

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) SARIS, PATTI B.	2. Court or Organization U.S.D.C. MASSACHUSETTS	3. Date of Report 05/10/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) DISTRICT COURT JUDGE (ACTIVE)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address J. J. MOAKLEY U.S. COURTHOUSE 1 COURTHOUSE WAY, SUITE 8110 BOSTON, MA 02210		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	CO-TRUSTEE	TRUST #3
2.	CO-TRUSTEE	TRUST #4
3.	CO-TRUSTEE	TRUST #5
4.	Director	Bottom Line Inc., Boston, MA
5.	Member Board of Directors	Federal Judges Association (FJA)
6.	Member Board of Directors (term ended 6/2012)	21st Century Fund, Brookline High School, Brookline, MA
7.	Director	Codman Academy Foundation Inc, Dorchester, MA

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2012	PRESIDENT AND FELLOWS OF HARVARD COLLEGE, CAMBRIDGE, MA - salary
2. 2012	HARVARD BUSINESS SCHOOL PUBLISHING, MA - Royalties
3. 2012	HIGHVISTA STRATEGIES LLC, MA - Advisory fee
4. 2012	HARVARD BUSINESS SCHOOL INTERACTIVE, INC, MA - seminar compensation

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. FJA	5/8 - 5/10/2012	Washington, DC	Congressional reception & meeting	Transportation, meals & lodging
2. Yale University	11/5/2012	New Haven, CT	Yale Law School educational seminar speaker	Transportation, meals & lodging
3. NYU	4/17/2012	New York, NY	NYU School of Law educational seminar	Transportation, meals & lodging
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Mass. St Port Authority, Muni Bonds	C	Interest			Matured	07/01/12	L		
2. Mass. St G/O Cons Ln Series, Muni Bonds	D	Interest	M	T					
3. Mass. Bay Trans Authority, Municipal Bonds	B	Interest			Matured	03/01/12	K		
4. Venture Investors Fund, LP		None	J	W					
5. Bank of America (formerly Fleet Natl Bank), Bank Accounts		None	K	T					
6. Realty Assoc Fund IV, LLC		None	M	W					
7. Tucker Anthony Private Equity Fund I, LP	D	Distribution	K	W					
8. TA Conference LLC		None	M	W					
9. Realty Associates Fund V, LLC		None	O	W	Redeemed (part)	01/13/12	L		See Part VIII
10.					Redeemed (part)	05/08/12	P1		See Part VIII
11. Tucker Anthony Private Equity Fund II	E	Distribution	M	W	Redeemed (part)	10/25/12	J		See Part VIII
12. Mass. In'l Fin Agy Municipal Bonds	C	Interest	L	T					
13. Tucker Anthony Private Equity Fund III	E	Distribution	M	U	Redeemed (part)	05/11/12	J		See Part VIII
14. Tucker Anthony Private Equity Technology LP	C	Distribution	L	W					
15. Trust # 5	G	Dividend	P2	T					
16. - Baupost Value Partners LP I									
17. - Kensico Offshore Fd Ltd LP									

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
(See Column C2)	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. - Global Fixed Inc Brandywine Inv Tr Mutual Fd					Redeemed (part)	05/31/12	M	E	
19.					Redeemed	07/02/12	N	E	
20. - Van Eck Intl Invest Gold-A Mutual Fd					Sold (part)	03/27/12	K		
21.					Buy (add'l)	12/21/12	K		
22. - Dodge & Cox Income Fd mutual Fd									
23. - Federated US Treas Cash money market (cash equiv)					Buy (add'l)	01/03/12	J		
24.					Buy (add'l)	02/02/12	J		
25.					Buy (add'l)	03/27/12	J		
26.					Buy (add'l)	03/28/12	L		
27.					Buy (add'l)	05/01/12	J		
28.					Buy (add'l)	06/26/12	J		
29.					Buy (add'l)	06/28/12	J		
30.					Buy (add'l)	07/03/12	N		
31.					Buy (add'l)	07/10/12	K		
32.					Buy (add'l)	08/02/12	J		
33.					Buy (add'l)	09/05/12	J		
34.					Buy (add'l)	09/25/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35.					Buy (add'l)	09/26/12	J		
36.					Buy (add'l)	09/27/12	J		
37.					Buy (add'l)	11/13/12	K		
38.					Buy (add'l)	12/21/12	K		
39.					Buy (add'l)	12/31/12	J		
40.					Sold (part)	02/01/12	J		
41.					Sold (part)	02/10/12	K		
42.					Sold (part)	03/01/12	J		
43.					Sold (part)	03/29/12	M		
44.					Sold (part)	04/02/12	J		
45.					Sold (part)	05/09/12	K		
46.					Sold (part)	06/01/12	J		
47.					Sold (part)	07/02/12	J		
48.					Sold (part)	07/12/12	J		
49.					Sold (part)	07/13/12	J		
50.					Sold (part)	08/01/12	J		
51.					Sold (part)	08/03/12	J		

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52.					Sold (part)	09/04/12	J		
53.					Sold (part)	09/12/12	J		
54.					Sold (part)	10/01/12	J		
55.					Sold (part)	10/10/12	J		
56.					Sold (part)	11/01/12	J		
57.					Sold (part)	11/05/12	M		
58.					Sold (part)	12/03/12	J		
59. - Vanguard S/T Invest Gr Inv (Mutual fd)					Sold	02/01/12	J	A	
60. - PIMCO Emerging Mkts Curr Instl (mtl fd)- name chgd 8/16/11					Open	12/05/12	O		See Part VIII
61. - Vanguard Dividend Appre, mutual fd (x)					Open	12/05/12	P1		See Part VIII
62. - Vanguard Developed Mkts Index, mutual fd (y)									
63. - Vanguard Emerging Markets, mutual fd (y)									
64. Trust # 9	D	Int./Div.	O	T					
65. - Ishares MSCI Brazil Index Fd (mutual fd)									
66. - SPDR Gold Trust (mutual fd)									
67. - Federated US Treas Cash Rsv money mrkt (mutual fd)					Buy (add'l)	06/18/12	J		
68.					Buy (add'l)	06/28/12	J		

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69.					Buy (add'l)	09/26/12	J		
70.					Buy (add'l)	12/21/12	J		
71. - Vanguard Dividend Appre, mutual fd									
72. - Vanguard Emerging Markets, mutual fd									
73. Trust # 10		None	N	W					
74. - Travelers Life & Annuity Ins Co, universal life insurance									
75. Trust # 11	A	Dividend	P1	W					
76. - Manulife Fin'l Corp (formerly JHancock Finl Svcs), common									
77. - Sun Life Assurance, universal life insurance									
78. - Manufacturers Life Ins, universal life (John Hancock)									
79. - Federated USTreas Cash Rsv cash eq mutual fd									
80. MA Water Pollution Municipal Bonds	B	Interest	K	T	Sold (part)	02/01/12	J		
81. Tucker Anthony Private Equity Fund IV	F	Distribution	M	U					
82. IRA Rollover			O	W					
83. - Federated USTreas Cash Rsv cash eq mutual fd	A	Dividend	O	T					
84. - Zoom Information Inc Cl B closely held		None	J	W					
85. Battery Ventures VI L.P.	E	Distribution	K	U					

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86. Friends of Lime Rock II L.P.		None	J	U					
87. Park St Capl Natural Res Fd L.P.	G	Distribution	N	U					
88. Patron Capital L.P. I		None	L	U					
89. US Fidenza LLC		None	K	U					
90. US Wertheim LLC		None			Redeemed	12/31/12	M		See Part VIII
91. MFB Northern Trust Co Funds Muni MM cash equiv.	A	Dividend	O	T					See Part VIII
92. Northn AZ Univ Revs Municipal Bond	D	Interest	N	T					
93. Phoenix AZ Civic Impt Municipal Bond	D	Interest			Matured	07/02/12	N		See Part VIII
94. Trust # 14		None							See Part VIII
95. - Federated USTreas Cash Rsv cash eq mutual fd					Sold	01/06/12	J		
96. - Int in MECM Investments LLC (closely held) (x)					Distributed	01/09/12	P1		
97. Trust # 15		None							See Part VIII
98. - Federated USTreas Cash Rsv cash eq mutual fd					Sold	01/06/12	J		
99. - Int in MECM Investments LLC (closely held) (x)					Distributed	01/09/12	P1		
100. Trust # 16		None							See Part VIII
101. - Federated USTreas Cash Rsv cash eq mutual fd					Sold	01/06/12	J		
102. - Int in MECM Investments LLC (closely held) (x)					Distributed	01/09/12	P1		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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103. Trust # 17		None							See Part VIII
104. - Federated USTreas Cash Rsv cash eq mutual fd					Sold	01/06/12	J		
105. - Int in MECM Investments LLC (closely held)					Distributed	01/09/12	P1		
106. Park St Capital V LP	G	Distribution	O	U					
107. Plymouth Rock Co Inc OC Cl A - common stock	G	Dividend	P2	T					
108. Buenos Aires Residential Co II LLC	A	Distribution							See Part VIII
109. Friends of Lime Rock III LP		None	O	U	Buy (add'l)	02/02/12	J		
110. Highfields Capital II LP	G	Distribution	O	U					
111. Park St Natural Resource Fund II Combined (Private Equity)	G	Distribution	O	U	Buy (add'l)	03/22/12	J		
112.					Buy (add'l)	04/22/12	J		
113. Park Street Capital VI (Private Equity Fd)	F	Distribution	O	U	Buy (add'l)	03/29/12	J		
114.					Buy (add'l)	09/27/12	J		
115. Patron Capital LP II (private equity)	D	Distribution	O	W	Buy (add'l)	06/25/12	K		See Part VIII
116. Orion Eruopean Real Estate Fd II, C.V., LP		None	N	U	Buy (add'l)	04/18/12	L		
117. US Dublin LLC		None	L	U					
118. Europa Fund II US LP	D	Distribution	N	W					
119. Weston Presidio V LP	F	Distribution	N	U	Buy (add'l)	08/29/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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SARIS, PATTI B.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120.					Buy (add'l)	12/31/12	K		
121. IL St Municipal Bds	D	Interest			Sold	02/16/12	N	B	
122. NJ Dev Auth Municipal Bds	D	Interest	M	T					
123. Trust # 18	D	Distribution	P1	T					
124. - Federated USTreas Cash Rsv cash eq mutual fd					Buy (add'l)	08/17/12	J		
125.					Buy (add'l)	12/17/12	J		
126.					Sold (part)	01/23/12	K		
127.					Sold (part)	04/10/12	J		
128.					Sold (part)	06/07/12	J		
129.					Sold (part)	09/06/12	J		
130.					Sold (part)	12/19/12	J		
131. - Int in MECM Investments LLC (closely held)					Open	01/09/12	P1		See Part VIII
132. Trust # 19	D	Distribution	P1	T					
133. - Federated USTreas Cash Rsv cash eq mutual fd					Buy (add'l)	08/17/12	J		
134.					Buy (add'l)	12/17/12	J		
135.					Sold (part)	01/23/12	K		
136.					Sold (part)	04/10/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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SARIS, PATTI B.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137.					Sold (part)	06/07/12	J		
138.					Sold (part)	09/06/12	J		
139.					Sold (part)	12/10/12	J		
140. - Int in MECM Investments LLC (closely held)					Open	01/09/12	P1		See Part VIII
141. Trust # 20	D	Distribution	P1	T					
142. - Federated USTreas Cash Rsv cash eq mutual fd					Buy (add'l)	08/17/12	J		
143.					Buy (add'l)	12/17/12	J		
144.					Sold (part)	01/10/12	J		
145.					Sold (part)	01/23/12	K		
146.					Sold (part)	04/10/12	J		
147.					Sold (part)	06/07/12	J		
148.					Sold (part)	09/06/12	J		
149.					Sold (part)	12/19/12	J		
150. - Int in MECM Investments LLC (closely held)					Open	01/09/12	P1		See Part VIII
151. Trust # 21	D	Distribution	P1	T					
152. - Federated USTreas Cash Rsv cash eq mutual fd					Buy (add'l)	08/17/12	J		
153.					Buy (add'l)	12/17/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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SARIS, PATTI B.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154.					Sold (part)	01/10/12	J		
155.					Sold (part)	01/23/12	K		
156.					Sold (part)	04/10/12	J		
157.					Sold (part)	06/07/12	J		
158.					Sold (part)	09/06/12	J		
159.					Sold (part)	12/19/12	J		
160. - Int in MECM Investments LLC (closely held)					Open	01/09/12	P1		See Part VIII
161. TA Investors L.P		None	J	W					
162. Wavemark Inc Ser A pfd stock		None	N	T					
163. Centerbridge Cap Ptnrs LPs (Priv. Eq)	G	Distribution	P1	U	Buy (add'l)	12/31/12	M		
164. Lime Rock Partners IV LP (combined) (private equities)	E	Distribution	P1	U	Buy (add'l)	03/29/12	K		
165.					Buy (add'l)	07/10/12	J		
166.					Buy (add'l)	10/01/12	J		
167.					Buy (add'l)	12/31/12	K		
168. Park St Cap Priv Eq Fd VII A LP	E	Distribution	N	U	Buy (add'l)	02/15/12	J		
169.					Buy (add'l)	05/02/12	J		
170.					Buy (add'l)	09/20/12	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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Name of Person Reporting

SARIS, PATTI B.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171.					Buy (add'l)	11/06/12	J		
172.					Buy (add'l)	12/19/12	J		
173. Park St Cap Natural Res Fd III LP combined (priv Eq)	G	Distribution	P1	U	Buy (add'l)	01/13/12	K		
174.					Buy (add'l)	06/22/12	J		
175.					Buy (add'l)	08/03/12	J		
176.					Buy (add'l)	09/24/12	J		
177.					Buy (add'l)	11/26/12	J		
178.					Buy (add'l)	12/17/12	K		
179. Polaris Vent Part Entrep Fd V LP	G	Distribution	O	U	Buy (add'l)	06/22/12	K		
180.					Buy (add'l)	11/27/12	K		
181. Summit Ptrns Priv Eq Fd VII-A		None	O	U	Buy (add'l)	03/26/12	L		
182.					Distributed (part)	05/10/12	K		
183.					Buy (add'l)	05/31/12	K		
184. TA X LP (Priv Eq)	G	Distribution	P1	U					
185. SPDR Gold Tr (formerly Streettracks Gold Trust) mutual fd		None	P1	T					
186. Realty Assoc Fd V Corp (REIT)		None	J	W					
187. Maricopa Cty, AZ Municipal bds	D	Interest	N	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

SARIS, PATTI B.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. Minneapolis, MN Municipal bds	D	Interest	M	T					
189. University Idaho Municipal bds	D	Interest	M	T					
190. Federated US Treas Cash Rsv cash eq mutual fd	A	Dividend	P1	T	Buy (add'l)	01/03/12	L		
191.					Buy (add'l)	01/04/12	K		
192.					Buy (add'l)	01/12/12	J		
193.					Buy (add'l)	01/13/12	K		
194.					Buy (add'l)	01/17/12	J		
195.					Buy (add'l)	01/18/12	K		
196.					Buy (add'l)	01/20/12	J		
197.					Buy (add'l)	01/23/12	K		
198.					Buy (add'l)	01/30/12	O		
199.					Buy (add'l)	01/31/12	K		
200.					Buy (add'l)	02/02/12	K		
201.					Buy (add'l)	02/06/12	J		
202.					Buy (add'l)	02/09/12	J		
203.					Buy (add'l)	02/17/12	J		
204.					Buy (add'l)	02/23/12	L		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205.					Buy (add'l)	02/27/12	K		
206.					Buy (add'l)	03/01/12	K		
207.					Buy (add'l)	03/02/12	K		
208.					Buy (add'l)	03/05/12	J		
209.					Buy (add'l)	03/07/12	L		
210.					Buy (add'l)	03/19/12	J		
211.					Buy (add'l)	03/21/12	L		
212.					Buy (add'l)	03/23/12	J		
213.					Buy (add'l)	03/27/12	L		
214.					Buy (add'l)	03/28/12	J		
215.					Buy (add'l)	03/29/12	J		
216.					Buy (add'l)	03/30/12	L		
217.					Buy (add'l)	04/02/12	L		
218.					Buy (add'l)	04/03/12	K		
219.					Buy (add'l)	04/05/12	L		
220.					Buy (add'l)	04/11/12	J		
221.					Buy (add'l)	04/20/12	L		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222.					Buy (add'l)	04/24/12	K		
223.					Buy (add'l)	04/27/12	M		
224.					Buy (add'l)	05/02/12	K		
225.					Buy (add'l)	05/03/12	K		
226.					Buy (add'l)	05/07/12	K		
227.					Buy (add'l)	05/08/12	P1		
228.					Buy (add'l)	05/09/12	M		
229.					Buy (add'l)	05/14/12	J		
230.					Buy (add'l)	05/24/12	L		
231.					Buy (add'l)	06/04/12	J		
232.					Buy (add'l)	06/05/12	M		
233.					Buy (add'l)	06/14/12	J		
234.					Buy (add'l)	06/18/12	K		
235.					Buy (add'l)	06/19/12	N		
236.					Buy (add'l)	06/20/12	N		
237.					Buy (add'l)	06/21/12	L		
238.					Buy (add'l)	06/22/12	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less (See Columns C1 and D3)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Column C2)	N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

239.					Buy (add'l)	06/25/12	K		
240.					Buy (add'l)	06/26/12	J		
241.					Buy (add'l)	06/27/12	L		
242.					Buy (add'l)	06/28/12	J		
243.					Buy (add'l)	06/29/12	K		
244.					Buy (add'l)	07/02/12	K		
245.					Buy (add'l)	07/03/12	K		
246.					Buy (add'l)	07/10/12	M		
247.					Buy (add'l)	07/17/12	J		
248.					Buy (add'l)	07/18/12	J		
249.					Buy (add'l)	07/19/12	L		
250.					Buy (add'l)	07/30/12	J		
251.					Buy (add'l)	07/31/12	J		
252.					Buy (add'l)	08/02/12	L		
253.					Buy (add'l)	08/10/12	L		
254.					Buy (add'l)	08/16/12	J		
255.					Buy (add'l)	08/17/12	M		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

SARIS, PATTI B.

Date of Report

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

256.					Buy (add'l)	08/21/12	K		
257.					Buy (add'l)	08/22/12	L		
258.					Buy (add'l)	08/31/12	J		
259.					Buy (add'l)	09/04/12	K		
260.					Buy (add'l)	09/05/12	J		
261.					Buy (add'l)	09/06/12	K		
262.					Buy (add'l)	09/12/12	L		
263.					Buy (add'l)	09/19/12	K		
264.					Buy (add'l)	09/20/12	J		
265.					Buy (add'l)	09/21/12	L		
266.					Buy (add'l)	09/24/12	J		
267.					Buy (add'l)	09/25/12	K		
268.					Buy (add'l)	09/26/12	L		
269.					Buy (add'l)	09/28/12	L		
270.					Buy (add'l)	10/01/12	P1		
271.					Buy (add'l)	10/02/12	J		
272.					Buy (add'l)	10/03/12	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

SARIS, PATTI B.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

273.					Buy (add'l)	10/05/12	J		
274.					Buy (add'l)	10/09/12	J		
275.					Buy (add'l)	10/10/12	J		
276.					Buy (add'l)	10/11/12	J		
277.					Buy (add'l)	10/12/12	M		
278.					Buy (add'l)	10/18/12	L		
279.					Buy (add'l)	10/22/12	J		
280.					Buy (add'l)	10/23/12	K		
281.					Buy (add'l)	10/25/12	J		
282.					Buy (add'l)	10/26/12	J		
283.					Buy (add'l)	10/31/12	K		
284.					Buy (add'l)	11/02/12	K		
285.					Buy (add'l)	11/05/12	K		
286.					Buy (add'l)	11/06/12	J		
287.					Buy (add'l)	11/07/12	L		
288.					Buy (add'l)	11/09/12	L		
289.					Buy (add'l)	11/14/12	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

SARIS, PATTI B.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
290.					Buy (add'l)	11/19/12	J		
291.					Buy (add'l)	11/20/12	J		
292.					Buy (add'l)	11/27/12	L		
293.					Buy (add'l)	11/28/12	J		
294.					Buy (add'l)	11/30/12	J		
295.					Buy (add'l)	12/03/12	L		
296.					Buy (add'l)	12/04/12	M		
297.					Buy (add'l)	12/05/12	K		
298.					Buy (add'l)	12/13/12	K		
299.					Buy (add'l)	12/14/12	M		
300.					Buy (add'l)	12/18/12	J		
301.					Buy (add'l)	12/19/12	J		
302.					Buy (add'l)	12/20/12	K		
303.					Buy (add'l)	12/21/12	N		
304.					Buy (add'l)	12/24/12	O		
305.					Buy (add'l)	12/26/12	J		
306.					Buy (add'l)	12/28/12	N		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

SARIS, PATTI B.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
307.					Buy (add'l)	12/31/12	M		
308.					Sold (part)	01/03/12	J		
309.					Sold (part)	01/09/12	L		
310.					Sold (part)	01/10/12	K		
311.					Sold (part)	01/11/12	J		
312.					Sold (part)	01/12/12	K		
313.					Sold (part)	01/17/12	K		
314.					Sold (part)	01/23/12	L		
315.					Sold (part)	01/25/12	N		
316.					Sold (part)	02/01/12	K		
317.					Sold (part)	02/02/12	J		
318.					Sold (part)	02/03/12	J		
319.					Sold (part)	02/07/12	J		
320.					Sold (part)	02/08/12	J		
321.					Sold (part)	02/09/12	J		
322.					Sold (part)	02/10/12	J		
323.					Sold (part)	02/13/12	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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SARIS, PATTI B.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
324.					Sold (part)	02/14/12	K		
325.					Sold (part)	02/15/12	J		
326.					Sold (part)	02/21/12	K		
327.					Sold (part)	02/22/12	K		
328.					Sold (part)	02/27/12	M		
329.					Sold (part)	02/28/12	K		
330.					Sold (part)	03/01/12	K		
331.					Sold (part)	03/02/12	J		
332.					Sold (part)	03/05/12	J		
333.					Sold (part)	03/06/12	J		
334.					Sold (part)	03/08/12	K		
335.					Sold (part)	03/09/12	J		
336.					Sold (part)	03/12/12	J		
337.					Sold (part)	03/15/12	J		
338.					Sold (part)	03/16/12	J		
339.					Sold (part)	03/19/12	J		
340.					Sold (part)	03/22/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

SARIS, PATTI B.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

341.					Sold (part)	03/26/12	L		
342.					Sold (part)	03/28/12	L		
343.					Sold (part)	03/29/12	L		
344.					Sold (part)	04/02/12	K		
345.					Sold (part)	04/04/12	J		
346.					Sold (part)	04/09/12	J		
347.					Sold (part)	04/11/12	P1		
348.					Sold (part)	04/16/12	J		
349.					Sold (part)	04/19/12	M		
350.					Sold (part)	04/26/12	K		
351.					Sold (part)	04/30/12	J		
352.					Sold (part)	05/01/12	K		
353.					Sold (part)	05/11/12	J		
354.					Sold (part)	05/15/12	J		
355.					Sold (part)	05/16/12	J		
356.					Sold (part)	05/17/12	J		
357.					Sold (part)	05/18/12	M		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

SARIS, PATTI B.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
358.					Sold (part)	05/22/12	J		
359.					Sold (part)	05/25/12	J		
360.					Sold (part)	05/29/12	J		
361.					Sold (part)	05/30/12	J		
362.					Sold (part)	05/31/12	K		
363.					Sold (part)	06/01/12	K		
364.					Sold (part)	06/04/12	N		
365.					Sold (part)	06/05/12	J		
366.					Sold (part)	06/06/12	J		
367.					Sold (part)	06/07/12	O		
368.					Sold (part)	06/08/12	K		
369.					Sold (part)	06/11/12	J		
370.					Sold (part)	06/12/12	J		
371.					Sold (part)	06/13/12	M		
372.					Sold (part)	06/15/12	J		
373.					Sold (part)	06/19/12	M		
374.					Sold (part)	06/20/12	N		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

375.					Sold (part)	06/25/12	K		
376.					Sold (part)	06/26/12	J		
377.					Sold (part)	06/27/12	K		
378.					Sold (part)	06/28/12	J		
379.					Sold (part)	07/02/12	K		
380.					Sold (part)	07/03/12	K		
381.					Sold (part)	07/05/12	L		
382.					Sold (part)	07/06/12	J		
383.					Sold (part)	07/09/12	K		
384.					Sold (part)	07/10/12	L		
385.					Sold (part)	07/12/12	K		
386.					Sold (part)	07/13/12	J		
387.					Sold (part)	07/16/12	J		
388.					Sold (part)	07/23/12	J		
389.					Sold (part)	07/24/12	J		
390.					Sold (part)	07/27/12	J		
391.					Sold (part)	08/01/12	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

392.					Sold (part)	08/03/12	J		
393.					Sold (part)	08/09/12	K		
394.					Sold (part)	08/10/12	J		
395.					Sold (part)	08/14/12	K		
396.					Sold (part)	08/15/12	M		
397.					Sold (part)	08/21/12	J		
398.					Sold (part)	08/22/12	K		
399.					Sold (part)	08/23/12	K		
400.					Sold (part)	08/29/12	K		
401.					Sold (part)	09/04/12	K		
402.					Sold (part)	09/06/12	J		
403.					Sold (part)	09/10/12	N		
404.					Sold (part)	09/11/12	P1		
405.					Sold (part)	09/12/12	N		
406.					Sold (part)	09/13/12	M		
407.					Sold (part)	09/14/12	J		
408.					Sold (part)	09/17/12	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
409.					Sold (part)	09/18/12	J		
410.					Sold (part)	09/27/12	M		
411.					Sold (part)	10/01/12	K		
412.					Sold (part)	10/02/12	J		
413.					Sold (part)	10/04/12	L		
414.					Sold (part)	10/05/12	J		
415.					Sold (part)	10/09/12	J		
416.					Sold (part)	10/10/12	K		
417.					Sold (part)	10/11/12	J		
418.					Sold (part)	10/12/12	J		
419.					Sold (part)	10/15/12	P1		
420.					Sold (part)	10/16/12	J		
421.					Sold (part)	10/17/12	J		
422.					Sold (part)	10/19/12	J		
423.					Sold (part)	10/23/12	J		
424.					Sold (part)	10/24/12	J		
425.					Sold (part)	11/01/12	M		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
426.					Sold (part)	11/02/12	N		
427.					Sold (part)	11/05/12	J		
428.					Sold (part)	11/06/12	J		
429.					Sold (part)	11/08/12	J		
430.					Sold (part)	11/13/12	K		
431.					Sold (part)	11/14/12	J		
432.					Sold (part)	11/15/12	J		
433.					Sold (part)	11/16/12	J		
434.					Sold (part)	11/26/12	K		
435.					Sold (part)	11/29/12	J		
436.					Sold (part)	12/03/12	K		
437.					Sold (part)	12/06/12	M		
438.					Sold (part)	12/11/12	K		
439.					Sold (part)	12/12/12	M		
440.					Sold (part)	12/17/12	K		
441.					Sold (part)	12/18/12	J		
442.					Sold (part)	12/27/12	P1		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

443. Local Motors Series A pfd closely held		None	J	W					
444. PIMCO Emerging Mkts Curr Inst Fd mutual fd-name chgd 8/16/11	E	Dividend			Donated (part)				
445.					Sold	12/21/12	O	F	
446. Van Eck Intl Invest Gold A mutual fd	F	Dividend	P1	T	Buy (add'l)	12/21/12	L		
447. Hephaestion II LP priv eq	F	Distribution	P1	U	Buy (add'l)	12/26/12	L		
448. Hephaestion Retail LP priv eq	E	Distribution	O	U	Buy (add'l)	01/09/12	L		
449.					Buy (add'l)	07/05/12	K		
450. Portland Global Real Est Sec Fd LP		None	K	U					
451. Blackstone Distressed Securities Fd LP		None	J	U					
452. Penta Asia Domestic Partners LP	F	Distribution	P1	U					
453. PSC Luxembourg Hldgs LLC	B	Distribution	K	U					
454. Trust # 22	G	Distribution	P2	W					
455. - Int in MECM Assoc II LLC closely held									
456. - Hephaestion LP					Buy (add'l)	06/29/12	K		
457.					Buy (add'l)	09/26/12	K		
458. - Hephaestion Co-invest I LP					Buy (add'l)	09/26/12	J		
459.					Buy (add'l)	12/26/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

460. - Porus Invnt Hldg Co Ltd LP									
461. - Xander Master Fund LLC									
462. - Federated US Treas Cash reserve money mrkt mutual fd					Buy (add'l)	01/10/12	J		
463.					Buy (add'l)	02/10/12	L		
464.					Buy (add'l)	04/12/12	J		
465.					Buy (add'l)	06/19/12	M		
466.					Buy (add'l)	06/25/12	M		
467.					Buy (add'l)	07/12/12	J		
468.					Buy (add'l)	12/20/12	J		
469.					Sold (part)	3/28/12	J		
470.					Sold (part)	06/19/12	N		
471.					Sold (part)	06/27/12	J		
472.					Sold (part)	06/29/12	M		
473.					Sold (part)	09/26/12	J		
474.					Sold (part)	12/26/12	J		
475. Trust # 23	C	Int./Div.	P1	T					
476. - SPDR Gold Tr mutual fund									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

477. - Federated US Treas Cash Rsv mutual fund					Buy (add'l)	08/17/12	J		
478.					Buy (add'l)	11/13/12	J		
479.					Buy (add'l)	12/17/12	J		
480.					Sold (part)	04/10/12	J		
481. - Realty Associates Fd IV LLC									
482. - Int in MECM Investments LLC (closely held)									
483. Trust # 24	C	Int./Div.	P1	T					
484. - SPDR Gold Tr mutual fund									
485. - Federated US Treas Cash Rsv mutual fund					Buy (add'l)	08/17/12	J		
486.					Buy (add'l)	11/13/12	J		
487.					Buy (add'l)	12/17/12	J		
488.					Sold (part)	04/10/12	J		
489. - Realty Associates Fd IV LLC									
490. - Int in MECM Investments LLC (closely held)									
491. Trust # 25	C	Int./Div.	P1	T					
492. - SPDR Gold Tr mutual fund									
493. - Federated US Treas Cash Rsv mutual fund					Buy (add'l)	08/17/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000	E = \$15,001 - \$50,000 N = \$250,001 - \$500,000 O = \$500,001 - \$1,000,000
2. Value Codes (See Columns C1 and D3)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 R = Cost (Real Estate Only) V = Other	P2 = \$5,000,001 - \$25,000,000 S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

494.					Buy (add'l)	11/13/12	J		
495.					Buy (add'l)	12/17/12	J		
496.					Sold (part)	04/10/12	J		
497. - Realty Associates Fd IV LLC									
498. - Int in MECM Investments LLC (closely held)									
499. Trust # 26	C	Int./Div.	P1	T					
500. - SPDR Gold Tr mutual fund									
501. - Federated US Treas Cash Rsv mutual fund					Buy (add'l)	08/17/12	J		
502.					Buy (add'l)	11/13/12	J		
503.					Buy (add'l)	12/17/12	J		
504.					Sold (part)	04/10/12	J		
505. - Realty Associates Fd IV LLC									
506. - Int in MECM Investments LLC (closely held) (y)									See Part VIII
507. Liveprocess Crop Ser A pfd stock		None	J	T					
508. Neurophage Pharms Inc Series A cv pfd stock		None	J	T					
509. Wavemark Inc Ser B pfd stock		None	M	T					
510. Meridia Capital LP		None	O	U					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000,001 - \$500,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

511. Meta4 Group Ltd LP		None	J	U					
512. Elliott Associates, LP		None	P2	U					
513. Hony Capital Fund 2008, LP	G	Distribution	P1	U	Buy (add'l)	03/29/12	J		
514.					Buy (add'l)	07/02/12	K		
515.					Buy (add'l)	09/21/12	K		
516.					Buy (add'l)	12/03/12	K		
517.					Buy (add'l)	12/17/12	L		
518. Line Rock Partners V, LP combined	C	Distribution	P1	U	Buy (add'l)	03/08/12	K		
519.					Buy (add'l)	06/29/12	J		
520.					Buy (add'l)	08/23/12	J		
521.					Buy (add'l)	10/01/12	J		
522.					Buy (add'l)	11/02/12	K		
523. Lone Star Fund VI (US), LP	G	Distribution	P1	U					
524. Lone Star Europe Holdings (US), LP	E	Distribution	N	U					
525. Lone Star Real Estate Fund (US), LP	G	Distribution	O	U					
526. Middle East North Africa Opportunities Fd, LP		None	K	W					
527. Portland Capital LLP		None			Redeemed	03/06/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

528. MA Health & Ed Municipal Bds	C	Interest	M	T	Matured (part)	07/01/12	L		
529.					Buy (add'l)	05/22/12	M		
530. MECM Associates LLC closely held	A	Dividend	P3	W					See Part VIII
531. - Abrams Capital Partners II LP		None	P1	U					
532. - Baupost Value Partners LP II		None	P2	U					
533. - Brookside Cap Partners Fd LP		None	P1	U					
534. - Kensico Associates LP		None	P2	U					
535. - Federated US Treas Cash Rsv cash eq mutual fd	A	Dividend	J	T	Sold (part)	07/02/12	P1		
536.					Sold (part)	12/31/12	P1		
537. - PAR Investment Partners LP (private equity) (x)		None	P1	U	Buy	07/02/12	P1		
538.					Buy (add'l)	12/31/12	P1		
539. Auburndale FL Municipal bds	E	Interest	N	T					
540. Berkeley Cty SC Municipal bd	E	Interest	N	T					
541. Birmingham AL Municipal Bds	D	Interest			Matured	12/03/12	M		See Part VIII (called 0 gn
542. Hawaii St Municipal Bds	D	Interest	N	T	Matured (part)	01/16/12	L		See Part VIII (partl call)
543. Chatham Cty GA Municipal Bds	C	Interest	M	T					
544. MA St College Bds Municipal Bds	D	Interest	N	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	T = Cash Market
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

545. Trust # 27	D	Int./Div.	O	T					
546. - Ishares MSCI Brazil Index Fd									
547. - Int in MECM Investments LLC (closely held)									
548. - Federated US Treas Cash Rsv money mkt (mutual fd)					Buy (add'l)	01/23/12	K		
549.					Buy (add'l)	06/26/12	J		
550.					Buy (add'l)	06/28/12	J		
551.					Buy (add'l)	09/25/12	J		
552.					Buy (add'l)	09/26/12	J		
553.					Buy (add'l)	10/25/12	J		
554.					Buy (add'l)	12/21/12	J		
555.					Sold (part)	01/09/12	J		
556.					Sold (part)	02/27/12	J		
557.					Sold (part)	05/02/12	J		
558.					Sold (part)	06/27/12	J		
559.					Sold (part)	07/05/12	J		
560.					Sold (part)	09/17/12	J		
561.					Sold (part)	12/17/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
562. - Vanguard Dividend Appre, mutual fd									
563. - Vanguard Emerging Markets, mutual fd									
564. Trust # 28	D	Int./Div.	P1	T					
565. - Ishares MSCI Brazil Index Fd									
566. - Int in MECM Investments LLC (closely held)									
567. - Federated US Treas Cash Rsv money mrkt (mutual fd)					Buy (add'l)	01/23/12	K		
568.					Buy (add'l)	06/26/12	J		
569.					Buy (add'l)	06/28/12	J		
570.					Buy (add'l)	09/25/12	J		
571.					Buy (add'l)	09/26/12	J		
572.					Buy (add'l)	12/21/12	J		
573.					Sold (part)	02/27/12	K		
574.					Sold (part)	05/16/12	J		
575.					Sold (part)	05/24/12	J		
576.					Sold (part)	06/15/12	J		
577.					Sold (part)	10/18/12	J		
578. - Vanguard Dividend Appre, mutual fd									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
579. - Vanguard Emerging Markets, mutual fd									
580. Chilton Gobal Natural Res Partners LP		None			Redeemed	12/31/12	P1		See Part VIII
581. CX Partners Fd Limited (private eq)	C	Distribution	N	U	Buy (add'l)	02/21/12	K		
582.					Buy (add'l)	07/03/12	K		
583.					Buy (add'l)	10/04/12	L		
584.					Buy (add'l)	10/31/12	J		
585.					Buy (add'l)	11/20/12	K		
586.					Buy (add'l)	12/31/12	J		
587. Hephaestion III LP	D	Distribution	P1	U	Buy (add'l)	03/28/12	L		
588.					Buy (add'l)	06/27/12	K		
589. Mondrian Intl Fixed Income Fd LP		None			Redeemed	10/01/12	P1		See Part VIII
590. Orion European Real Estate Fd III CV (priv eq)	E	Distribution	O	U	Buy (add'l)	02/01/12	L		
591.					Buy (add'l)	06/04/12	M		
592. RBC (Royal Bank of Canada), bank accounts	E	Interest	P1	T					
593. RBC Dominion Securities Inc	G	Interest	P2	T					
594. - Government of Canada Provincial Treasury Bills					Sold (part)	12/31/12	N	B	
595. - Canada Housing Trust Mtge Bds									

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
596. - HSBC Bank GIC					Matured	08/07/12	M	A	
597. - National Bank of Canada GIC (annual due 8/6/14)									
598. - Royal Bank of Canada GIC (annual due 8/6/13)									
599. - Province of Ontario Notes									
600. - Province of Quebec Notes									
601. - Province of British Columbia Notes (semi-annual package)					Redeemed	01/09/12	N	D	
602. - Hydro Quebec notes (int only package)									
603. - Alberta Cap Fin Auth Bonds									
604. - Financement Quebec Bonds									
605. - AGF Turst GIC (annual due 2/18/2014)									
606. - Homequity Bank GIC (annual due 2/17/15)									
607. - Laurentian Bank GIC (annual due 2/17/2013)									
608. - Farm Credit Canada Notes									
609. - Ville de Montreal Bds									
610. - Province of New Brunswick, Canada debentures									
611. - Province of Alberta, Canada debentures									
612. - RBMC GIC					Matured	08/09/12	M	B	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
613. - Pacific & Western GIC (annual due 2/28/2015) (x)					Buy	02/28/12	M		
614. - Resmor Trust Company GIC (annual due 3/2/2016) (x)					Buy	02/28/12	M		
615. - Equitable Trust GIC (annual due 8/9/2017) (x)					Buy	08/09/12	M		
616. - Natcan GIC (annual due 3/2/2016) (x)					Buy	02/28/12	M		
617. - Home Trust Company GIC (annual due 8/9/2017) (x)					Buy	08/09/12	M		
618. - Manulife Trust GIC (annual due 8/9/2017) (x)					Buy	08/09/12	M		
619. - Royal Trust Company GIC (annual due 8/13/2015) (x)					Buy	08/13/12	M		
620. - B2B Trust GIC (annual due 8/13/2015) (x)					Buy	08/13/12	M		
621. - RBC Mortgage Corp GIC (annual due 12/5/2016) (x)					Buy	12/05/12	M		
622. - Royal Trust Corp GIC (annual due 12/5/2016) (x)					Buy	12/05/12	M		
623. - ICICI Bank GIC (annual due 12/5/2017) (x)					Buy	12/05/12	M		
624. Gold boullion		None	P2	T					
625. MECM Investments LLC (closely held)	G	Int./Div.	P3	W					
626. - Auburndale FL Municipal Bds									
627. - Berkeley Cty SC Municipal Bds									
628. - Easley SC Municipal Bds									
629. - IL St Municipal Bds					Matured	07/02/12	M		See Part VIII

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
630. - Maine St Municipal Bds									
631. - Reg Trans Dist CO Municipal Bds					Matured	11/01/12	M		
632. - Pimco Foreign Bd Fd mutual fd					Sold	08/27/12	N	G	
633. - Ishares MSCI Brazil Index Fd mutual fd									
634. - SPDR Gold Trust mutual fd									
635. - Int in MECM Associates II LLC (closely held)									See Part VIII
636. - Chatham Cty GA Municipal Bds									
637. - MA St College Bldg Auth Municipal Bds									
638. - NJ St Trans Municipal Bds									
639. - Chilton Global Natural Res Ptners LP					Redeemed	12/31/12	P1		See Part VIII
640. - PIMCO Emerging Mkts Curr Instl (mtl Fd)-name chgd 8/16/11					Sold	12/21/12	M	D	
641. - Gold Bullion									
642. - Federated US Treas Cash Rsv money market (mutual fd)					Buy (add'l)	01/03/12	J		
643.					Buy (add'l)	01/04/12	J		
644.					Buy (add'l)	01/19/12	L		
645.					Buy (add'l)	01/23/12	K		
646.					Buy (add'l)	01/30/12	L		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
647.					Buy (add'l)	02/02/12	J		
648.					Buy (add'l)	02/09/12	J		
649.					Buy (add'l)	02/10/12	J		
650.					Buy (add'l)	02/13/12	J		
651.					Buy (add'l)	02/14/12	K		
652.					Buy (add'l)	02/15/12	J		
653.					Buy (add'l)	02/17/12	K		
654.					Buy (add'l)	02/22/12	K		
655.					Buy (add'l)	02/29/12	J		
656.					Buy (add'l)	03/05/12	J		
657.					Buy (add'l)	03/07/12	J		
658.					Buy (add'l)	03/12/12	J		
659.					Buy (add'l)	03/14/12	J		
660.					Buy (add'l)	03/27/12	J		
661.					Buy (add'l)	04/03/12	J		
662.					Buy (add'l)	04/16/12	K		
663.					Buy (add'l)	05/02/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
664.					Buy (add'l)	05/03/12	J		
665.					Buy (add'l)	05/09/12	J		
666.					Buy (add'l)	05/10/12	J		
667.					Buy (add'l)	05/11/12	J		
668.					Buy (add'l)	05/15/12	K		
669.					Buy (add'l)	05/17/12	K		
670.					Buy (add'l)	05/18/12	J		
671.					Buy (add'l)	05/24/12	K		
672.					Buy (add'l)	05/30/12	K		
673.					Buy (add'l)	05/31/12	J		
674.					Buy (add'l)	06/04/12	J		
675.					Buy (add'l)	06/11/12	J		
676.					Buy (add'l)	06/19/12	K		
677.					Buy (add'l)	06/25/12	K		
678.					Buy (add'l)	06/26/12	J		
679.					Buy (add'l)	07/03/12	J		
680.					Buy (add'l)	07/20/12	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

681.					Buy (add'l)	08/02/12	J		
682.					Buy (add'l)	08/07/12	J		
683.					Buy (add'l)	08/08/12	J		
684.					Buy (add'l)	08/09/12	J		
685.					Buy (add'l)	08/10/12	J		
686.					Buy (add'l)	08/14/12	L		
687.					Buy (add'l)	08/15/12	J		
688.					Buy (add'l)	08/17/12	J		
689.					Buy (add'l)	08/27/12	J		
690.					Buy (add'l)	08/28/12	P1		
691.					Buy (add'l)	08/31/12	J		
692.					Buy (add'l)	09/04/12	K		
693.					Buy (add'l)	09/05/12	J		
694.					Buy (add'l)	09/19/12	M		
695.					Buy (add'l)	09/25/12	J		
696.					Buy (add'l)	09/26/12	J		
697.					Buy (add'l)	10/02/12	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
698.					Buy (add'l)	10/11/12	L		
699.					Buy (add'l)	10/26/12	L		
700.					Buy (add'l)	11/08/12	K		
701.					Buy (add'l)	11/09/12	K		
702.					Buy (add'l)	11/13/12	J		
703.					Buy (add'l)	11/14/12	K		
704.					Buy (add'l)	11/16/12	J		
705.					Buy (add'l)	11/28/12	L		
706.					Buy (add'l)	11/30/12	J		
707.					Buy (add'l)	12/11/12	J		
708.					Buy (add'l)	12/13/12	J		
709.					Buy (add'l)	12/20/12	K		
710.					Buy (add'l)	12/21/12	K		
711.					Buy (add'l)	12/24/12	M		
712.					Sold (part)	01/10/12	J		
713.					Sold (part)	01/17/12	L		
714.					Sold (part)	01/18/12	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	L = \$50,001 - \$100,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

715.					Sold (part)	01/20/12	K		
716.					Sold (part)	01/24/12	J		
717.					Sold (part)	01/25/12	J		
718.					Sold (part)	01/27/12	K		
719.					Sold (part)	02/28/12	K		
720.					Sold (part)	03/01/12	J		
721.					Sold (part)	03/02/12	L		
722.					Sold (part)	03/09/12	J		
723.					Sold (part)	03/21/12	L		
724.					Sold (part)	04/02/12	M		
725.					Sold (part)	04/10/12	K		
726.					Sold (part)	04/25/12	J		
727.					Sold (part)	05/01/12	J		
728.					Sold (part)	05/06/12	K		
729.					Sold (part)	05/21/12	K		
730.					Sold (part)	05/22/12	L		
731.					Sold (part)	05/23/12	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
732.					Sold (part)	06/04/12	K		
733.					Sold (part)	06/22/12	J		
734.					Sold (part)	06/28/12	O		
735.					Sold (part)	06/29/12	L		
736.					Sold (part)	07/02/12	K		
737.					Sold (part)	07/10/12	N		
738.					Sold (part)	07/13/12	J		
739.					Sold (part)	07/25/12	K		
740.					Sold (part)	08/01/12	M		
741.					Sold (part)	08/06/12	M		
742.					Sold (part)	08/13/12	K		
743.					Sold (part)	08/17/12	K		
744.					Sold (part)	08/22/12	K		
745.					Sold (part)	08/30/12	P1		
746.					Sold (part)	09/07/12	J		
747.					Sold (part)	09/10/12	J		
748.					Sold (part)	09/18/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	T = Cash Market
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
749.					Sold (part)	09/28/12	J		
750.					Sold (part)	10/05/12	J		
751.					Sold (part)	10/10/12	J		
752.					Sold (part)	10/17/12	K		
753.					Sold (part)	10/22/12	J		
754.					Sold (part)	10/31/12	K		
755.					Sold (part)	11/06/12	K		
756.					Sold (part)	11/07/12	J		
757.					Sold (part)	11/15/12	J		
758.					Sold (part)	11/21/12	J		
759.					Sold (part)	11/26/12	J		
760.					Sold (part)	12/10/12	J		
761.					Sold (part)	12/12/12	K		
762.					Sold (part)	12/17/12	K		
763.					Sold (part)	12/18/12	K		
764.					Sold (part)	12/26/12	J		
765.					Sold (part)	12/27/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
766. - Vanguard Developed Mkts Index, mutual fd									
767. - Vanguard Dividend Appre, mutual fd									
768. - Vanguard Emerging Mkts, mutual fd									
769. - Buckeye Partners LP, PTP					Buy (add'l)	03/16/12	K		
770.					Sold (part)	01/07/12	J	A	
771.					Sold (part)	02/14/12	K	A	
772.					Sold (part)	02/16/12	K		
773.					Sold (part)	08/27/12	J		
774.					Sold (part)	11/07/12	K		
775. - Access Midstream Partners LP, PTP (f/k/a Chesapeake Mid.)					Buy (add'l)	02/28/12	J		See Part VIII
776.					Buy (add'l)	03/16/12	K		
777.					Buy (add'l)	12/13/12	K		
778. - Copano Energy LLC, PTP					Buy (add'l)	03/06/12	J		
779.					Buy (add'l)	03/16/12	K		
780.					Sold (part)	05/10/12	J		
781. - DCP Midstream Partners LP, PTP					Buy (add'l)	03/16/12	K		
782. - El Paso Pipeline Partners LP, PTP					Buy (add'l)	03/06/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
783.					Buy (add'l)	03/16/12	L		
784.					Buy (add'l)	11/08/12	J		
785.					Sold (part)	05/21/12	K	C	
786.					Sold (part)	06/06/12	K	B	
787. - Enbridge Energy Partners LP, PTP					Buy (add'l)	03/05/12	J		
788.					Buy (add'l)	03/16/12	K		
789.					Sold (part)	05/14/12	K	B	
790.					Sold (part)	11/16/12	J	A	
791.					Sold (part)	12/17/12	K	A	
792. - Energy Transfer Partners LP, PTP					Buy (add'l)	02/27/12	J		
793.					Buy (add'l)	03/16/12	K		
794. - Energy Transfer Equity LP, PTP					Buy (add'l)	03/16/12	K		See Part VIII
795.					Buy (add'l)	06/05/12	J		
796.					Buy (add'l)	09/05/12	J		
797.					Sold (part)	03/07/12	J		
798. - Enterprise Prods Partners LP, PTP					Buy (add'l)	01/05/12	J		
799.					Buy (add'l)	03/16/12	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
800.					Buy (add'l)	09/13/12	J		
801.					Sold (part)	01/24/12	J	B	
802.					Sold (part)	03/02/12	J	B	
803.					Sold (part)	08/09/12	K	C	
804. - Holly Energy Partners LP, PTP					Sold (part)	01/19/12	J	C	
805.					Buy (add'l)	03/16/12	J		
806. - Inergy LP, PTP (y)									See Part VIII
807. - Kinder Morgan Energy Partners LP, PTP					Buy (add'l)	01/12/12	K		
808.					Buy (add'l)	01/24/12	K		
809.					Buy (add'l)	03/06/12	J		
810.					Buy (add'l)	03/16/12	L		
811.					Buy (add'l)	09/25/12	J		
812. - Kinder Morgan Inc					Sold (part)	03/06/12	J	A	
813.					Buy (add'l)	03/16/12	K		
814.					Buy (add'l)	05/30/12	K		
815. - Kinder Morgan Management LLC, PTP					Sold	01/13/12	L	E	
816. - Magellan Midstream Partners, PTP					Buy (add'l)	03/16/12	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
817.					Buy (add'l)	05/18/12	K		
818.					Sold (part)	01/19/12	J	D	
819.					Sold (part)	03/05/12	J	D	
820.					Sold (part)	08/29/12	K	D	
821.					Sold (part)	12/19/12	J	B	
822. - Markwest Energy LP, PTP					Buy (add'l)	01/19/12	K		
823.					Buy (add'l)	03/16/12	K		
824.					Buy (add'l)	05/09/12	K		
825.					Buy (add'l)	06/06/12	J		
826. - Oneok Partners LP, PTP					Buy (add'l)	02/28/12	J		
827.					Buy (add'l)	03/16/12	L		
828.					Buy (add'l)	05/11/12	K		
829.					Sold (part)	12/19/12	K	D	
830. - Plains All American Pipeline LP, PTP					Buy (add'l)	01/17/12	K		
831.					Buy (add'l)	01/27/12	J		
832.					Buy (add'l)	02/18/12	J		
833.					Buy (add'l)	02/23/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
834.					Buy (add'l)	03/16/12	L		
835.					Sold (part)	06/05/12	J	D	
836.					Sold (part)	12/19/12	J	B	
837. - Regency Energy Partners LP, PTP					Buy (add'l)	03/16/12	K		
838.					Buy (add'l)	11/12/12	J		
839.					Sold (part)	05/09/12	K		
840. - Spectra Energy Partners LP, PTP					Buy (add'l)	03/16/12	J		
841.					Sold (part)	01/18/12	J		
842. - Sunoco Logistics Partners LP, PTP					Sold (part)	01/18/12	J	C	
843.					Sold (part)	05/24/12	K	D	
844.					Buy (add'l)	03/16/12	K		
845.					Sold (part)	09/14/12	K	D	
846. - Targa Resources Partners LP, PTP					Buy (add'l)	01/18/12	K		
847.					Buy (add'l)	03/16/12	K		
848.					Sold (part)	04/11/12	K	D	
849.					Buy (add'l)	11/15/12	K		
850. - Weston Gas Partners LP, PTP					Buy (add'l)	03/16/12	K		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
851.					Buy (add'l)	06/19/12	J		
852.					Sold (part)	03/06/12	J	C	
853. - Williams Partners PTP					Buy (add'l)	02/23/12	J		
854.					Buy (add'l)	03/16/12	L		
855.					Buy (add'l)	04/04/12	K		
856.					Buy (add'l)	05/16/12	K		
857.					Buy (add'l)	08/08/12	K		
858.					Buy (add'l)	11/07/12	J		
859.					Buy (add'l)	01/26/12	J		
860.					Sold (part)	12/10/12	K		
861.					Sold (part)	12/13/12	J	A	
862. - Hawaii St bds, municipal bds									
863. - Loudoun Cty VA Bds, municipal bds									
864. - MA St Dev Fin Agy Bds, municipal bds									
865. - TX St Bds, municipal bds									
866. - WI St Trans Rev Bds, municipal bds									
867. - Charleston Cty SC municipal bds									

1. Income Gain Codes:	A ~\$1,000 or less (See Columns B1 and D4)	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	F ~\$50,001 - \$100,000 J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
868. - Energy Northwest WA Elec Rev municipal bds									
869. - Farmington CT municipal bds									
870. - Frederick Cty MD municipal bds									
871. - Henrico Cty VA municipal bds					Matured	05/01/12	M		
872. - Mecklenburg Cty NC municipal bds									
873. - Pima Cty AZ municipal bds					Sold	04/25/12	N	D	
874. - Suffolk Cty NY municipal bds					Sold	02/17/12	M	C	
875. - MF Northern Funds mun money mkt (cash equiv)									See Part VIII
876. - Vanguard Energy Fd-Adm (mutual fd)					Buy (add'l)	03/21/12	K		
877.					Sold (part)	08/27/12	N		
878. - Lone Star Real Estate Fd II (U.S.), LP (priv equity)					Buy (add'l)	04/02/12	M		
879.					Buy (add'l)	05/01/12	J		
880.					Buy (add'l)	08/22/12	K		
881.					Buy (add'l)	10/11/12	J		
882.					Buy (add'l)	11/06/12	K		
883.					Buy (add'l)	11/26/12	J		
884.					Buy (add'l)	12/10/12	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

885. - Lone Star Fund VII (U.S.), LP (priv equity)					Buy (add'l)	01/17/12	L		
886.					Buy (add'l)	03/02/12	L		
887.					Buy (add'l)	05/22/12	L		
888.					Buy (add'l)	06/29/12	L		
889.					Buy (add'l)	07/10/12	N		
890.					Buy (add'l)	07/25/12	K		
891.					Buy (add'l)	08/01/12	M		
892.					Buy (add'l)	08/06/12	M		
893.					Buy (add'l)	10/10/12	J		
894.					Buy (add'l)	12/13/12	J		
895. - PS Opportunities Onshore LP (priv equity)									
896. - Crestwood Midstream Partners LP (PTP)					Sold	01/18/12	J		
897. - Oiltanking Partners LP (PTP)					Buy (add'l)	03/16/12	J		
898. - Tesoro Logistics LP (PTP)					Sold (part)	03/09/12	J	B	
899.					Buy (add'l)	03/16/12	J		
900.					Buy (add'l)	09/04/12	J		
901.					Buy (add'l)	10/02/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes: (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	L = \$50,001 - \$100,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes: (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

902. - MPLX LP (PTP) (x)					Buy	10/31/12	K		
903.					Buy (add'l)	12/26/12	J		
904. - Southcross Energy Partners LP (PTP) (x)					Buy	11/07/12	J		
905. - Western Gas Equity Partners LP (PTP) (x)					Buy	12/12/12	K		
906. - EQT Midstream Partners, LP (PTP) (x)					Buy	07/02/12	K		
907.					Buy (add'l)	12/27/12	J		
908. - Williams Cs Inc common (WMB) (x)					Buy	12/13/12	K		
909. - Chester Cnty, PA municipal bds (x)					Buy	11/02/12	M		
910. - Honolulu, HI City & County municipal bds (x)					Buy	09/21/12	N		
911. - Massachusetts St Transn Fd municipal bds (x)					Buy	07/09/12	N		
912.					Sold	08/16/12	N	C	
913. - Massachusetts St Wtr Pollutn Abate municipal bds (x)					Buy	05/22/12	M		
914. - North Reading MA municipal bds (x)					Buy	05/23/12	N		
915.					Sold	09/15/12	N	D	
916. - Oregon St Dept Admin Svcs municipal bds (x)					Buy	04/13/12	N		
917.					Sold	05/09/12	N	D	
918. - PA Econ Dev Fing Auth municipal bds (x)					Buy	10/03/12	M		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
919. - Pflugerville, TX municipal bds (x)					Buy	05/09/12	N		
920. - WA Multnomah & Yamhill Cntys municipal bds (x)					Buy	11/09/12	M		
921. - Falcon Edge Glocal, LP (private equity) (x)					Buy	06/28/12	O		
922.					Buy (add'l)	08/30/12	P1		
923. MECM Associates II LLC (closely held)	B	Int./Div.	P2	W					
924. - Baupost Value Partners LP II									
925. - Elliott Associates LP									
926. - HighVista I LP									
927. - Penta Asia Long Short Fd Ltd LP					Redeemed (part)	12/06/12	O	F	
928. - X Investment Holdings co Ltd LP					Buy (add'l)	02/22/12	M		
929. - Federated US Teas Cash Rsv money market (mutual fd)					Buy (add'l)	02/27/12	J		
930.					Buy (add'l)	03/02/12	N		
931.					Buy (add'l)	03/29/12	L		
932.					Buy (add'l)	04/30/12	L		
933.					Buy (add'l)	05/30/12	K		
934.					Buy (add'l)	06/18/12	K		
935.					Buy (add'l)	07/17/12	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

SARIS, PATTI B.

Date of Report

05/10/2013

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ **NONE** (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
936.					Buy (add'l)	08/06/12	K		
937.					Buy (add'l)	09/05/12	K		
938.					Buy (add'l)	10/04/12	K		
939.					Buy (add'l)	11/17/12	K		
940.					Buy (add'l)	12/07/12	K		
941.					Sold (part)	06/19/12	M		
942.					Sold (part)	06/25/12	M		
943.					Sold (part)	09/26/12	L		
944.					Sold (part)	10/17/12	J		
945.					Sold (part)	12/20/12	J		
946. - Vanguard Dividend Appre (mutual fd)					Sold (part)	01/20/12	J	A	
947.					Sold (part)	02/24/12	M	D	
948. Ishares MSCI Brazil Index Fd (mutual fd)	B	Dividend	L	T					
949. First Republic Bank, Bank account	A	Interest	L	T					
950. Perry Partners, LP (private equity)	C	Dividend	K	U					
951. Local Motors Inc Ser B pfd, closely held stock		None	M	T					
952. Local Motors Inc Ser C pfd, closely held stock		None	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
953. Adorne Inc-seed pfd (fka WebcamGen Inc Ser A pfd) clsly held		None	K	T					
954. Northeast Bancorp (Ticker: NBN - NASDAQ)	D	Dividend	M	T					
955. Highland Capital Partners VIII, LP	D	Distribution	M	U	Buy (add'l)	02/27/12	J		
956.					Buy (add'l)	04/20/12	J		
957.					Buy (add'l)	08/10/12	J		
958.					Buy (add'l)	10/11/12	J		
959.					Buy (add'l)	11/02/12	J		
960.					Buy (add'l)	12/14/12	J		
961. Hawaii Cnty, HI bds, municipal bds	C	Interest			Sold	03/16/12	M	D	
962. New Jersey St bds, municipal bds	D	Interest	N	T					
963. TX St Bds, municipal bds	D	Interest	N	T					
964. WI St Trans Rev Bds, municipal bds	D	Interest	N	T					
965. Chaleston Cty SC municipal bds	D	Interest	N	T					
966. Colorado Springs CO municipal bds	B	Interest			Matured	11/15/12	M		
967. Energy Northwest WA municipal bds	D	Interest	N	T					
968. Farmington CT municipal bds	D	Interest	N	T					
969. MA St Sch Bldg municipal bds	C	Interest	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 ~\$25,000,001 - \$50,000,000 Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	P4 ~More than \$50,000,000 S ~Assessment W ~Estimated	T ~Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
970. Medina Cty OH municipal bds	D	Interest	N	T					
971. Oregon St municipal bds	D	Interest	N	T	Buy (add'l)	04/13/12	N		
972.					Sold (part)	05/09/12	N	D	
973. Salt River Proj AZ municipal bds	A	Interest	M	T	Matured	01/01/12	M		
974.					Buy (add'l)	01/04/12	M		
975. Suffolk Cty NY municipal bds	B	Interest			Sold	02/17/12	N	D	
976. Sutton, MA municipal bds	E	Interest	N	T					
977. Vanguard Developed Mkts Index-Invt (mutual fd)	E	Dividend	O	T					
978. Vanguard Dividend Appre (mutual fd)	F	Dividend	P1	T	Open	08/20/12	N		See Part VIII
979.					Donated				
980. Vanguard Energy Fd-Adm (mutual fd)	F	Dividend	P1	T	Buy (add'l)	03/21/12	K		
981. Artemis Real Est Partners Fd I LP	G	Distribution	O	W	Buy (add'l)	01/23/12	J		
982.					Buy (add'l)	05/18/12	M		
983.					Buy (add'l)	08/14/12	M		
984.					Buy (add'l)	09/13/12	N		
985. Merchants Gate Onshore Fd LP (priv equity)		None	P1	U					
986. Properitas Real Est Partners III LP (private equity)	G	Distribution	N	U	Buy (add'l)	01/03/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
987.					Buy (add'l)	01/23/12	J		
988.					Buy (add'l)	02/03/12	J		
989.					Buy (add'l)	02/22/12	J		
990.					Buy (add'l)	03/22/12	J		
991.					Buy (add'l)	04/02/12	J		
992.					Buy (add'l)	04/27/12	J		
993.					Buy (add'l)	06/13/12	J		
994.					Buy (add'l)	06/29/12	J		
995.					Buy (add'l)	07/23/12	J		
996.					Buy (add'l)	09/12/12	J		
997.					Buy (add'l)	10/01/12	J		
998.					Buy (add'l)	10/17/12	J		
999.					Buy (add'l)	11/19/12	J		
1000.					Buy (add'l)	12/11/12	J		
1001. Properitas Real Est Partners IIIA LP (private equity)	G	Distribution	N	U	Buy (add'l)	01/03/12	J		
1002.					Buy (add'l)	01/23/12	J		
1003.					Buy (add'l)	02/03/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A –\$1,000 or less F –\$50,001 - \$100,000 J –\$15,000 or less N –\$250,001 - \$500,000 P3 –\$25,000,001 - \$50,000,000	B –\$1,001 - \$2,500 G –\$100,001 - \$1,000,000 K –\$15,001 - \$50,000 O –\$500,001 - \$1,000,000	C –\$2,501 - \$5,000 H1 –\$1,000,001 - \$5,000,000 L –\$50,001 - \$100,000 P1 –\$1,000,001 - \$5,000,000 P4 –More than \$50,000,000	D –\$5,001 - \$15,000 H2 –More than \$5,000,000 M –\$100,001 - \$250,000 P2 –\$5,000,001 - \$25,000,000	E –\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q –Appraisal U –Book Value	R –Cost (Real Estate Only) V –Other	S –Assessment W –Estimated	T –Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1004.					Buy (add'l)	02/22/12	J		
1005.					Buy (add'l)	03/22/12	J		
1006.					Buy (add'l)	04/02/12	J		
1007.					Buy (add'l)	04/27/12	J		
1008.					Buy (add'l)	06/13/12	J		
1009.					Buy (add'l)	06/29/12	J		
1010.					Buy (add'l)	07/23/12	J		
1011.					Buy (add'l)	09/12/12	J		
1012.					Buy (add'l)	10/01/12	J		
1013.					Buy (add'l)	10/17/12	J		
1014.					Buy (add'l)	11/19/12	J		
1015.					Buy (add'l)	12/11/12	J		
1016. Properitas Real Est Partners IIIB LP (private equity)	G	Distribution	N	U	Buy (add'l)	01/03/12	J		
1017.					Buy (add'l)	01/23/12	J		
1018.					Buy (add'l)	02/03/12	J		
1019.					Buy (add'l)	02/22/12	J		
1020.					Buy (add'l)	03/22/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000	E = \$15,001 - \$50,000 P1 = \$1,000,001 - \$5,000,000 P2 = \$5,000,001 - \$25,000,000
2. Value Codes (See Columns C1 and D3)	N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	O = \$500,001 - \$1,000,000	P4 = More than \$50,000,000	S = Assessment T = Cash Market	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	W = Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1021.					Buy (add'l)	04/02/12	J		
1022.					Buy (add'l)	04/27/12	J		
1023.					Buy (add'l)	06/13/12	J		
1024.					Buy (add'l)	06/29/12	J		
1025.					Buy (add'l)	07/23/12	J		
1026.					Buy (add'l)	09/12/12	J		
1027.					Buy (add'l)	10/01/12	J		
1028.					Buy (add'l)	10/17/12	J		
1029.					Buy (add'l)	11/19/12	J		
1030.					Buy (add'l)	12/11/12	J		
1031. PS Opportunities Onshore LP (priv equity)		None	P1	U					
1032. Liveprocess Corp Ser B pfd		None	J	W					
1033. Local Motors Inc CV Note 4% due 12/31/99		None	L	W					
1034. USHI Incorporated ord shs (closely held stock) (x)		None	J	W					
1035. UBS Bank USA deb account (cash equiv-IRA asset)	A	Interest	M	T					
1036. Trust # 29									
1037. - Vanguard Dividend Appre (mutual fd)	E	Dividend	P1	T	Buy (add'l)	03/23/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1038.					Buy (add'l)	06/22/12	J		
1039.					Buy (add'l)	09/21/12	J		
1040.					Buy (add'l)	12/19/12	J		
1041. - PIMCO Emerging Mkts Curr Instl (mutual fd)	D	Dividend			Buy (add'l)	01/31/12	J		
1042.					Buy (add'l)	02/29/12	J		
1043.					Buy (add'l)	03/30/12	J		
1044.					Buy (add'l)	04/30/12	J		
1045.					Buy (add'l)	05/31/12	J		
1046.					Buy (add'l)	06/29/12	J		
1047.					Buy (add'l)	07/31/12	J		
1048.					Buy (add'l)	08/31/12	J		
1049.					Buy (add'l)	09/28/12	J		
1050.					Buy (add'l)	11/30/12	J		
1051.					Sold	12/21/12	O	E	
1052. - Plymouth Rock Co Inc OC C1 A com stock (closely held)	G	Dividend	P1	T					
1053. - Merchants Gate Onshore Fund LP (priv equity) (x)		None	P1	U					
1054. - Federated US Treas Cash Rsv money mkt	A	Dividend	O	T	Buy (add'l)	03/07/12	K		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1055.					Buy (add'l)	09/12/12	K		
1056.					Buy (add'l)	12/14/12	L		
1057.					Buy (add'l)	12/24/12	O		
1058.					Sold (part)	11/02/12	M		
1059.					Sold (part)	12/27/12	O		
1060.					Sold (part)	12/28/12	J		
1061.					Sold (part)	12/31/12	O		
1062. - Hephæstion IV LP (private equity (x))	C	Distribution	M	U	Buy	11/02/12	M		
1063. - MECM Assoc LLC (closely held) (x)		None			Open	12/31/12	P3		See Part VIII
1064. - Aberdeen Emerging Mkts-inst, mutual fd (x)		None	P1	T	Buy	12/26/12	O		
1065. Trust # 30									
1066. - Vanguard Dividend Appre (mutual fd)	E	Dividend	O	T	Distributed (part)	08/20/12	N		
1067. - Ptolemy Finance LLC (private equity)		None	P1	U					
1068. - Federated Govt Obli Fd-I money market (cash equiv)	A	Dividend			Buy (add'l)	03/27/12	J		
1069.					Buy (add'l)	06/26/12	J		
1070.					Buy (add'l)	09/25/12	J		
1071.					Sold (part)	08/21/12	K		

1. Income Gain Codes:	A ~\$1,000 or less (See Columns B1 and D4)	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes	J ~\$15,000 or less (See Columns C1 and D3)	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 ~\$25,000,001 - \$50,000,000 (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	P4 ~More than \$50,000,000 S ~Assessment W ~Estimated	T ~Cash Market

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1072.					Sold	11/02/12	J		
1073. - Federated US Treas Cash Rsv money mkt cash equiv (x)	A	Dividend	K	T	Buy (add'l)	11/02/12	J		
1074.					Buy (add'l)	12/21/12	J		
1075. Trust # 31 (x)	D	Int./Div.	P1	W					See Part VIII
1076. - iShares MSCI Brazil Index Fd (mutual fd) (x)					Open	03/12/12	M		
1077. - SPDR Gold Trust (mutual fd) (x)					Open	03/19/12	K		
1078. - Vanguard Dividend Appre (mutual fd) (x)					Open	03/19/12	M		
1079. - Vanguard Emerging Markets (mutual fd) (x)					Open	03/19/12	M		
1080. - Int in MECM Investments LLC (closely held) (x)					Open	03/20/12	N		
1081. - Federated US Treas Cash Rsv money mkt cash equiv (x)					Buy	03/09/12	M		
1082.					Buy (add'l)	03/16/12	M		
1083.					Buy (add'l)	06/13/12	J		
1084.					Buy (add'l)	06/18/12	J		
1085.					Buy (add'l)	06/28/12	J		
1086.					Buy (add'l)	09/26/12	J		
1087.					Buy (add'l)	10/25/12	J		
1088.					Buy (add'l)	12/21/12	J		

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – Income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1089.					Buy (add'l)	12/27/12	J		
1090.					Sold (part)	06/07/12	J		
1091.					Sold (part)	10/02/12	J		
1092. Hephaestion IV LP (private equity) (x)	D	Distribution	N	U	Buy	11/02/12	N		
1093.					Buy (add'l)	12/28/12	K		
1094. Learn Our History LLC (private equity) (x)		None	L	U	Buy	04/16/12	L		
1095. Patagonia Sur, LLC (private equity) (x)		None	N	U	Buy	01/25/12	N		
1096. Tekne Partners, LP (private equity) (x)		None	P1	U	Buy	09/11/12	P1		
1097. Lime Rock Partners VI LP (private equity) (x)		None	N	U	Buy	11/01/12	M		
1098.					Buy (add'l)	12/12/12	M		
1099. Buenos Aires Residential Co I LLC (y)	A	Distribution							See Part VIII
1100. Rutley Russia Property Fd Ltd (closely held) (y)	A	Distribution							See Part VIII
1101. Collin Cnty, Tx municipal bds (x)	B	Interest			Buy	05/15/12	M		
1102.					Sold	10/15/12	M	C	
1103. North Reading, MA municipal bds (x)	B	Interest			Buy	05/23/12	N		
1104.					Sold	09/15/12	N	D	
1105. Wichita KS municipal bds (x)	A	Interest			Buy	10/24/12	M		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes: (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes: (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1106.					Sold	12/17/12	M	B	
1107. MA St Fed Hwy municipal bds (x)		None	M	T	Buy	12/10/12	M		
1108. Boston MA municipal bds (x)		None	N	T	Buy	11/02/12	N		
1109. Honolulu, HI City & Cnty municipal Bd (x)		None	N	T	Buy	09/21/12	N		
1110. Cherry Hill Twp NJ municipal bd (x)		None	M	T	Buy	10/24/12	M		
1111. Metropolitan St Louis, MO municipal fd (x)		None	M	T	Buy	10/24/12	M		
1112. Nebraska Pub Pwer municipal bd (x)		None	M	T	Buy	10/17/12	M		
1113. PA Exon Dev Auth municipal bds (x)		None	N	T	Buy	10/03/12	N		
1114. WA Mutnomah & Yamhill Cntys municipal bds (x)		None	N	T	Buy	11/09/12	N		
1115. Aberdeen Emerging Mkts-Inst (mutual fd) (x)		None	P1	T	Buy	12/26/12	P1		
1116. Glidewell Ltd Ser A Pfd stock (x)		None	J	T	Buy	02/03/12	J		
1117. Good Life Beverages Inc convertible note dtd 6/15/12 (x)		None	L	T	Buy	06/15/12	L		
1118. Instinct Health Science Inc Ser seed pfd (x)		None	K	T	Buy	11/1/12	K		
1119. Local Motors converticle Note & warrants (pfd stk agmt) (x)		None	K	T	Buy	02/13/12	K		
1120. Neurophage Pharms Inc Ser B-1 pfd (x)		None	J	T	Buy	02/28/12	J		
1121. Ubiquiti Network, common stock (NASDAQ: UBNT) (x)		None			Spinoff (from line 182)	05/10/12	J		
1122.					Sold	06/15/12	J	D	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part I. Postions -

A. Reference is made to Trust #3 and Trust #4 [REDACTED] All assets have been separately reported on the FDR and properly disclosed.

Part VII. Investments and Trusts -

A. Items listed on calendar year 2011 Financial Disclosure Report (FDR) and excluded for calendar year 2012 do not meet the aggregate income, or aggregate value levels outlined in the Financial Disclosure Report Filing Instructions for Judicial Officers and Employees (said instructions referencing Sections 102(a)(3) and 102(a)(1)(B)).

B. 2012 FDR, Part VII, notations -

In Column D(1) Type: "Open" has been used when any financial accounts were opened. "Open" has also been used as the "Type" for all in-kind security contributions or receipts.

In Column D(1) Type: "Closed" has been used when a separate financial account was closed.

Items 9, 10, 11 & 13: Column D(1) reports a partial redemption of interests in the respective assets. Column D(4) correctly reflects no reportable gain for each item.

Item 60: "Open" has been used to report the receipt of additional PIMCO Emerging Mkts Curr Instl mutual fd shares by Trust # 5.

Item 61: "Open" has been used to report the receipt of Vanguard Dividend Appre mutual fd shares by Trust # 5.

Item 90: US Wetheim LLC - Column D(1) reports a full withdrawal of interest on 12/31/12 resulting in no reportable gain.

Item 91: MFB Northern municipal money market daily detail activity was not available at filing. The asset value in column C(1) reflects the actual "gross value" as of 12/31/12.

Item 93: Phoenix AZ Civic Impt Municipal Bond - "Matured" has been used to report the proceeds received from the called bond. There was no reportable gain. Items 94, 97, 100, & 103: Trust #s 14, 15, 16, & 17 - In 2012 the referenced four trusts terminated and all assets were distributed to/added to Trust #s 18, 19, 20 & 21 (i.e., items 123, 132, 141 & 151).

Item 108: Buenos Aires Residential Co II LLC - The LLC remitted an additional distribution in 2012 following the 3/24/2011 redemption of interest. The asset correctly had no value on 12/31/12.

Item 115: Patron Capital LP II (private equity) - The 12/31/12 value and method have been changed to reflect information provided by the partnership.

Items 131, 140, 150, & 160: Int in MECM Investments LLC (closely held) - "Open" has been used to report the receipt on 01/09/12 of an additional interest in the LLC from terminated Trusts 14, 15, 16, & 17 referenced above in Items 94, 97, 100, & 103.

Item 506: Int in MECM Investments LLC (closely held) - The asset was inadvertently omitted in prior year although 12/31 total Trust #26 values for all years have been reported correctly.

Item 530: MECM Associates LLC closely held - The LLC being items 531 through 538 was contributed to Trust # 29 (item # 1036) as item # 1063. The LLC's assets, values and activity will continue to be separately stated.

Item 541: Birmingham AL Municipal Bds - "Matured" has been used to report the proceeds received from the called bonds. There was no reportable gain.

Item 542: Hawaii St Municipal Bds - "Matured (part)" has been used to report the proceeds received from a partial call. There was no reportable gain.

Item 580: Chilton Global Res Partners LP - Column D(1) reports a full withdrawal of interest on 12/31/12 resulting in no reportable gain.

Item 589: Mondrian Intl Fixed Income Fd LP - Column D represents the final residual interest distribution held in reserve following the 1/31/11 partial redemption of interest. There was no reportable gain.

Item 629: IL St Municipal Bds - "Matured" has been used to report the proceeds received from the called bonds. There was no reportable gain.

Item 635: Int in MECM Associates II LLC (closely held) - See 2012 FDR items # 923 through 947 for assets, values and activity.

Item 639: Chilton Global Res Partners LP - Column D(1) reports a full withdrawal of interest on 12/31/12 resulting in no reportable gain.

Item 775: Access Midstream Partners LP PTP - In 2012 the publicly traded partnership Chesapeake Midstream Partners LP changed its name to Access Midstream Partners LP.

Item 794: Energy Transfer Equity LP, PTP - The asset description has been updated to include 'Equity' and reflect the asset's proper long name (formerly listed on FDR as Energy Transfer LP, PTP).

Item 806: Inergy LP, PTP - This asset should have been reported as fully sold on 2011 FDR item # 871. This entry will be deleted on the 2013 FDR.

Item 875: MFB Northern municipal money market daily detail activity was not available at filing. The net asset value as of 12/31/12 held in MECM Investments LLC was unchanged from 12/31/11, therefore there are correctly no entries in Columns D.

Item 978: Vanguard Dividend Appre (mutual fd) - "Open" has been used to report the receipt of Vanguard Dividend Appre mutual fd shares from Item # 1066 of Trust # 30.

Item 1063: MECM Assoc LLC (closely held) - "Open" has been used to report the receipt of 100% interest in the LLC on 12/31/12. The 12/31/12 value has properly been left blank to avoid duplication since all assets, values, and activity within the LLC have and will continue to be separately stated (see items 530 through 538).

Item 1075: Trust # 31 - this is a new reportable trust. "Open" has been used to report the receipt of the trust's assets (ref. items 1076 through 1080).

Item 1099: Buenos Aires Residential Co I LLC - receipt of a final distribution held in reserve since the 7/21/2010 redemption of interest (ref.: 2010 FDR item # 171). The asset correctly has no value on 12/31/12.

Item 1100: Rutley Russia Ppropy Fd Ltd - receipt of a distribution from a residual reserve since the 12/10/2008 redemption of interest (ref.: 2008 FDR item # 777). The asset correctly has no value on 12/31/12.

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ PATTI B. SARIS

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

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