

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Royal, Charles A.	2. Court or Organization U.S. Middle District - Georgia	3. Date of Report 4/30/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address U. S. Courthouse 475 Mulberry Street Macon, GA 31202		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Executor	Estate #1
2.	Executor	Estate #2
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. Fall '13	Mercer University	\$3,000.00
2. 2013	West Services, Inc. (Book royalties)	\$3,401.40
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2013	[REDACTED] - Math Teacher
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Northwestern Mutual Life	A	Dividend	L	T					
2. SunTrust Retirement Reserve Fund	A	Int./Div.	M	T					
3. MetaMorphix, Inc.		None	J	T					
4. Polywad, Inc.		None	J	T					
5. SunTrust Account #3		None	J	T					
6. SunTrust Account #4		None	J	T					
7. Estate #1		None	J	T					
8. IShares, Inc. - MSCI Brazil	A	Dividend	K	T					
9. Atlas Resource Partners fka Atlas Energy Resources	A	Dividend			Sold	07/02/13	J	A	
10. IShares Inc MSCI Singapore	A	Dividend	J	T					
11. IShares Inc MSCI Sweden	B	Dividend	K	T					
12. TICC Capital Corp	A	Dividend	K	T	Sold (part)	10/23/13	J	A	
13. Annaly Capital Mgmt Inc	C	Dividend	K	T					
14. Legacy RESVS	B	Dividend	K	T					
15. Synovus Financial Corp	A	Dividend			Sold	07/02/13	J		
16. AT & T Inc	B	Dividend	L	T	Buy	11/13/13	K		
17. Centurylink Inc	B	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000 J = More than \$50,000,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000.01 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. Verizon Communications	B	Dividend	K	T					
19. Northwestern Corp	B	Dividend	K	T					
20. American Capital Agency Corp	C	Dividend			Sold	11/13/13	J	A	
21. Firstenergy Corp	B	Dividend	K	T					
22. Pioneer Natural Resources fka Pioneer Southwest Energy	B	Dividend	K	T					
23. Provident Energy	A	Dividend	J	T					
24. Southern Company	B	Dividend	K	T					
25. Brookfield Infrastructure Partners	B	Dividend	K	T					
26. Seagate Technology	B	Dividend	L	T					
27. Annaly Capital Mgmt, Inc.	C	Dividend	K	T					
28. Antares Pharma, Inc.		None	K	T					
29. Apollo Invst. Corp.	A	Dividend	J	T	Sold (part)	10/18/13	J	A	
30. Companhia Energetica ADR	C	Dividend			Sold	05/28/13	J		
31. Conagra Foods, Inc.	A	Dividend	K	T					
32. Denbury Resources, Inc.		None	K	T					
33. Federated Investors, Inc.	A	Dividend	M	T					
34. Orange Spons ADR fka France Telecom ADR	B	Dividend			Sold	07/02/13	J	A	

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35. Total SA Spons Adr	B	Dividend	L	T					
36. Estate #2	A	Interest	J	T					
37. Consolidated Edison, Inc.		None	L	T	Buy	11/13/13	L		
38. Linn Energy LLC	A	Dividend	K	T	Buy	11/21/13	K		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

I. POSITIONS

Estate #1 - I have no beneficial interest in the estate. There are no assets owned by the estate. There have been no acquisitions, dispositions or capital gains. This response includes now and at all times during the reporting period.

Estate #2 - At the end of the reporting period, there are no reportable assets in the estate.

VII. INVESTMENTS and TRUSTS

Atlas Energy, Inc. was sold in full in 2012.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Charles A. Royal**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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