emerging Markets-Equity Fund Mut Fund									
36. Estate #2	B	Int./Div.	L	T					
37. -General Motors-Bonds									
38. -AGE Bank Deposit Program - Wells Fargo Bank, NA									
39. Estate #3 (X)	B	Int./Div.	L	T					
40. - Prudential Financial Inc. - Common Stock									
41. - Xerox Corp. - Common Stock									
42. - Apple - Common Stock									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part I

Ln 4-5 Inadvertently omitted from prior report.

Part IV

Ln 26 Inadvertently omitted from prior reports.

Ln 33-34 Pimco Real Return-Bond was entered twice with two separate sold dates.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Leo M. Gordon**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544