

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Schmehl, Jeffrey L.	2. Court or Organization U. S. District Court, Eastern District of PA.	3. Date of Report 5/11/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States District Court Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 1/1/2017 to 12/31/2017
7. Chambers or Office Address The Madison Building Room 401, 400 Washington Street Reading, PA 19601		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 1998	Berks County Employee Retirement Plan-Member presently receiving benefits.
2. 2013	State Employee Retirement System-Member presently receiving benefits.
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2017	Berks County Employment Pension	\$2,498.00
2. 2017	State Employee Retirement System Pension	\$69,046.92
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2017	[REDACTED] - Salary
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. NYIPLA	March 31, 2017 - April 1, 2017	New York, New York	Annual Dinner	Transportation, food, hotel
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Affinity Bank Cash Accounts	A	Int./Div.	L	T					
2. Federated Kaufmann Fund C	A	Int./Div.	K	T					
3. Federated Equity Income C	B	Int./Div.	K	T					
4. Federated Kaufmann Large Cap C	A	Int./Div.	K	T					
5. Federated Kaufmann Small Cap C	A	Int./Div.	J	T					
6. Franklin Mutual Global Discovery	B	Int./Div.			Sold	07/11/17	K	C	
7. Templeton Growth	B	Int./Div.			Sold	07/11/17	K	C	
8. Templeton World	B	Int./Div.			Sold	07/11/17	K	C	
9. Templeton Foreign	B	Int./Div.			Sold	07/11/17	K	C	
10. Franklin Income	B	Dividend			Sold	07/11/17	K	C	
11. Jackson Black Rock Gobal	A	Int./Div.	K	T					
12. Jackson Agressive Growth	B	Int./Div.	L	T					
13. Jackson Growth	C	Int./Div.	M	T					
14. American Funds Growth Portfolio	C	Int./Div.	M	T					
15. New Millenium Investments(X) Comprised of below investments									
16. - Apple, Inc	A	Int./Div.	J	T					
17. - Baidu, Inc	A	Int./Div.	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. - Exxon Mobil Corp	A	Int./Div.	J	T					
19. - 1 Shares North American Resources , ETF	A	Int./Div.	J	T					
20. - JP Morgan Chase & Co.	A	Int./Div.	J	T					
21. - Powershares QQQ ETF	A	Int./Div.	J	T					
22. - Procter & Gamble Company	A	Int./Div.	J	T					
23. - Sector Financial Select- Sector SPDR EFT	A	Int./Div.	J	T					
24. - TEVA Pharmaceutical Inds Ltd	A	Int./Div.			Sold	05/11/17	J	A	
25. - Unilever PLC	A	Int./Div.	J	T					
26. - Verizon Communications	A	Int./Div.			Sold	05/11/17	J	A	
27. - Fannie Mae	A	Int./Div.	J	T					
28. - United Technologies	A	Int./Div.			Sold	02/20/17	J	A	
29. - Wisdom Tree Investments	A	Int./Div.			Sold	02/20/17	J	A	
30. - Altria Group Inc	A	Int./Div.	J	T					
31. - Medtronic PLC	A	Int./Div.	J	T					
32. - Facebook	A	Int./Div.	J	T					
33. - United Health Group Inc	A	Int./Div.	J	T					
34. - Amazon	A	Int./Div.	J	T					

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35. - Ford	A	Int./Div.			Sold	02/23/17	J	A	
36. - Barclay's Bank	A	Int./Div.			Sold	02/23/17	J	A	
37. - Google	A	Int./Div.	J	T					
38. - Johnson & Johnson	A	Int./Div.	J	T					
39. - 1 Shares Aerospace	A	Int./Div.	J	T					
40. - AMGEN	A	Int./Div.	J	T	Buy	01/17/17	J		
41. - ABBVie	A	Int./Div.	J	T	Buy	03/22/17	J		
42. - Microsoft	A	Int./Div.	J	T	Buy	05/17/17	J		
43. - Goldman Sachs	A	Int./Div.	J	T	Buy	05/17/17	J		
44. - NVIDIA Group	A	Int./Div.	J	T	Buy	09/20/17	J		
45. -Boeing	A	Int./Div.	J	T	Buy	10/17/17	J		
46. - Becuton Dickinson	A	Int./Div.	J	T	Buy	10/17/17	J		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII. Investments and Trusts - Line 15 (heading) and Lines 16 through 46 are part of New Millenium Investments which is a small investment club that I am a part of. The individual stock/investments listings in #16 through #46 were either held by the club, sold by the club or bought by the club as listed for the year 2017. All individual funds and individual investments listed in the New Millenium Investements are signified with a dash (-) in front of said funds/stocks/investments. The values indicated are the values of my pro-rate shares.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Jeffrey L. Schmehl**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544