

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Guy, Daniel A.	2. Court or Organization United States Tax Court	3. Date of Report 12/17/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Special Trial Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 1/1/2017 to 12/31/2017
7. Chambers or Office Address 400 Second Street, N.W. Washington, D.C. 20217		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Director (Company operations wound up in Dec. 2017)	Gill-Simpson, Inc.
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Florida State Bar Association	Feb 2-4, 2017	St. Petersburg, Florida	Moot Court Competition	Roundtrip airfare, hotel expenses (1 night), rental car.
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Header-Ameritrade Joint Brokerage Acct.									
2. Ameritrade Money Market Account	A	Interest	J	T					
3. Amazon (Common)		None			Sold	6/12/17	J	E	
4. Apache (Common)	A	Dividend	J	T	Buy	12/6/17	J		
5. Berkshire Hathaway (B-Common)		None	K	T	Buy (add'l)	6/12/17	J		
6. Boeing (Common)	A	Dividend	K	T	Buy	7/27/17	K		
7. Dorian LPG (Common)		None			Sold	1/26/17	J	C	
8. Eaton (Common)	A	Dividend	J	T	Buy	12/6/17	J		
9. Google/Alphabet		None			Sold	6/12/17	K	C	
10. HC2 Holdings (Common)		None			Sold	5/30/17	J	A	
11. Ishares Biotech (ETF)	A	Dividend	J	T					
12. Kinder Morgan (Common)	A	Dividend	J	T					
13. Micron Technology (Common)		None	J	T					
14. Monmouth Real Estate (REIT)	A	Distribution	K	T					
15. Nordic American Tankers (Common)	A	Dividend			Sold	12/13/17	J		
16. Real Industry (Common)		None			Sold	3/15/17	J		
17. Regeneron (Common)		None	J	T	Buy	1/13/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000 J = More than \$50,000,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,001 - \$500,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. Seritage (Common)	A	Dividend	J	T	Buy	5/2/17	J		
19. Slumberger (Common)	A	Dividend			Sold	2/13/17	J	A	
20. Southwest Airline (Common)	A	Dividend	J	T	Buy	9/19/17	J		
21. S&P Energy (ETF)	A	Dividend	K	T	Buy (add'l)	6/15/17	J		
22. S&P Health (ETF)	A	Dividend	K	T	Buy (add'l)	7/25/17	J		
23. S&P Regional Banks (ETF)	A	Dividend	J	T	Buy	6/8/17	J		
24. Time Warner (Common)	A	Dividend			Sold	1/5/17	J	A	
25. Teva (Common)	A	Dividend			Sold	3/31/17	J		
26. Third Point Reinsurance (Common)		None			Sold	5/1/17	J	A	
27. Vodaphone (Common)	A	Dividend			Sold	4/28/17	J	A	
28. VF Corp (Common)	A	Dividend			Sold	3/24/17	J	A	
29. Wisdom Tree Japan (ETF)	A	Dividend	J	T	Buy	11/16/17	J		
30. Burlington Northern (Corp Bond)	A	Interest	K	T					
31. General Electric (Corp Bond)	A	Interest	K	T					
32. Goldman Sachs (Corp Bond)	A	Interest	K	T					
33. JP Morgan (Corp Bond)	A	Interest	K	T					
34. Marsh & McClellan (Corp Bond)	A	Interest	K	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. Sysco (Corp Bond)	A	Interest	K	T					
36. Wells Fargo (Corp Bond)	A	Interest	K	T					
37. Ameritrade Single Brokerage Acct. (Header) [REDACTED]									
38. Ally Bank Joint Money Market Account	C	Interest	N	T					
39. Ally Bank Joint 2-Year CD	B	Interest	N	T					
40. Ally Joint Savings Account	C	Interest	N	T					
41. Header-Inherited IRA (Baird & Co.) Account rollover to Schwab below									
42. Baird Money Market/Cash	A	Interest			Closed	2/15/17	J		
43. Abbvie (Common)	A	Dividend			Sold	2/15/17	K	B	
44. Akamai (Common)		None			Sold	2/15/17	J	C	
45. American Express (Common)	A	Dividend			Sold	2/15/17	K		
46. Apple (Common)	A	Dividend			Sold	2/15/17	J	C	
47. Chipotle (Common)		None			Sold	2/15/17	J	A	
48. Devon Energy	A	Dividend			Sold	2/15/17	J		
49. Express Scripts (Common)		None			Sold	2/15/17	K	A	
50. General Electric (Common)	A	Dividend			Sold	2/15/17	K	C	
51. Goldcorp (Common)	A	Dividend			Sold	2/15/17	K		

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52. Harley Davidson (Common)	A	Dividend			Sold	2/15/17	K		
53. Halliburton (Common)	A	Dividend			Sold	2/15/17	K	C	
54. JP Morgan (Common)	A	Dividend			Sold	2/15/17	K	C	
55. Mead Johnson (Common)	A	Dividend			Sold	2/15/17	K	C	
56. Occidental (Common)	A	Dividend			Sold	02/15/17	J		
57. Oracle (Common)	A	Dividend			Sold	2/15/17	J	A	
58. Perrigo (Common)	A	Dividend			Sold	2/15/17	J		
59. Qualcomm (Common)	A	Dividend			Sold	2/15/17	K		
60. Sanofi (ADRs-Common)	A	Dividend			Sold	2/15/17	K		
61. Transocean Limited (Common)	B	Dividend			Sold	2/15/17	J		
62. Union Pacific (Common)	A	Dividend			Sold	2/15/17	J	C	
63. US Bankcorp (Common)	A	Dividend			Sold	2/15/17	K	C	
64. Anheuser Busch Note	A	Interest			Sold	2/15/17	K		
65. Ford Motor Company: Bond due 8-1-18	B	Interest			Sold	2/15/17	K		
66. Hewlett Packard Note	B	Interest			Sold	02/15/17	K		
67. JP Morgan (Corp Bond due 03/25/20)	A	Interest			Sold	02/15/17	K		
68. JP Morgan Note	A	Interest			Sold	02/15/17	K		

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69. McDonald's Note	A	Interest			Sold	02/15/17	K		
70. Oglethorpe Power (Corp. Bond due 03/15/19)	A	Interest			Sold	02/15/17	K		
71. Verizon Note	A	Interest			Sold	02/15/17	K		
72. Header-Schwab Inherited IRA Account (was Baird) now managed by Almanac									
73. Money market account	A	Interest	K	T	Distributed (part)	11/15/17	J		
74. ETF--20 Year Treasury (TMV)	A	Interest	K	T	Buy	12/18/17	K		
75. ETF--Gold (SGOL)	A	Dividend	K	T	Buy	7/14/17	K		
76. ETF--Emerging Market (IEMG)	B	Dividend	K	T	Buy	07/14/17	K		
77. ETF--Emerging Market (DVYE)	A	Dividend	J	T	Buy	12/15/17	J		
78. ETF--Emerging Market (EEM)	A	Dividend	J	T	Buy	07/14/17	J		
79. ETF--India (INDA)	A	Dividend	J	T	Buy	12/15/17	J		
80. ETF--Treasury (LTPZ)	B	Interest	L	T	Buy	02/23/17	L		
81. ETF--Emerging Markets (SCHE)	A	Dividend	K	T	Buy	07/14/17	K		
82. ETF--Common Stocks (SCHB)	A	Dividend	L	T	Buy	07/14/17	L		
83. ETF--Common Stocks (SCHD)	A	Dividend	K	T	Buy	07/14/17	K		
84. ETF--Small Caps (SCHA)	A	Dividend	K	T	Buy	07/14/17	K		
85. ETF--Financials (KBE)	A	Dividend	K	T	Buy	12/15/17	K		

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86. ETF--Natural Resources (GNR)	B	Dividend	K	T	Buy	2/23/17	K		
87. ETF--Emerging Market Bonds (HYEM)	B	Interest	K	T	Buy	2/23/17	K		
88. ETF--Bonds (EDV)	A	Interest	K	T	Buy	07/14/17	K		
89. ETF--Bonds (VCLT)	B	Interest	K	T	Buy	02/23/17	K		
90. ETF--International/Euro (EUSC)	A	Dividend	K	T	Buy	02/23/17	K		
91. ETF--International/Euro (HEDJ)	A	Dividend	L	T	Buy	07/14/17	L		
92. ETF--Japan (DXJS)	A	Dividend	K	T	Buy	02/23/17	K		
93. ETF--Master Limited Ptr (MLPA)	A	Dividend	K	T	Buy	02/23/17	K		
94.					Sold	12/15/17	K		
95. ETF--Treasures (TBT)	A	Interest	K	T	Buy	07/14/17	K		
96.					Sold	12/15/17	K		
97. Header-Charles Schwab Trust Account									
98. Charles Schwab Money Market/Cash	A	Interest	K	T	Distributed (part)	01/21/17	L		
99. Bank United CD due 1/29/18	A	Interest	M	T	Buy	01/29/17	M		
100. Aflac Inc. (Bond due 2/15/22)	A	Interest	K	T					
101. AT&T Inc (Bond due 2/15/17)	A	Interest			Matured	2/15/17	K		
102. Bank of America (Bond due 1/22/24)	A	Interest	K	T					

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103. EMC Corp (Bond due 6/1/20)	A	Interest	K	T					
104. Florida Power (Bond due 8/15/21)	A	Interest	K	T					
105. Ford Motor Co (Bond due 8/01/18)	B	Interest	K	T					
106. HCP Inc (Bond due 11/15/23)	A	Interest	K	T					
107. IBM Inc (Bond due 2/8/18)	A	Interest	K	T					
108. Ryder System Inc (Bond due 2/26/19)	A	Interest	J	T					
109. Annaly Capital (Preferred)	B	Dividend			Sold	08/25/17	K		
110. Berkshire Hathaway (Common-B)		None	L	T					
111. Exxon Mobil (Common)	B	Dividend			Sold	04/17/17	K		
112. Johnson & Johnson (Common)	B	Dividend	L	T					
113. Merck (Common)	B	Dividend	K	T					
114. Pfizer (Common)	B	Dividend	L	T					
115. Royal Dutch Shell (Common)	A	Dividend			Sold	09/08/17	K	A	
116. ETF: IVV	A	Dividend	K	T					
117. ETF: GDV		None	K	T					
118. ETF: XLE	B	Dividend	L	T					
119. ETF: XLM	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Guy, Daniel A.

Date of Report

12/17/2018

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. ETF: XLK	A	Dividend	L	T					
121. ETF: GLD		None	K	T					
122. ETF: VWO	A	Dividend	K	T					
123. ETF: V GK	A	Dividend	K	T					
124. ETF: VO	A	Dividend	K	T					
125. ETF: VNQ	C	Dividend	M	T					
126. ETF: VNQI	A	Dividend	K	T	Buy	01/04/17	K		
127. ETF: VTIP	A	Int./Div.	K	T					
128. ETF: VB	A	Dividend	K	T					
129. ETF: VXUS	B	Dividend	L	T					
130. ETF: VTI	C	Dividend	N	T					
131. ETF: BSCJ	A	Interest	K	T	Buy	01/04/17	K		
132. ETF: XBI	A	Dividend	K	T	Buy	01/04/17	K		
133. Header-Charles Schwab Joint Brokerage Acct: Almanac									
134. Ishares ETF: TIP	A	Interest			Sold	07/14/17	K	A	
135. PIMCO ETF: LTPZ	A	Interest	K	T					
136. Proshares ETF: EUO	A	Dividend			Sold	07/14/17	K	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Guy, Daniel A.

Date of Report

12/17/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. Proshares ETF: RWM	A	Dividend			Sold	01/04/17	J		
138. Proshares ETF: SDS	A	Dividend			Sold	01/04/17	J		
139. Select Sector ETF: XLP	A	Dividend			Sold	01/04/17	J		
140. Select Sector ETF: TLO	A	Interest			Sold	07/14/17	J	A	
141. Select Sector ETF: GNR	A	Dividend	J	T					
142. Ishares ETF: HEEM	A	Dividend			Sold	07/14/17	J	B	
143. Ishares ETF: HEWJ	A	Dividend			Sold	07/14/17	J	B	
144. Ishares ETF: HYG	A	Dividend			Sold	07/14/17	J	B	
145. Ishares ETF: IJH	A	Dividend			Sold	07/14/17	J	A	
146. Ishares ETF: EEM	A	Dividend	K	T	Sold (part)	07/14/17	J	A	
147. Ishares ETF: RING	A	Dividend	J	T	Buy	07/14/17	J		
148.					Sold	12/14/17	J	A	
149. ETF: OIL	A	Dividend	J	T	Buy	01/04/17	J		
150.					Sold	07/14/17	J	A	
151. Ishares ETF: EMB	A	Dividend			Sold	07/14/17	K	B	
152. Ishares ETF: LQD	A	Dividend			Sold	01/04/17	J		
153. Ishares ETF: IAU		None			Sold	01/04/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Guy, Daniel A.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. Powershares ETF: BKLN	A	Dividend			Sold	07/14/17	J	A	
155. Vaneck ETF: HYEM	A	Interest	K	T	Sold (part)	07/14/17	J	A	
156. WisdomTree ETF: EUSC	A	Dividend	J	T	Sold (part)	07/14/17	J	A	
157. Wisdomtree ETF: HEDJ	A	Dividend	K	T	Sold (part)	07/14/17	J	B	
158. Direxion ETF: TMV	A	Interest	J	T	Buy	12/18/17	J		
159. Schwab ETF: SCHE	A	Dividend	K	T	Buy	01/04/17	K		
160. Schwab ETF: SCHB	A	Dividend	K	T	Buy	07/14/17	K		
161. Schwab ETF: SCHA	A	Dividend	J	T	Buy	07/14/17	J		
162. Deutsche ETF: DBAW	A	Dividend	J	T	Buy	01/04/17	J		
163. Wisdomtree ETF: DXJS	A	Dividend	J	T	Buy	01/04/17	J		
164. S&P ETF: KBE	A	Dividend	J	T	Buy	12/14/17	J		
165. Fidelity ETF: FENY	A	Dividend	J	T	Buy	12/14/17	J		
166. Vanguard ETF: EDV	A	Dividend	K	T	Buy	10/16/17	K		
167. Vanguard ETF: VCLT	A	Dividend	J	T	Buy	08/30/17	J		
168. ETFS ETF: SGOL	A	Dividend	J	T	Buy	07/14/17	J		
169. iShares ETF: IEMG	A	Dividend	J	T	Buy	07/14/17	J		
170. iShares ETF: PICK	A	Dividend	J	T	Buy	07/14/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Guy, Daniel A.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. iShares ETF: INDA	A	Dividend	J	T	Buy	12/14/17	J		
172. Navy Federal Credit Union Money Market Acct.	A	Interest	J	T					
173. Header-Ameritrade IRA									
174. Amazon (Common)		None	K	T					
175. Apend (Common)		None	J	T	Buy	11/28/17	J		
176. Barnes & Noble (Common)	A	Dividend	J	T	Buy	11/30/17	J		
177. Peabody Energy (Common)	A	Dividend	J	T	Buy	01/03/17	J		
178.					Sold	05/08/17	J	B	
179. Occular (Common)		None	J	T	Buy	05/08/17	J		
180.					Sold	05/15/17	J	A	
181. Panera (Common)	A	Dividend			Sold	03/10/17	J	B	
182. Ameritratde Money Market	A	Interest	J	T					
183. ING Variable Annuity (Growth Fund of America)	B	Dividend	K	T					
184. Header: Maryland College Investment Plan									
185. Header: Maryland Prepaid College Trust									
186. Mass Mutual Universal Life Policy	A	Dividend	J	T					
187. Mass Mutual Universal Life Policy	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Guy, Daniel A.

Date of Report

12/17/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

188. Gill-Simpson, Inc. (Common)		None			Closed	12/31/17	J		
189. MFS Growth Fund (Inherited IRA)	B	Distribution	K	T	Redeemed (part)	11/01/17	J	B	
190.									
191.									
192.									
193.									
194.									
195.									
196.									
197.									
198.									
199.									
200.									
201.									
202.									
203.									
204.									

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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Guy, Daniel A.

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

The following is a response to the Committee on Financial Disclosure letter dated December 7, 2018:

The second paragraph of the letter states that "In Part VII, lines 73, 116-120, 122-124, 127, 129 and 130, you listed various assets which were not in your prior (2016 amended) report." In fact, I reported these same assets on lines 63-64, lines 122-123, 125, and lines 127-137 of my 2016 amended report. The difference is that in my original report for 2017, I dropped the practice of providing a generic description of each exchange traded fund (ETF) in Column A, Description of Assets, and instead reported the asset as an ETF, followed by the ticker symbol, e.g., "ETF: IVV". ETF: IVV, reported on line 116 of my 2017 original report was formerly reported on line 122 of my 2016 amended report as "iShares S&P Index".

As suggested in the third paragraph of the letter, I have reported "None" in Column B(2) for the income associated with the each of the assets in question.

The fourth paragraph of the letter states that "In Part VII, lines 40-44, 46, 47, 49, 50, 53-57, 59, 122, 123, 125, 127-137, and 186-188, in your prior (2016 amended) report you listed various assets, but you did not list these assets in your current (2017) report."

A. Lines 39-60, and 186-188 of my 2016 amended report identify assets owned by [REDACTED] in a brokerage account and college savings plans, respectively. Because the [REDACTED] in 2017, as reported in the headers on lines 37 and 184-185 of my original 2017 report, I deleted those assets from the report. I now understand that I was expected to have reported the assets with a (Y) in Column A. As I no longer [REDACTED] this specific issue will not reoccur in the future.

B. As for lines 122, 123, 125, and 127-137 reported in my 2016 amended report, these same assets are reported on lines 116-130 of my original 2017 report. The difference is (as explained above) that in my original report for 2017, I dropped the practice of providing a generic description of each exchange traded fund (ETF) in Column A, Description of Assets, and instead reported the asset as an ETF, followed by the ticker symbol, e.g., "ETF: IVV".

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Name of Person Reporting

Guy, Daniel A.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Daniel A. Guy**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544