

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Eagles, Catherine C.	2. Court or Organization Middle District of North Carolina	3. Date of Report 5/4/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U. S. District Judge - Active Status	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address 324 W. Market Street Greensboro, NC 27401		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2016	Consolidated Judicial Retirement System of NC - Pension	\$73,903.27
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2016	William A. Eagles, PLLC - Earnings from law practice (Attorney/self-employed)
2. 2016	University of NC at Greensboro - Earnings for teaching
3. 2016	Elon University - Earnings for teaching
4. 2016	High Point University - Earnings for teaching

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Wells Fargo Advisors, LLC	Priority Credit Line - guarantee of relative's loan	K
2.	Wells Fargo Advisors, LLC	Priority Credit Line - guarantee of relative's loan	K
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Brokerage Account #1 (H)									
2. Dominion Resources Inc. VA New - common stock	C	Dividend	L	T					
3. Duke Energy Corp - common stock	C	Dividend	L	T					
4. Southern Bancshares NC Inc. - common stk	A	Dividend	M	T					
5. Spectra Energy Corp - common stock	C	Dividend	L	T					
6. Southern Company - common stock	A	Dividend	J	T					
7. Invesco Charter Fund CI A - Mutual Fund	A	Dividend	J	T					
8. American Funds The Growth Fund of America CI A - Mutual Fund (AGTHX)	D	Dividend	M	T					
9. American Funds The Investment Co. of America CI A - Mutual Fund (AIVSX)	C	Dividend	L	T					
10. American Funds Wash Mutual Investors Fd Inc. CI A - Mutual Fund (AWSHX)	D	Dividend	M	T					
11. American Funds Income Fund America Inc A - Mutual Fund (AMECX)	C	Dividend	M	T					
12. Wells Fargo Advisors Money Market Account	A	Interest	L	T					
13. Brokerage Account #2 (H)									
14. American Funds Investment Co America CI A (AIVSX) - Mutual Fund	D	Dividend	M	T					
15. Brokerage Account #3 (H)									
16. American Funds Investment Co of Amr A - Mutual Fund	E	Dividend	N	T					
17. IRA #1 (H)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,001 - \$500,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. Amer. Balanced Fd Cl A - Mutual Fund	C	Dividend	M	T					
19. American Funds Investment. Co. of America CL A - Mutual Fund	D	Dividend	M	T					
20. American Funds Income Fund America Inc A - Mutual Fund	C	Dividend	M	T					
21. IRA #2 (H)									
22. Wells Fargo Intrinsic Val Fd A - Mutual Fund	D	Dividend	M	T					
23. IRA #3 (H)									
24. American Funds Growth Fund Am. CL A - Mutual Fund	A	Dividend	J	T					
25. IRA #4 (H)									
26. American Funds Inv. Co. Am CL A (AIVSX) - Mutual Fund	D	Dividend	M	T					
27. American Funds Amer Balanced Fd CL A - Mutual Fund	D	Dividend	M	T					
28. Capital Income Bldr CL A - Mutual Fund	D	Dividend	N	T					
29. American Funds New Perspective Fd CL A - Mutual Fund	B	Dividend	K	T					
30. IRA #5 (H)									
31. American Funds Growth Fund Am CL A (AGTHX) - Mutual Fund	A	Dividend	J	T					
32. Cash - Wells Fargo Advisors - Money Market (Bank Deposit Sweep)	A	Interest	J	T					
33. Other Assets (H)									
34. Lincoln National Life Insurance Co Policies Surrender Value	B	Interest	L	T					

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35. Wells Fargo - Bank Account	A	Interest	L	T					
36. Bank of America - Bank Account - Spouse		None	K	T					
37. Bank of America - Bank Account - Spouse's PLLC		None	K	T					
38. Bank of Amer - Bank Account - Spouse's Eagle Farms LLC - 1/2 owner		None	J	T					
39. State Employees' Credit Union - Shares Account	A	Interest	J	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

PART VII, Line 38. Bank of America (Eagles Farms, LLC cash account - spouse) - This discloses one-half interest in residual cash from closed business (Eagles Farms, LLC).

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Catherine C. Eagles**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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