

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Rogers, Richard D.	2. Court or Organization US District Court, Topeka KS	3. Date of Report 05/01/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) US Senior District Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address 410 Federal Building 444 SE Quincy St. Topeka KS 66683		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2012	Kansas Farm Bureau Retirement Pension	\$2,877.00
2. 2012	Kansas Public Employees Retirement Pension	\$1,785.00
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	State Mutual Ins. Co.	Policy Loans	J
2.	Veterans Life Ins.	Policy Loans	J
3.	Northwestern Mutual Life Ins.	Policy Loans	J
4.	KS Farm Bureau Life Ins. Co.	Policy Loans	J
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Western Resources common stock	D	Dividend	M	T	Buy	01/09/12	J		
2. Fidelity State Bank	A	Interest	J	T					
3. Nuveen Tax Exempt	A	Dividend	K	T					
4. Oil Royalty-Oklahoma	A	Royalty	J	T					
5. Bk of America savings	A	Interest	J	T					
6. Citigroup common stock	A	Dividend	J	T					
7. Credit Union 1 now known as Quest Credit Union	A	Int./Div.	J	T					
8. Target common stock	A	Dividend	J	T					
9. Southern Co. common stock	A	Dividend	K	T					
10. Bk of America common stock	A	Dividend	J	T					
11. AT&T common stock	A	Dividend	J	T	Buy	05/31/12	J		
12. Cap.Fed. common stock	A	Dividend	J	T					
13. Verizon common stock	A	Dividend	J	T					
14. Proctor & Gamble common stock	A	Dividend	J	T					
15. ONEOK common stock	A	Dividend	J	T					
16. Johnson & Johnson common stock	A	Dividend	K	T					
17. 3M Co. common stock	A	Dividend	K	T	Buy	04/09/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. Barclays common stock	A	Dividend	J	T					
19. British Petroleum common stock	A	Dividend	J	T					
20. Royal Dutch Shell common stock	A	Dividend	J	T					
21. Berkshire Hathaway Common Stock	A	Dividend	K	T					
22. Vanguard tax-exempt bond fund	D	Dividend	M	T					
23. KS Farm Bureau Life Ins. interest on policies	B	Interest	J	T					
24. Westar Energy Inc Preferred	A	Dividend			Redeemed	05/05/12	J	A	
25. Dupont	A	Dividend	J	T					
26. General Electric	A	Dividend	J	T					
27. Accenture stock	A	Dividend	J	T					
28. Conoco Phillips stock	A	Dividend	K	T					
29. Eli Lilly stock	A	Dividend	J	T					
30. Ferrell Gas LP	A	Distribution	J	T	Buy	03/08/12	J		
31. Progress Energy stock	A	Dividend			Merged (with line 32)	08/14/12	J		
32. Duke Energy Common Stock	A	Dividend	K	T	Buy	08/14/12	J		
33. Altria	A	Dividend	J	T	Buy	02/29/12	J		
34. Caterpillar	A	Dividend	K	T	Buy	12/13/12	J		

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35. Exelon Corp.	A	Dividend	J	T					
36. Marathon Oil Corp	A	Dividend	J	T					
37. Dow Chemical Common Stock	A	Dividend	J	T	Buy	07/24/12	J		
38. Intel Corporation Common Stock	A	Dividend	J	T	Buy	10/09/12	J		
39. Marathon Petroleum Corp Common Stock	A	Dividend	J	T	Spinoff (from line 36)	01/02/12	J		
40. Phillips 66 Common Stock	A	Dividend	J	T	Spinoff (from line 28)	06/04/12	J		
41. Frontier Communication Common Stock	A	Dividend	J	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Marathon Petroleum Corp was a spinoff from Marathon Oil Corp in late 2011 and was omitted from the 12/31/2011 report. Amount was not material.

Frontier Communication was not included on the 12/31/2011 report in error. Amount was not material.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Richard D. Rogers**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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