

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Griffin, Juliet E.	2. Court or Organization Middle District of Tennessee	3. Date of Report 11/10/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Magistrate Judge Full	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address 756 U.S. Courthouse 801 Broadway Nashville, TN 37203		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME

(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2014

Self Employed Investor

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Capital Bank (formerly GreenBank, formerly Cumberland Bank)	Mortgage on Rental Property	M
2.	MasterCard	Credit Card	J
3.	Sallie Mae tuition loan	Educational loan	J
4.	Visa	Credit Card	J
5.	Renovate Credit Card (From Sprintz Furniture)	Credit Card	J

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. TD AMERITRADE MAIN ACCOUNT									
2. Cash	A	Interest	J	T					
3.									
4. REAL ESTATE INVETMENT									
5. Rental Property No. 1 Davidson County, TN	E	Rent	N	Q					
6.									
7. TD AMERITRADE IRA *									
8. Money Market	A	Interest	J	T					
9.									
10. TD AMERITRADE ROTH IRA *									
11. Money Market	A	Interest	J	T					
12.									
13. TD AMERITRADE IRA									
14. Money Market	A	Interest	J	T					
15.									
16. TD AMERITRADE ROTH IRA									
17. Money Market	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

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	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18.									
19. IRA INNOVATIONS (IRA Administrator for Self Directed IRAs)									
20. Traditional IRA									
21. Money Market (Note 1)		None	K	T					
22. Loan to Astercor Group, LLC		None	M	T	Buy	12/30/13	L		Astercor Group, LLC
23. Loan to Astercor Group, LLC		None			Matured	10/31/14	M	D	Astercor Group, LLC
24.									
25. Loan to Freedom Properties, LLC		None			Buy	1/09/14	L		Freedom Properties, LLC
26. Loan to Freedom Properties, LLC		None			Buy (add'l)	2/14/14	K		Freedom Properties, LLC
27. Loan to Freedom Properties, LLC		None			Matured	08/11/14	M	D	Freedom Properties, LLC
28.									
29. Loan to Freedom Properties, LLC		None	K	T	Buy	08/28/14	K		Freedom Properties, LLC
30.									
31. Loan to Freedom Properties, LLC		None	M	T	Buy	11/25/14	M		Freedom Properties, LLC
32.									
33. Loan to Charlie Three, LLC		None	K	T	Buy	11/03/14	K		Charlie Three, LLC
34.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35.									
36. IRA INNOVATIONS (IRA Administrator for Self Directed IRAs)		None							
37. Traditional IRA		None							
38. Money Market (Note 2)		None	J	T					
39. Loan to Honey Bee Partners, LLC		None			Buy	09/30/13	M		Honey Bee Partners, LLC
40. Loan to Honey Bee Partners, LLC		None			Matured	9/25/14	M	E	Honey Bee Partners, LLC
41.									
42. Loan to Charlie Three, LLC		None	M	T	Buy	11/03/14	M		Charlie Three, LLC
43.									
44.									
45. IRA INNOVATIONS (IRA Administrator for Self Directed Roth IRA's)									
46. Roth IRA		None							
47. Money Market		None	J	T	Open	12/05/13	J		
48. Money Market (Note 1)		None			Buy (add'l)	11/22/14	J		
49. Loan to Freedom Properties, LLC		None	J	T	Buy	01/13/14	J		Freedom Properties, LLC
50.									
51. IRA INNOVATIONS (IRA Administrator for Self Directed Roth IRA's)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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52. Roth IRA *									
53. Money Market		None	J	T	Open	11/21/13	J		
54. Money Market (Note 1)		None			Buy (add'l)	11/22/14	J		
55. Loan to Freedom Properties, LLC		None	J	T	Buy	1/13/14	J		Freedom Properties, LLC
56.									
57. Equity Trust (IRA Administrator for Self Directed IRA)									
58. Traditional IRA [REDACTED]		None	J	T	Open	8/25/14	J		
59.									
60. Business Loan to Chad Harvey (Note 2)		None			Open	12/17/13	K		Chad Harvey
61. Business Loan to Chad Harvey		None			Matured	2/06/14	K	B	Chad Harvey
62.									
63. Business Loan to Freedom Properties, LLC (Note 3)		None			Open	11/23/13	L		Freedom Properties, LLC
64. Business Loan to Freedom Properties, LLC		None			Buy (add'l)	2/13/14	K		Same
65. Business Loan to Freedom Properties, LLC		None			Buy (add'l)	3/21/14	J		same
66. Business Loan to Freedom Properties, LLC		None			Buy (add'l)	4/11/14	J		same
67. Business Loan to Freedom Properties, LLC		None			Buy (add'l)	5/08/14	J		same
68. Business Loan to Freedom Properties, LLC		None			Matured	9/26/14	M	D	Freedom Properties, LLC

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69.									
70. Business Loan to Freedom Properties, LLC (Note 4)		None			Open	3/31/14	L		Freedom Properties, LLC
71. Business Loan to Freedom Properties, LLC		None			Matured	11/6/14	L	D	Freedom Properties, LLC
72.									
73.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Note 1: Additional Funds Transferred from Traditional IRA to Roth IRA.

Note 2: This was a business loan in [REDACTED] business at the end of 2013 and is unclear if it needed to be reported. However, out of caution it was included here.

Note 3. This was a business loan in [REDACTED] business at the end of 2013 and is unclear if it needed to be reported. However, out of caution it was included here.

Note 4. This was a business loan in [REDACTED] business in 2014. It is unclear if it needed to be reported. However, out of caution it was included here.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Juliet E. Griffin**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544