

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Ray, Jr., Hal R.	2. Court or Organization U. S. District Court for the Northern District of Texas	3. Date of Report 05/15/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Magistrate Judge full-time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address 1000 Lamar Street Room 203 Wichita Falls, TX 76301		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Director and Past Chairman	Masonic Temple Library & Museum of Fort Worth, Inc.
2. Director and Past Chairman	Masonic Temple Association of Fort Worth, Inc.
3. Director	Fort Worth Scottish Rite Foundation, Inc.
4. General Counsel and Assistant Secretary	Home for Aged Masons, also known as Texas Masonic Retirement Center
5. Partner	Pope, Hardwicke, Christie, Schell, Kelly & Ray, LLP
6. Partner	Panther City Bank Partners
7. International Chapter Leader	University of London International Programmes Alumni Association
8. Co-Trustee	Family Trust # 1

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 06-24-2016	Pope law firm will pay partnership interest through 08-31-16, pay one other fee, if applicable, send oil and gas checks, and keep table, chair.
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 16

Name of Person Reporting

Ray, Jr., Hal R.

Date of Report

05/15/2017

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2016	Pope, Hardwicke, Christie, Schell, Kelly & Ray, LLP partnership non-passive income	\$183,747.00
2. 2016	Teacher Retirement System survivor pension	\$25,302.00
3. 2016	IRA # 5 distribution	\$2,008.00
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.				
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 16

Name of Person Reporting

Ray, Jr., Hal R.

Date of Report

05/15/2017

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

FINANCIAL DISCLOSURE REPORT

Page 4 of 16

Name of Person Reporting

Ray, Jr., Hal R.

Date of Report

05/15/2017

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Trust # 1 (H)									
2. - Cisco Systems Inc. common stock	A	Dividend	K	T					
3. - Intel Corp common stock	B	Dividend	K	T					
4. - Williams Companies Inc. common stock	B	Dividend	K	T					
5. - Yum Brands, Inc. common stock	A	Dividend	J	T					
6. - Yum China Holdings, Inc. common stock (spin off from #5) (X)		None	J	T					
7. - Etrade Bank sweep account	A	Interest	M	T					
8. - U.S. Savings Bonds	B	Interest	K	T					
9. - BBVA Bank cash account	A	Interest	J	T					
10. IRA # 1 (H)									
11. - Vanguard Target Retirement Fund 2025	A	Dividend	J	T	Buy (add'l)	02/01/16	J		
12.					Buy (add'l)	02/11/16	J		
13. - Vanguard Target Retirement Fund 2030	B	Dividend	L	T					
14. - Vanguard Target Retirement Fund 2035	B	Dividend	K	T					
15. - Vanguard Target Retirement Fund 2040	B	Dividend	L	T					
16. - Vanguard Total Stock Market Index Fund	A	Dividend	J	T	Buy (add'l)	06/24/16	J		
17. - Vanguard Prime Money Market Fund	A	Dividend	J	T	Buy (add'l)	01/06/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 16

Name of Person Reporting

Ray, Jr., Hal R.

Date of Report

05/15/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18.					Sold (part)	02/01/16	J		
19.					Sold (part)	02/11/16	J		
20.					Buy (add'l)	03/14/16	J		
21.					Buy (add'l)	06/13/16	J		
22.					Sold (part)	06/24/16	J		
23.					Buy (add'l)	09/12/16	J		
24.					Buy (add'l)	12/19/16	J		
25.					Buy (add'l)	12/28/16	J		
26. IRA # 2 (H)									
27. - Vanguard Target Retirement Fund 2025	C	Dividend	M	T	Buy (add'l)	02/01/16	J		
28.					Buy (add'l)	02/11/16	J		
29. - Vanguard Target Retirement Fund 2030	C	Dividend	M	T					
30. - Vanguard Target Retirement Fund 2035	D	Dividend	M	T					
31. - Vanguard Target Retirement Fund 2040	C	Dividend	M	T					
32. - Vanguard Total Stock Market Index Fund	A	Dividend	K	T	Buy (add'l)	06/24/16	J		
33.					Buy (add'l)	09/29/16	J		
34. - Vanguard Prime Money Market Fund	A	Dividend	K	T	Buy (add'l)	01/07/16	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 16

Name of Person Reporting

Ray, Jr., Hal R.

Date of Report

05/15/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35.					Sold (part)	02/01/16	J		
36.					Sold (part)	02/11/16	J		
37.					Buy (add'l)	03/14/16	J		
38.					Buy (add'l)	06/13/16	J		
39.					Sold (part)	06/24/16	J		
40.					Buy (add'l)	09/12/16	J		
41.					Sold (part)	09/29/16	J		
42.					Buy (add'l)	12/19/16	J		
43.					Buy (add'l)	12/28/16	K		
44. IRA # 3 (H)									
45. - Vanguard Target Retirement Fund 2025	A	Dividend	K	T	Buy (add'l)	06/20/16	J		
46. - Vanguard Target Retirement Fund 2030	A	Dividend	K	T	Buy (add'l)	06/20/16	K		
47. - Vanguard Target Retirement Fund 2040	A	Dividend	K	T	Buy (add'l)	06/20/16	K		
48. - Vanguard Total Stock Market Index Fund	A	Dividend	J	T	Buy (add'l)	06/20/16	J		
49. - Vanguard Prime Money Market Fund	A	Dividend	J	T	Buy (add'l)	01/07/16	J		
50.					Buy (add'l)	06/20/16	J		
51. - Bank of America common stock	A	Dividend			Sold	08/15/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 16

Name of Person Reporting

Ray, Jr., Hal R.

Date of Report

05/15/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. IRA # 4 (H)									
53. - Bank of America common stock	A	Dividend			Sold	08/15/16	J		
54. - ETrade Cash Account	A	Interest	J	T					
55. IRA # 5 (H)									
56. - Prime Money Market Fund	A	Dividend			Sold	01/06/16	J		
57. IRA # 6 (H)									
58. - Etrade Cash Account	A	Interest	J	T	Sold (part)	10/25/16	L		
59. Account # 1 (H) (Y)									
60. - Fidelity Spartan Short-Term Treasury Bond Fund (Y)									
61. -Fidelity Cash Account (Y)									
62. Account # 2 (H)									
63. - Cash	A	Interest	J	T					
64. - Fidelity Four in One Index Fund	C	Dividend	M	T	Buy (add'l)	02/11/16	J		
65. - Fidelity Spartan Short-Term Treasury Bond Fund (Y)									
66. Account # 3 (H)									
67. - Vanguard Short-Term Tax Exempt Bond Fund	A	Interest	J	T	Buy (add'l)	01/06/16	J		
68.					Buy (add'l)	01/07/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 16

Name of Person Reporting

Ray, Jr., Hal R.

Date of Report

05/15/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69.					Buy (add'l)	12/28/16	K		
70. - Vanguard Target Retirement Fund 2030	D	Dividend	N	T					
71. - Vanguard Target Retirement Fund 2035	D	Dividend	N	T					
72. - Vanguard Target Retirement Fund 2040	D	Dividend	M	T					
73. Account # 4 (H)									
74. - Vanguard 529 Plan Moderate Age-Based Option: Income Portfolio Fund			K	T					
75. Account # 5 (H)									
76. - Vanguard 500 Index Fund	A	Dividend	K	T					
77. - Vanguard Prime Money Market Fund	A	Dividend	M	T					
78. - Vanguard Federal Money Market Fund	A	Dividend	M	T	Buy (add'l)	07/01/16	J		
79.					Buy (add'l)	08/15/16	J		
80.					Buy (add'l)	08/16/16	J		
81.					Buy (add'l)	08/18/16	M		
82.					Buy (add'l)	01/01/16	J		
83.					Buy (add'l)	09/15/16	J		
84.					Buy (add'l)	10/21/16	J		
85.					Buy (add'l)	11/01/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 9 of 16

Name of Person Reporting

Ray, Jr., Hal R.

Date of Report

05/15/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
86.					Buy (add'l)	12/01/16	J		
87. - Vanguard Windsor Fund	A	Dividend			Sold	08/15/16	J		
88. - American Funds Capital Income Builders Fund	A	Dividend			Sold	08/15/16	K		
89. - American Funds-The Income Fund of America	A	Dividend			Sold	08/15/16	K	B	
90. - Franklin High Yield Tax Free Income Fund	B	Dividend			Sold	08/15/16	L	A	
91. - Franklin Income Fund	A	Dividend			Sold	08/15/16	K		
92. - Thornburg Investment Income Builder Fund	A	Dividend			Sold	08/15/16	K		
93. - Altria Group Inc. common stock	A	Dividend	J	T					
94. - American Electric Power Co. common stock	C	Dividend	L	T					
95. - AT&T common stock	D	Dividend	N	T					
96. - Bank America Corp. common stock	A	Dividend	J	T					
97. - Chemours Company common stock	A	Dividend			Sold	08/15/16	J		
98. - Citigroup Inc. common stock	A	Dividend			Sold	10/18/16	J		
99. - E.I. DuPont de Nemours & Company common stock	A	Dividend	K	T					
100. - Energy Transfer Partners Unit Ltd. Partnership units	A	Dividend	J	T					
101. - Entergy Corp. common stock	B	Dividend	K	T					
102. - ExxonMobil Corp. common stock	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 10 of 16

Name of Person Reporting

Ray, Jr., Hal R.

Date of Report

05/15/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
103. - General Electric Company common stock	A	Dividend	J	T					
104. - GlaxoSmithKline PLC Sponsored ADR	A	Dividend	J	T					
105. - Intel Corp. common stock	A	Dividend	J	T					
106. - Merck & Company Inc. common stock	A	Dividend	J	T					
107. - Microsoft Corporation common stock	A	Dividend	J	T					
108. - Nextera Energy Inc. common stock	B	Dividend	L	T					
109. - Pepsico Inc. common stock	A	Dividend	J	T					
110. - Westar Energy Inc. common stock	A	Dividend	K	T					
111. - American Municipal Power of Ohio Inc. bond	A	Interest	J	T					
112. - Garnd River Dam Authority of Oklahoma bond	A	Interest	J	T					
113. - Keller Texas Independent School District bond	A	Interest	J	T					
114. - Port of Oakland California bond	A	Interest	J	T					
115. - Investment Company of America Fund Class A (Y)									
116. - University of Texas Permanent University Fund Bond (Y)									
117. - Regency Energy Partners LP units (Y)									
118. Account 6 (H)									
119. - Berkshire Hathaway common stock		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 11 of 16

Name of Person Reporting

Ray, Jr., Hal R.

Date of Report

05/15/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. - Coca Cola Company common stock	B	Dividend	K	T					
121. - Pepsico Inc. common stock	A	Dividend	K	T					
122. - AT&T Inc. common stock	A	Dividend	J	T					
123. - ExxonMobil common stock	A	Dividend	J	T					
124. - Yum Brands Inc. common stock	A	Dividend	J	T					
125. - Yum China Holdings, Inc. common stock (spin off from # 124)		None	J	T					
126. - Addison, Texas CTFS Obligations Bond	A	Interest	J	T					
127. - Bridgeport Independent School District Bond	A	Interest	J	T					
128. - Denton Co., Texas Bond	A	Interest	J	T					
129. - Eagle Mountain & Saginaw ISD School Bond	A	Interest	J	T					
130. - Edinburg, Texas Local Government Bond	A	Interest	J	T					
131. - El Paso County, Texas Hospital District Bond	A	Interest	J	T					
132. - Harris County, Texas Cultural Educational Facilities Bond	A	Interest	J	T					
133. - Illinois Finance Authority Bond	A	Interest	J	T					
134. - Lower Colorado River Authority Bond	A	Interest	J	T					
135. - Prosper ISD Bond	B	Interest	K	T					
136. - Texas Transportation Commission Bond	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 12 of 16

Name of Person Reporting

Ray, Jr., Hal R.

Date of Report

05/15/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. - Tyler, Texas Health Facilities Development Corp. Bonds	B	Interest	K	T					
138. - Indiana Bd Bk Rev Spl Program Bond	A	Interest			Redeemed	07/29/16	J		
139. - Winkler County, Texas Bond	A	Interest			Redeemed	07/11/16	J		
140. - ETrade Cash Account	A	Interest	N	T					
141. Account 7 (H)									
142. - Abbie, Inc. common stock	A	Dividend	J	T					
143. - Abbot Labs common stock	A	Dividend	J	T					
144. - American Electric Power Inc. common stock	A	Dividend	J	T					
145. - Applied Materials Inc. common stock	A	Dividend	K	T					
146. - Apache Corp. common stock	A	Dividend	J	T					
147. - Caterpillar Inc. common stock	A	Dividend	J	T					
148. - CME Group Inc. common stock	A	Dividend	J	T					
149. - Cummins Inc. common stock	A	Dividend	J	T					
150. - CSX Corp. common stock	A	Dividend	J	T					
151. - Chevron Corp. common stock	A	Dividend	J	T					
152. - Huntington Bancshares Inc. common stock	A	Dividend	J	T					
153. - HollyFrontier Corp. common stock	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 13 of 16

Name of Person Reporting

Ray, Jr., Hal R.

Date of Report

05/15/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. - Hewlett Packard Enterprise Co. common stock (spin off of Hew. Pack.)	A	Dividend	J	T					
155. - HP Inc. common stock (spin off of Hewlett Packard Co.)	A	Dividend	J	T					
156. - Harris Corp. common stock	A	Dividend	K	T					
157. - Humana Inc. common stock	A	Dividend	K	T					
158. - Mondelez International Inc. common stock	A	Dividend	J	T					
159. - Merck & Co., Inc. common stock	A	Dividend	J	T					
160. - ExxonMobil Corp. common stock	A	Dividend	J	T					
161. - ETrade Cash Account	A	Interest	J	T					
162. - Miscellaneous (H)									
163. - Walt Disney Company common stock	B	Dividend	M	T					
164. - MetLife, Inc. common stock	A	Dividend	J	T					
165. - AT&T common stock (Y)									
166. - First Texas BHC, Inc. common stock		None	K	U					
167. - Texas Tomorrow Fund account		None	K	V					
168. - HSA Bank	A	Interest	K	T					
169. - Bank of America cash accounts	A	Interest	O	T					
170. - American General Life Insurance Co. cash value of policies	B	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 14 of 16

Name of Person Reporting

Ray, Jr., Hal R.

Date of Report

05/15/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. - Jackson National Life Insurance Co. cash value of policy	C	Interest	K	T					
172. - Reassure America Life Insurance Co. cash value of policy	A	Interest	J	T					
173. - MetLife, Inc. cash value of policy	A	Interest	J	T					
174. - Royalty Interests, Tarrant County, Texas	C	Royalty	J	V					
175. - Working Interests, Bonds Ranch Wells, Tarrant County, Texas		None	J	W					
176.									
177.									
178.									
179.									
180.									
181.									
182.									
183.									

1. Income Gain Codes:	A - \$1,000 or less	B - \$1,001 - \$2,500	C - \$2,501 - \$5,000	D - \$5,001 - \$15,000	E - \$15,001 - \$50,000
(See Columns B1 and D4)	F - \$50,001 - \$100,000	G - \$100,001 - \$1,000,000	H1 - \$1,000,001 - \$5,000,000	H2 - More than \$5,000,000	
2. Value Codes	J - \$15,000 or less	K - \$15,001 - \$50,000	L - \$50,001 - \$100,000	M - \$100,001 - \$250,000	
(See Columns C1 and D3)	N - \$250,001 - \$500,000	O - \$500,001 - \$1,000,000	P1 - \$1,000,001 - \$5,000,000	P2 - \$5,000,001 - \$25,000,000	
	P3 - \$25,000,001 - \$50,000,000		P4 - More than \$50,000,000		
3. Value Method Codes	Q - Appraisal	R - Cost (Real Estate Only)	S - Assessment	T - Cash Market	
(See Column C2)	U - Book Value	V - Other	W - Estimated		

FINANCIAL DISCLOSURE REPORT

Page 15 of 16

Name of Person Reporting	Date of Report
Ray, Jr., Hal R.	05/15/2017

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

On Part I, Positions, I resigned Positions # 1 through # 6 on or before 06-24-2016 when I was sworn in as a U.S. Magistrate Judge. I resigned Position # 7 on 07-27-2016. On Part VII, line 167, the value of the Texas Tomorrow Fund account is calculated by multiplying the number of credit hours remaining under the contract by the per hour value set each year by the Fund. In Part VII, line 174, the value of the royalty interests is based on the property tax values assigned by the appraisal district. In Part VII, line 175, the estimated value of the Working Interest is based on a multiple of historical cashflow from the interest.

FINANCIAL DISCLOSURE REPORT

Page 16 of 16

Name of Person Reporting

Ray, Jr., Hal R.

Date of Report

05/15/2017

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Hal R. Ray, Jr.**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544