

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) BOWEN, DUDLEY H.	2. Court or Organization SOUTHERN DISTRICT OF GEORGIA	3. Date of Report 08/07/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) UNITED STATES SENIOR DISTRICT JUDGE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address UNITED STATES COURTHOUSE P.O. BOX 2106 AUGUSTA, GEORGIA 30903		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 23

Name of Person Reporting

BOWEN, DUDLEY H.

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08/07/2018

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME

(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.

2.

3.

4.

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.

2.

3.

4.

5.

FINANCIAL DISCLOSURE REPORT

Page 3 of 23

Name of Person Reporting

BOWEN, DUDLEY H.

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08/07/2018

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 23

Name of Person Reporting

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08/07/2018

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. CHARLES SCHWAB IRA #1									
2. General Maritime New F	A	Dividend	J	T					
3. Dynegy Inc	A	Dividend	J	T					
4. Credit Suisse AG	A	Dividend	J	T					
5. Sch Adv Cash Resrv Prem	A	Dividend	J	T					
6. CHARLES SCHWAB									
7. Ares Management LP	A	Dividend	J	T					
8. Ares Capital Corp	A	Dividend	J	T					
9. Marathon Oil Corp (X)	A	Dividend	J	T					
10. ABN AMRO/VERDUS Gwth FD	A	Dividend	L	T					
11. Exxon Mobil Common Stk	A	Dividend	K	T					
12. DuPont EI De Nemour and Co Com	A	Dividend	J	T					
13. Washovia Corp New	A	Dividend	J	T					
14. Charles Schwab Money Market	A	Interest	J	T					
15. ABN AMRO VERDX MCGFX Funds (Alleghany)	D	Dividend	M	T					
16. E I Deupont Drip	A	Dividend	J	T					
17. Ga Bk & Tr Money Mkt Market	B	Interest	M	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
(See Column C2)	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 5 of 23

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☐ NONE (No reportable income, assets, or transactions.)

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18. Ga Bk & Tr Savings	A	Interest	J	T					
19. TIAA CREF Large Cap Growth Inst Mutual Fund	A	Dividend	L	T					
20. CSRA Fed Cr Union	A	Interest	J	T					
21. Real Ppty McCormick County, SC	D	Distribution	J	W					
22. Barclays Mon Mkt Acct	B	Interest	J	T					
23. Atlantic Amer Com		None	J	T					
24. Regions Bank Savings		None	J	T					
25. CHARLES SCHWAB IRA#2									
26. IDII Stock	A	Dividend	J	T					
27. Merrill Lynch Pfd	A	Dividend	K	T					
28. Brookfield Total Return	E	Dividend	K	T					
29. Ares Capital Corp 1476	A	Dividend	J	T					
30. Brookfield Total Rtn 1476	A	Dividend	J	T					
31. Fifth Street Finance 1476	D	Dividend			Sold	01/01/17	J	A	
32. Ares Management LP 1476	A	Dividend	J	T					
33. Ford Motor Company	A	Dividend	J	T	Buy	01/01/17	J		
34. Marathon Oil Corporation	A	Dividend	J	T	Buy	01/23/17	J		

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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 23

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35. Southern Company 1476	A	Dividend	J	T	Buy	02/01/17	J		
36. Blackstone Group LP 1476	A	Dividend	J	T	Buy	02/01/17	J		
37. Enterprise Products 1476	A	Dividend	J	T	Buy	02/01/17	J		
38. CHARLES SCHWAB									
39. Pengrowth Energy Corp	A	Dividend	J	T					
40. Blackrock CP High Yield	A	Dividend	J	T					
41. Motorola	A	Dividend	J	T					
42. Lucent	A	Dividend	J	T					
43. New Hampshire DOE	A	Dividend	J	T					
44. New Hampshire DOE	A	Dividend	J	T					
45. New Hampshire HIGHE	A	Dividend	J	T					
46. The Southern Company	A	Dividend	J	T					
47. ISTAR FINL Inc	A	Dividend			Sold	10/20/17	J	A	
48. Xerox	A	Dividend	J	T					
49. General Motors	A	Dividend	J	T					
50. Schwab Money Market	A	Interest	J	T					
51. Gainesville GA	B	Interest	K	T					

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FINANCIAL DISCLOSURE REPORT

Page 7 of 23

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52. HD Supply Inc	A	Interest	K	T					
53. HD Supply Inc	A	Interest	J	T					
54. Morgan Stanley Bank NA	C	Interest	K	T					
55. Kansas INDPT COL	A	Interest	K	T					
56. Franklin GA Tax Free	A	Interest	K	T					
57. Matthews Asia Dividend	A	Dividend	J	T					
58. Nuveen DIV Advan Muni	A	Dividend	J	T					
59. Nuveen DIV Advan Muni	A	Interest	J	T					
60. PROSHS ULTRASHT ETF	A	Dividend	K	T					
61. Kinder Morgan Inc		None			Closed	01/01/17	J		
62. Northern Borders Partnews nbp		None			Closed	01/01/17	K		
63. Northern Borders Partners nbp		None			Closed	01/01/17	J		
64. Goldman Sachs BDC Inc	A	Dividend			Sold	07/27/17	J	A	
65. Barrick Gold Corp	A	Dividend			Buy	01/01/17	J		
66. Barrick Gold Corp	D	Dividend			Sold	04/25/17	J	A	
67. Ford Motor Company	A	Dividend			Buy	01/24/17	J		
68. Ford Motor Company	A	Dividend			Sold	05/02/17	J	A	

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FINANCIAL DISCLOSURE REPORT

Page 8 of 23

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69. General Electric Co	A	Dividend			Buy	01/24/17	J		
70. General Electric Co	C	Dividend			Sold	12/04/17	J	A	
71. iShares US Preferred	A	Dividend			Buy	01/01/17	J		
72. iShares US Preferred	A	Dividend			Sold	03/09/17	J	A	
73. Pennantpark Invst Corp	A	Dividend			Buy	01/01/17	J		
74. Pennantpark Invst Corp	A	Dividend			Sold	05/18/17	J	A	
75. Vodafone Group	A	Dividend			Buy	01/04/17	J		
76. Vodafone Group	A	Dividend			Sold	02/03/17	J	A	
77. Compass Diversified LP	A	Dividend			Buy	01/01/17	J		
78. Compass Diversified LP	A	Dividend			Sold	05/08/17	J	A	
79. Oaktree CAP GRP LLC	B	Dividend			Buy	01/01/17	J		
80. Oaktree Cap GRP LLC	B	Dividend			Sold	02/09/17	J	B	
81. TRUST CHARLES SCHWAB									
82. Willis Group Holdings	A	Dividend	K	T					
83. T Rowe Price Spectrum	A	Dividend	J	T					
84. PR Commwlth Hwy & 5.25	A	Dividend			Sold	08/17/17	J	A	
85. Chicago Bridge & Iron	A	Dividend			Buy	03/06/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 9 of 23

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86. Chicago Bridge & Iron	A	Dividend			Sold	03/13/17	J	A	
87. iShares Core MSCI	B	Dividend			Buy	01/01/17	J		
88. iShares Core MSCI	B	Dividend			Sold	03/21/17	J	B	
89. iShares US Preferred Stock	A	Dividend			Buy	01/01/17	J		
90. iShares US Preferred Stock	A	Dividend			Sold	03/09/17	J	A	
91. iStar Inc	A	Dividend			Buy	01/01/17	J		
92. iStar Inc	A	Dividend			Sold	10/20/17	J	B	
93. Micron Technology	D	Dividend			Buy	01/01/17	J		
94. Micron Technology	D	Dividend			Sold	02/14/17	J	D	
95. Pennantpark Invt Corp	C	Dividend			Buy	01/01/17	J		
96. Pennantpark Invt Corp	C	Dividend			Sold	05/18/17	J	A	
97. Vodafone Group	A	Dividend			Buy	01/04/17	J		
98. Vodafone Group	A	Dividend			Sold	02/03/17	J	A	
99. Oaktree Cap Grp LLC	A	Dividend			Buy	01/01/17	J		
100. Oaktree Cap Grp LLC	D	Dividend			Sold	02/09/17	J	A	
101. PR Comwlth Hwy	A	Dividend			Buy	01/01/17	J		
102. PR Comwlth Hwy	A	Dividend			Sold	12/04/17	J	A	

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Page 10 of 23

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103. Gainesville GA	A	Dividend			Buy	01/01/17	J		
104. Gainesville GA	A	Dividend			Sold	05/17/17	J	A	
105. CHARLES SCHWAB IRA#3									
106. Schwab Adv Cash Reserve	A	Dividend	K	T					
107. Barrick Gold Corp F	A	Dividend			Sold	04/25/17	K	C	
108. Barrick Gold Corp F	A	Dividend			Sold	04/25/17	J	B	
109. Invesco Mtg Cap Reit	A	Dividend	J	T					
110. Ares Management LP	A	Dividend	J	T					
111. Ares Management LP	A	Dividend	J	T					
112. Ares Management LP	A	Distribution	J	T					
113. Charles Schwab & Company Inc	B	Interest	J	T					
114. Apple Inc	E	Dividend			Buy	01/01/17	K		
115. Apple Inc	D	Dividend			Sold	01/19/17	K	D	
116. Blackrock Corporate High	A	Dividend			Buy	01/01/17	J		
117. Blackrock Corporate High	A	Dividend			Sold	03/09/17	J	A	
118. AAPL	D	Dividend			Buy	01/03/17	J		
119. AAPL	C	Dividend			Sold	01/03/17	J	A	

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Page 11 of 23

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. AAPL	C	Dividend			Buy	03/30/17	J		
121. AAPL	C	Dividend			Sold	03/30/17	J	A	
122. AAPL	C	Dividend			Buy	05/15/17	J		
123. AAPL	B	Dividend			Sold	05/15/17	J	A	
124. Apple Inc	D	Dividend			Buy	01/01/17	K		
125. Apple Inc	D	Dividend			Sold	01/19/17	K	D	
126. Barrick Gold Corp	C	Dividend			Buy	01/01/17	K		
127. Barrick Gold Corp	E	Dividend			Sold	04/25/17	K	C	
128. Blackrock Corp High	A	Dividend			Buy	01/10/17	J		
129. Blackrock Corp High	A	Dividend			Sold	03/09/17	J	A	
130. Chicago Bridge & Iron	B	Dividend			Buy	02/08/17	K		
131. Chicago Bridge & Iron	E	Dividend			Sold	03/13/17	K	A	
132. ConcoPhillips	A	Dividend			Buy	02/13/17	K		
133. ConcoPhillips	E	Dividend			Sold	03/31/17	K	A	
134. Dowdupont Inc	A	Dividend			Buy	03/15/17	J		
135. Dowdupont Inc	A	Dividend			Sold	09/05/17	J	A	
136. F01/19/2018	D	Dividend			Buy	01/01/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 12 of 23

Name of Person Reporting

BOWEN, DUDLEY H.

Date of Report

08/07/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. F01/19/2018	C	Dividend			Sold	05/02/17	J	A	
138. Fifth St Fin Corp	A	Dividend			Buy	01/01/17	J		
139. Fifth St Fin Corp	A	Dividend			Sold	02/09/17	J	A	
140. Ford Motor Company	A	Dividend			Buy	03/02/17	K		
141. Ford Motor Company	A	Dividend			Sold	05/02/17	K	A	
142. Franklin GA Tax Free	A	Dividend			Buy	01/01/17	J		
143. Franklin GA Tax Free	B	Dividend			Sold	01/25/17	J	A	
144. GE	A	Dividend			Buy	05/12/17	J		
145. GE	A	Dividend			Sold	12/04/17	J	A	
146. General Electric	A	Dividend			Buy	01/26/17	J		
147. General Electric	A	Dividend			Sold	12/04/17	J	A	
148. Goldman Sachs BDC	C	Dividend			Buy	01/01/17	K		
149. Goldman Sachs BDC	E	Dividend			Sold	05/15/17	K	C	
150. Invesco LTD	B	Dividend			Buy	04/03/17	K		
151. Invesco LTD	E	Dividend			Sold	04/24/17	K	B	
152. IShares Core MSCI	C	Dividend			Buy	01/01/17	K		
153. IShares Core MSCI	E	Dividend			Sold	03/21/17	K	C	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 13 of 23

Name of Person Reporting

BOWEN, DUDLEY H.

Date of Report

08/07/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. iShares Core MSCI Totl Intl	A	Dividend			Buy	01/01/17	K		
155. iShares Core MSCI Totl Intl	B	Dividend			Sold	03/23/17	K	C	
156. iShares Russell Mid Cap Value	B	Dividend			Buy	01/01/17	J		
157. iShares Russell Mid Cap Value	E	Dividend			Sold	03/23/17	K	B	
158. iShares Select Dividend ETF IV	A	Dividend			Buy	01/01/17	J		
159. iShares Select Dividend ETF IV	A	Dividend			Sold	02/23/17	J	A	
160. iShares US Preferred Stock	A	Dividend			Buy	02/08/17	K		
161. iShares US Preferred Stock	E	Dividend			Sold	03/09/17	K	A	
162. iStar Inc	A	Dividend			Buy	03/16/17	J		
163. iStar Inc	B	Dividend			Sold	10/20/17	J	A	
164. Maingate MLP FD CL I	A	Dividend			Buy	01/24/17	J		
165. Maingate MLP FD CL I	A	Dividend			Sold	12/04/17	J	A	
166. Marathon Oil Corp	A	Dividend			Buy	01/18/17	K		
167. Marathon Oil Corp	E	Dividend			Sold	03/31/17	K	A	
168. MU 01/19/2018	A	Dividend			Buy	03/27/17	J		
169. MU 01/19/2018	A	Dividend			Sold	03/27/17	J	A	
170. MU 01/19/2018	B	Dividend			Buy	05/17/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 14 of 23

Name of Person Reporting

BOWEN, DUDLEY H.

Date of Report

08/07/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. MU 01/19/2018	A	Dividend			Sold	05/17/17	J	A	
172. NOK 01/19/2018	A	Dividend			Buy	01/01/17	J		
173. NOK 01/19/2018	A	Dividend			Sold	04/27/17	J	A	
174. Nokia Corp Spon	A	Dividend			Buy	01/01/17	J		
175. Nokia Corp Spon	A	Dividend			Sold	03/21/17	J	A	
176. Nuveen Amt Free Mncpl	A	Dividend			Buy	01/01/17	J		
177. Nuveen Amt Free Mncpl	A	Dividend			Sold	09/01/17	J	A	
178. Nuveen Real Estate Incom	A	Dividend			Buy	01/01/17	J		
179. Nuveen Real Estate Incom	A	Dividend			Sold	04/20/17	J	A	
180. Pemantpark CAP LTD	A	Dividend			Buy	03/10/17	K		
181. Pemantpark CAP LTD	A	Dividend			Sold	07/31/17	K	A	
182. Pemantpark INVT Corp	A	Dividend			Buy	01/01/17	J		
183. Pemantpark INVT Corp	A	Dividend			Sold	05/18/17	J	A	
184. TCP Capital Corp	A	Dividend			Buy	01/01/17	J		
185. TCP Capital Corp	A	Dividend			Sold	03/21/17	J	A	
186. TEVA Pharm Inds LTD	A	Dividend			Buy	01/24/17	J		
187. TEVA Pharm Inds LTD	A	Dividend			Sold	05/09/17	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 15 of 23

Name of Person Reporting

BOWEN, DUDLEY H.

Date of Report

08/07/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. TPG Specialty Lendin	B	Dividend			Buy	01/06/17	K		
189. TPG Specialty Lendin	B	Dividend			Sold	05/04/17	K	B	
190. United States Steel	A	Dividend			Buy	04/26/17	K		
191. United States Steel	A	Dividend			Sold	04/27/17	K	A	
192. VOD	A	Dividend			Buy	01/04/17	J		
193. VOD	A	Dividend			Sold	05/18/17	J	A	
194. Vodafone Group	A	Dividend			Buy	01/04/17	J		
195. Vodafone Group	A	Dividend			Sold	02/03/17	J	A	
196. Ares Management LP	A	Dividend			Buy	01/18/17	J		
197. Ares Management LP	A	Dividend			Sold	05/08/17	J	A	
198. Compass Diversified LP	A	Dividend			Buy	01/01/17	K		
199. Compass Diversified LP	A	Dividend			Sold	05/08/17	K	A	
200. Enterprise Products LP	A	Dividend			Buy	04/03/17	L		
201. Enterprise Products LP	A	Dividend			Sold	12/04/17	L	A	
202. Oaktree CAP GRP LLC	A	Dividend			Buy	01/01/17	K		
203. Oaktree CAP GRP LLC	A	Dividend			Sold	02/03/17	K	A	
204. Istar Inc	A	Dividend			Buy	10/20/17	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 16 of 23

Name of Person Reporting

BOWEN, DUDLEY H.

Date of Report

08/07/2018

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. Istar Inc	A	Dividend			Sold	10/20/17	J	A	
206. Apple Inc	A	Dividend			Buy	01/01/17	K		
207. Apple Inc	E	Dividend			Sold	08/10/17	K	E	
208. Blackrock Corporate High	A	Dividend			Buy	01/01/17	J		
209. Blackrock Corporate High	A	Dividend			Sold	03/09/17	J	A	
210. Dowdupont Inc	A	Dividend			Buy	01/01/17	J		
211. Dowdupont Inc	A	Dividend			Sold	09/05/17	J	A	
212. Ford Motor Company	A	Dividend			Buy	01/01/17	J		
213. Ford Motor Company	A	Dividend			Sold	05/02/17	J	A	
214. Franklin GA Tax Free	A	Dividend			Buy	01/25/17	K		
215. Franklin GA Tax Free	A	Dividend			Sold	01/25/17	K	A	
216. General Electric Co	A	Dividend			Buy	01/01/17	K		
217. General Electric Co	A	Dividend			Sold	12/04/17	K	A	
218. Goldman Sachs BDC	A	Dividend			Buy	01/01/17	K		
219. Goldman Sachs BDC	C	Dividend			Sold	05/15/17	K	C	
220. IShares Russell Mid Cap Value	A	Dividend			Buy	01/01/17	J		
221. IShares Russell Mid Cap Value	A	Dividend			Sold	03/23/17	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 17 of 23

Name of Person Reporting

BOWEN, DUDLEY H.

Date of Report

08/07/2018

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222. IShares Select Dividend ETF IV	B	Dividend			Buy	01/01/17	J		
223. IShares Select Dividend ETF IV	B	Dividend			Sold	02/23/17	J	B	
224. IStar Inc	A	Dividend			Buy	01/01/17	J		
225. IStar Inc	C	Dividend			Sold	10/20/17	K	C	
226. Maingate MLP FD CL	A	Dividend			Buy	01/01/17	K		
227. Maingate MLP FD CL	A	Dividend			Sold	12/04/17	K	A	
228. Micron Technology	A	Dividend			Buy	01/01/17	J		
229. Micron Technology	D	Dividend			Sold	05/16/17	K	D	
230. Nuveen AMT Free MNCPL	A	Dividend			Buy	01/01/17	K		
231. Nuveen AMT Free MNCPL	A	Dividend			Sold	09/01/17	K	A	
232. Nuveen Real Estate Incom	A	Dividend			Buy	01/01/17	J		
233. Nuveen Real Estate Incom	A	Dividend			Sold	04/20/17	J	A	
234. TCP Capital Corp	A	Dividend			Buy	01/01/17	J		
235. TCP Capital Corp	A	Dividend			Sold	03/21/17	J	A	
236. Dowdupont Inc	A	Dividend			Buy	01/01/17	J		
237. Dowdupont Inc	A	Dividend			Sold	09/05/17	J	A	
238. CHARLES SCHWAB IRA									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 18 of 23

Name of Person Reporting

BOWEN, DUDLEY H.

Date of Report

08/07/2018

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34–60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

239. Transocean Inc New	A	Dividend	J	T					
240. Pengrowth Energy Corp	A	Dividend	K	T					
241. General Electric Company	A	Dividend	J	T					
242. General Electric Company	A	Dividend	J	T					
243. Coca Cola	A	Dividend	K	T					
244. Southern Company	A	Dividend	J	T					
245. Pengrowth Energy Co	A	Dividend	J	T					
246. Ares Capital Corp	A	Dividend	K	T	Buy	01/06/17	K		
247. Ford Motor Company	A	Dividend	K	T	Buy	05/02/17	K		
248. Marathon Oil Corp	A	Dividend	J	T	Buy	01/18/17	J		
249. Noble Corp PLC	A	Dividend	J	T	Buy	01/01/17	J		
250. Pennantpark CAP LTD	A	Dividend	J	T	Buy	11/30/17	J		
251. Enterprise Products	B	Dividend	K	T	Buy	11/03/17	K		
252. Invesco MTG CAP	B	Dividend	J	T	Buy	01/01/17	J		
253. Charles Schwab & Co Inc Interest	A	Interest	J	T					
254. Charles Schwab & Co Inc Cash Dividend	D	Dividend	J	T					
255. TD Ameritrade Clearing Inc Cash Account	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 19 of 23

Name of Person Reporting

BOWEN, DUDLEY H.

Date of Report

08/07/2018

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

256. TD Ameritrade Clearing Inc X Dividend	A	Dividend	J	T					
257. Ares Capital Corp Com	A	Dividend			Buy	02/23/17	K		
258. Ares Capital Corp Com	A	Dividend			Sold	03/15/17	K	A	
259. Bristol-Meyers Squibb Com	B	Dividend			Buy	02/23/17	K		
260. Bristol-Meyers Squibb Com	B	Dividend			Sold	03/16/17	L	B	
261. Chicago Bridge & Iron Co Com	A	Dividend			Buy	01/30/17	K		
262. Chicago Bridge & Iron Co Com	A	Dividend			Sold	02/07/17	K	A	
263. Coca Cola Co Com	A	Dividend			Buy	02/10/17	K		
264. Coca Cola Co Com	A	Dividend			Sold	02/10/17	K	A	
265. Kimco Realty Corp Com	A	Dividend			Buy	03/27/17	J		
266. Kimco Realty Corp Com	A	Dividend			Sold	03/28/17	J	A	
267. Kroger Co Com	A	Dividend			Buy	03/27/17	J		
268. Kroger Co Com	A	Dividend			Sold	03/31/17	J	A	
269. Snap Inc Com CLA	A	Dividend			Buy	04/11/17	K		
270. Snap Inc Com CLA	A	Dividend			Sold	04/21/17	K	A	
271. TEVA Pharmaceutical Inds LTD	B	Dividend			Buy	01/24/17	K		
272. TEVA Pharmaceutical Inds LTD	B	Dividend			Sold	03/31/17	K	B	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 20 of 23

Name of Person Reporting

BOWEN, DUDLEY H.

Date of Report

08/07/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

273. Invesco LTD COM	A	Dividend			Buy	03/27/17	J		
274. Invesco LTD COM	A	Dividend			Sold	03/31/17	J	A	
275. General Electric CO GE	A	Dividend			Buy	02/23/17	J		
276. General Electric CO GE	A	Dividend			Sold	05/26/17	J	A	
277. Coca Cola CO KO	A	Dividend			Buy	02/10/17	J		
278. Coca Cola CO KO	A	Dividend			Sold	02/14/17	J	A	
279. TEVA Pharmaceutical INDS LTD	A	Dividend			Buy	04/05/17	J		
280. TEVA Pharmaceutical INDS LTD	A	Dividend			Sold	06/12/17	J	A	
281. DRY SHIPS Inc	A	Dividend			Buy	02/09/17	J		
282. DRY SHIPS Inc	A	Dividend			Sold	03/10/17	J	A	
283. The Blackstone Group LP COM Unit LTD	A	Dividend			Buy	03/27/17	J		
284. The Blackstone Group LP COM Unit LTD	A	Dividend			Sold	03/27/17	J	A	
285. Enterprise Products Partners Com	A	Dividend			Buy	03/27/17	J		
286. Enterprise Products Partners Com	A	Dividend			Sold	03/31/17	J	A	
287. Bank of Dudley/Dublin GA	A	Interest	J	T					
288. Metlife/ComputerShare	A	Dividend	J	T					
289. Charles Schwab & Company 1476	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 21 of 23

Name of Person Reporting

BOWEN, DUDLEY H.

Date of Report

08/07/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
290. Charles Schwab & Company Cash Dividend 1476	B	Dividend	K	T					
291. Charles Schwab & Company Inc Cash Dividend 7910	A	Dividend	J	T					
292. Charles Schwab & Company Inc Interest 7910	A	Interest	J	T					
293. Oaktree Capital Group LLC Real Estate Mortgage Inv Condu	A	Interest	J	T					
294. Compass Diversified Holdings LLC (Publicly Traded Partnership)	A	Interest	J	T					
295. Oaktree LLC Interest (Publicly Traded Partnership)	A	Interest	J	T					
296. Oaktree Capital Group LLC (Publicly Traded Partnership)	A	Dividend			Sold	02/09/17	J	A	
297. Compass Diversified Holdings LLC (Publicly Traded Prtn)	A	Dividend			Sold	05/08/17	J	A	
298. Oaktree LLC Dividend	A	Dividend			Sold	02/09/17	J	B	
299. Puerto Rico Electric Power Authority (Accrued Market Discounts)	A	Interest	J	T					
300. Puerto Rico Industrial Tourist Educational (Accrued Market Discount)	A	Interest	J	T					
301. Boise City Idaho HSG Authority (Accrued Market Discount)	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 22 of 23

Name of Person Reporting

BOWEN, DUDLEY H.

Date of Report

08/07/2018

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 23 of 23

Name of Person Reporting

BOWEN, DUDLEY H.

Date of Report

08/07/2018

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ DUDLEY H. BOWEN**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544