

FINANCIAL DISCLOSURE REPORT INITIAL FILING

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Harvey, Gordon M.	2. Court or Organization U.S. District Court	3. Date of Report 4/20/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Magistrate Judge - Full-Time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☒ Initial ☐ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2014 to 02/07/2015
7. Chambers or Office Address U.S. District Court 333 Constitution Avenue, N. W. Washington, D.C. 20001		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Board Member	Moto Incorporated
2.	Board Member	Forsyth Carterville Coal Company
3.	Trustee	Family Trust #3
4.	Trustee	Family Trust #4
5.	Trustee	Family Trust #5

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2014	Forsyth Carterville Coal Company - Board Member Income	\$4,000.00
2. 2014	MOTO, Inc. - Board Member Income	\$18,000.00
3. 2013	Forsyth Carterville Coal Company - Board Member Income	\$4,000.00
4. 2013	MOTO, Inc. - Board Member Income	\$18,000.00

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2014	[REDACTED] Inc - Administration
2. 2014	MOTO, Inc. - Reduced Vacation Rental Fee
3. 2013	[REDACTED] Inc - Administration
4. 2013	MOTO, Inc. - Reduced Vacation Rental Fee

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Exempt				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	Exempt		
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Fidelity Account - Cash	A	Int./Div.	L	T	Exempt				
2. Forsyth Cartersville Coal Company Stock	G	Int./Div.	P1	U	Exempt				
3. Missouri Real Estate Stock	E	Int./Div.	O	U	Exempt				
4. Moto Incorporated Stock	F	Int./Div.	P1	U	Exempt				
5. Fidelity IRA #1	C	Int./Div.	K	T	Exempt				
6. - Vanguard Target Ret 2035 FD Investor CL									
7. Fidelity IRA #2	C	Int./Div.	K	T	Exempt				
8. - Vanguard Target Ret 2035 FD Investor CL									
9. TIAA Account LFCYCLE 2030T4	B	Int./Div.	K	T	Exempt				
10. Real Estate, Mercer County, West Virginia Property		None	K	W	Exempt				
11. Family Trust #1	C	Int./Div.	M	T	Exempt				
12. - Cash Account mgd by The Commerce Trust Company									
13. - Missouri Real Estate Stock									
14. Family Trust #2	C	Int./Div.	M	T	Exempt				
15. - Cash Account mgd by The Commerce Trust Company									
16. - Missouri Real Estate Stock									
17. Family Trust #3	B	Int./Div.	J	T	Exempt				

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. - Alliance Growth									
19. - Emerging Markets mgd by J.P. Morgan Investment Management									
20. - Growth Opportunities mgd by Invesco Advisers									
21. - Invesco VI Comstock Fund									
22. - Natural Resources mgd by Wellington Management Company									
23. - Real Estate mgd by Pyramis Global Advisors									
24. - Small & Mid Cap Value mgd by AllianceBernstein									
25. Family Trust #4	B	Int./Div.	J	T	Exempt				
26. - Alliance Growth									
27. - Emerging Markets mgd by J.P. Morgan Investment Management									
28. - Growth Opportunities mgd by Invesco Advisers									
29. - Invesco VI Comstock Fund									
30. - Natural Resources mgd by Wellington Management Company									
31. - Real Estate mgd by Pyramis Global Advisors									
32. - Small & Mid Cap Value mgd by AllianceBernstein									
33. Family Trust #5	B	Int./Div.	J	T	Exempt				
34. - Alliance Growth									

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35.	- Emerging Markets mgd by J.P. Morgan Investment Management								
36.	- Growth Opportunities mgd by Invesco Advisers								
37.	- Invesco VI Comstock Fund								
38.	- Natural Resources mgd by Wellington Management Company								
39.	- Real Estate mgd by Pyramis Global Advisors								
40.	- Small & Mid Cap Value mgd by AllianceBernstein								

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

The non-investment income reported in Section III-A above ceased upon the filer's appointment to the bench on February 13, 2015

Updated as of May 7, 2015 to reflect changes discussed with committee staff.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Gordon M. Harvey**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544