

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Fricke, Theresa L.	2. Court or Organization U.S. District Court for the Western District of Washington	3. Date of Report 08/15/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States Magistrate Judge(active full-time)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address U.S. Courthouse 1717 Pacific Ave. Tacoma, Wa. 98402 Room 3100		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2017	Washington State Attorney General W-2 Wages	\$24,368.81
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2017	Hester Law Group, Inc. P.S. W-2 Wages
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	CHASE VISA	Credit Card	J
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Brokerage #1 (H)									
2. JPMorgan US Governemnt Money Market Fund (QVESQ)	A	Dividend	J	T					
3. Blackrock Fds Mid Cap Index Fd Cl K (BRMKX)	A	Dividend			Sold (part)	05/15/17	J	A	
4.					Sold	07/05/17	J	B	
5. Blackcock Index Fds Inc (BTMKX)	A	Dividend	K	T	Buy (add'l)	04/10/17	K		
6.					Buy (add'l)	05/15/17	J		
7.					Buy (add'l)	07/05/17	J		
8. Brown Advisory Fds (BAFHx)	A	Dividend	J	T					
9. Causeway Cap Mgmt Tr (CIVIX)	A	Dividend	J	T	Buy	07/05/17	J		
10. Dodge & Cox Funds International Stock Fund (DODFX)	A	Dividend	J	T					
11. Harris Assoc Invnt Tr (OANMX)	A	Dividend	K	T	Buy	04/10/17	J		
12. iShares Inc (EWJ)	A	Dividend	K	T	Buy (add'l)	01/03/17	J		
13.					Buy (add'l)	01/04/17	J		
14. iShares MSCI EAFE EFT (EFA)	A	Dividend	J	T					
15. JPMorgan Tr I (JUEMX)	A	Dividend	K	T	Buy	04/10/17	K		
16.					Sold (part)	05/15/17	J	A	
17. Massachusetts Investors Trust-Cl I (MITIX)	A	Dividend	J	T	Buy	04/10/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. MFS Research (MRSIX) N/K/A MFS Ser Tr I (MRSKX)	A	Dividend	K	T					
19. SPDR S&P 500 Eft Trust (SPY)	A	Dividend	K	T	Sold (part)	01/03/17	J	A	
20. Vanguard Index Tr (VFIAX)	B	Dividend	L	T	Buy	02/22/17	J		
21.					Buy (add'l)	04/10/17	L		
22.					Buy (add'l)	07/05/17	J		
23.					Buy (add'l)	08/22/17	J		
24. Vanguard Intl Equity (VGK)	A	Dividend	J	T	Buy	05/15/17	J		
25. American Century (AXBIX) N/K/A American Centy Mun Tr (ATBYX)	A	Dividend	K	T	Buy (add'l)	02/22/17	J		
26.					Buy (add'l)	05/23/17	J		
27. Bernstein Sanford C Fd Inc (AIDYX)	A	Dividend	K	T	Buy (add'l)	02/22/17	J		
28.					Buy (add'l)	05/23/17	J		
29.					Buy (add'l)	08/22/17	J		
30. Blackrock Mun Bd Fd Inc (BNMLX)	A	Dividend	K	T	Buy	04/10/17	K		
31. John Hancock Income Fund Cl 1 (JSTIX)	A	Dividend	K	T					
32. JPMorgan TR II (OHYFX) N/K/A JPMorgan TR II (JHYUK)	A	Dividend			Sold (part)	07/05/17	J	A	
33.					Sold	11/29/17	J	A	
34. JPMorgan Tr II (JIMIX)	A	Dividend	K	T	Buy (add'l)	02/22/17	J		

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35. Lord Abbett Inv't Tr (LOLDX)	A	Dividend	J	T	Buy (add'l)	05/23/17	J		
36.					Sold (part)	07/05/17	J	A	
37. Mainstay High Yield (MHYIX) N/K/A Mainstay Fds (MHYSX)	B	Dividend	K	T	Sold (part)	11/29/17	J	A	
38. Pimco Low Duration Fund (PTLDX)	B	Dividend	L	T	Buy (add'l)	02/22/17	J		
39.					Buy (add'l)	05/23/17	J		
40.					Buy (add'l)	08/22/17	J		
41. Pimco Total Return Fund (PTTRX)	A	Dividend	K	T	Buy (add'l)	11/29/17	J		
42. Rowe T Price Intl Fds (PRXIX)	A	Dividend	J	T					
43. T Rowe Price Summit (PRSMX)	A	Dividend	K	T	Buy (add'l)	02/22/17	J		
44. Abbott Laboratories (ABT) (X)	A	Dividend			Sold	04/10/17	J	A	
45. Accenture PLC Ireland (ACN) (X)	A	Dividend			Sold	04/10/17	J	A	
46. Adobe Systems Inc (ADBE) (X)	A	Dividend			Sold	04/10/17	J	A	
47. Aetna Inc New (AET) (X)	A	Dividend			Sold	01/30/17	J	A	
48. Agilent Technologies Inc (A) (X)	A	Dividend			Sold	04/10/17	J	A	
49. Alexion Pharmaceuticals Inc (ALXN) (X)	A	Dividend			Sold	04/10/17	J	A	
50. Allegion Public Limited Company (ALLE) (X)	A	Dividend			Sold	04/10/17	J	A	
51. Allergan PLC (AGN) (X)	A	Dividend			Sold	04/10/17	J	A	

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52. Alphabet Inc Class A (GOOGL) (X)	A	Dividend			Sold	04/10/17	J	A	
53. Alphabet Inc Class C (GOOG) (X)	A	Dividend			Sold	04/10/17	J	A	
54. Amazon.com Inc (AMZN) (X)	A	Dividend			Sold	04/10/17	J	A	
55. American International Group Inc (AIG) (X)	A	Dividend			Sold	04/10/17	J	A	
56. Anadarko Petroleum Corp (APC) (X)	A	Dividend			Sold	04/10/17	J	A	
57. Analog Devices Inc (ADI) (X)	A	Dividend			Sold	04/10/17	J	A	
58. Apple Inc (AAPL) (X)	A	Dividend			Sold	04/10/17	J	A	
59. AT&T Inc (T) (X)	A	Dividend			Sold	04/10/17	J	A	
60. Bank of New York Mellon Corp (BK) (X)	A	Dividend			Sold	04/10/17	J	A	
61. Bank of America Corp (BAC) (X)	A	Dividend			Sold	04/10/17	J	A	
62. Biogen Inc (BIIB) (X)	A	Dividend			Sold	04/10/17	J	A	
63. Bioverativ Inc (BIVV) (X)	A	Dividend			Spinoff (from line 62)	02/02/17	J		
64.					Sold	02/24/17	J	A	
65. Blackrock Inc (BLK) (X)	A	Dividend			Sold	04/10/17	J	A	
66. Boston Scientific Corp (BSX) (X)	A	Dividend			Sold	04/10/17	J	A	
67. Bristol Myers Squibb Co (BMY) (X)	A	Dividend			Sold	04/10/17	J	A	
68. Broadcom Limited (AVGO) (X)	A	Dividend			Sold	04/10/17	J	A	

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69. Carnival Corp (CCL) (X)	A	Dividend			Sold	02/02/17	J	A	
70. Celgene Corp (CELG) (X)	A	Dividend			Sold	04/10/17	J	A	
71. Charles Schwab Corp New (SCHW) (X)	A	Dividend			Sold	04/10/17	J	A	
72. Charter Communications Inc New C1 A (CHTR) (X)	A	Dividend			Sold	04/10/17	J	A	
73. Chubb LTD (CB) (X)	A	Dividend			Sold	04/10/17	J	A	
74. Citigroup Inc (C) (X)	A	Dividend			Sold	04/10/17	J	A	
75. CMS Energy Corp (CMS) (X)	A	Dividend			Sold	04/10/17	J	A	
76. Comcast Corp C1 A (CMCSA) (X)	A	Dividend			Sold	04/10/17	J	A	
77. Concho Resources Inc (CXO) (X)	A	Dividend			Sold	04/10/17	J	A	
78. Costco Wholesale Corp-New (COST) (X)	A	Dividend			Sold	04/10/17	J	A	
79. Discover Financial Services (DFS) (X)	A	Dividend			Sold	04/10/17	J	A	
80. Dish Network Corp C1 A (DISH) (X)	A	Dividend			Sold	04/10/17	J	A	
81. Dow Chemical Company (The) (DOW) (X)	A	Dividend			Sold	04/10/17	J	A	
82. Eastman Chemical Co (EMN) (X)	A	Dividend			Sold	04/10/17	J	A	
83. Eli Lilly & Co (LLY) (X)	A	Dividend			Sold	04/10/17	J	A	
84. EOG Res Inc (EOG) (X)	A	Dividend			Sold	04/10/17	J	A	
85. EQT Corporation (EQT) (X)	A	Dividend			Sold	04/10/17	J	A	

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86. Facebook Inc C1 A (FB) (X)	A	Dividend			Sold	04/10/17	J	A	
87. Fidelity National Information Services Inc (FIS) (X)	A	Dividend			Sold	04/10/17	J	A	
88. General Dynamics Corp (GD) (X)	A	Dividend			Sold	04/10/17	J	A	
89. General Electric Company (GE) (X)	A	Dividend			Sold	04/10/17	J	A	
90. General Motors Company (GM) (X)	A	Dividend			Sold	04/10/17	J	A	
91. Gilead Sciences Inc (GILD) (X)	A	Dividend			Sold	04/10/17	J	A	
92. Goldman Sachs Group Inc (GS) (X)	A	Dividend			Sold	04/10/17	J	A	
93. Hartford Financial Services Group Inc (HIG) (X)	A	Dividend			Sold	04/10/17	J	A	
94. Home Depot Inc (HD) (X)	A	Dividend			Sold	04/10/17	J	A	
95. Honeywell Intl Inc (HON) (X)	A	Dividend			Sold	04/10/17	J	A	
96. Humana Inc (HUM) (X)	A	Dividend			Sold	04/10/17	J	A	
97. Illumina Inc (ILMN) (X)	A	Dividend			Sold	04/10/17	J	A	
98. Ingersoll Rand PLC (IR) (X)	A	Dividend			Buy	02/13/17	J		
99.					Sold	04/10/17	J	A	
100. Intercontinental Exchange Inc (ICE) (X)	A	Dividend			Buy	01/31/17	J		
101.					Sold	04/10/17	J	A	
102. Johnson Controls International PLC (JCI) (X)	A	Dividend			Sold	04/10/17	J	A	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
103. Key Corp New (KEY) (X)	A	Dividend			Sold	04/10/17	J	A	
104. Kraft Heinz Company (The) (KHC) (X)	A	Dividend			Sold	04/10/17	J	A	
105. Lam Research Corp (LRCX) (X)	A	Dividend			Sold	02/03/17	J	A	
106. Lennox Intl Inc (LII) (X)	A	Dividend			Sold	02/21/17	J	A	
107. Lowes Companies Inc (LOW) (X)	A	Dividend			Sold	04/10/17	J	A	
108. Marsh & McLennan Companies Inc (MMC) (X)	A	Dividend			Sold	04/10/17	J	A	
109. Martin Marietta Materials Inc (MLM) (X)	A	Dividend			Sold	04/10/17	J	A	
110. Masco Corp (MAS) (X)	A	Dividend			Sold	04/10/17	J	A	
111. Mastercard Incorporated (MA) (X)	A	Dividend			Sold	04/10/17	J	A	
112. Merck & Co Inc (MRK) (X)	A	Dividend			Sold	04/10/17	J	A	
113. Metlife Inc (MET) (X)	A	Dividend			Sold	04/10/17	J	A	
114. Microsoft Corp (MSFT) (X)	A	Dividend			Sold	04/10/17	J	A	
115. Molson Coors Brewing Co Cl B (TAP) (X)	A	Dividend			Sold	04/10/17	J	A	
116. Mondelez International Inc (MDLZ) (X)	A	Dividend			Sold	04/10/17	J	A	
117. Morgan Stanley (MS) (X)	A	Dividend			Sold	04/10/17	J	A	
118. Nextera Energy Inc (NEE) (X)	A	Dividend			Sold	04/10/17	J	A	
119. Nike Inc Class B (NKE) (X)	A	Dividend			Buy	02/23/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Fricke, Theresa L.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120.					Sold	04/10/17	J	A	
121. NiSource Inc (NI) (X)	A	Dividend			Sold	04/10/17	J	A	
122. Norfolk Southern Corp (NSC) (X)	A	Dividend			Buy	01/31/17	J		
123.					Sold	04/10/17	J	A	
124. Northrop Grumman Corp (NOC) (X)	A	Dividend			Sold	04/10/17	J	A	
125. O Reilly Automotive Inc (ORLY) (X)	A	Dividend			Sold	04/10/17	J	A	
126. Occidental Pete Corp (OXY) (X)	A	Dividend			Sold	04/10/17	J	A	
127. Pepsico Inc (PEP) (X)	A	Dividend			Sold	04/10/17	J	A	
128. Pfizer Inc (PFE) (X)	A	Dividend			Sold	04/10/17	J	A	
129. Pioneer Natural Resources Company (PXD) (X)	A	Dividend			Sold	04/10/17	J	A	
130. PPG Industries Inc (PPG) (X)	A	Dividend			Sold	02/24/17	J	A	
131. Proctor & Gamble Co (PG) (X)	A	Dividend			Sold	04/10/17	J	A	
132. Schlumberger LTD (SLB) (X)	A	Dividend			Sold	02/15/17	J	A	
133. Stanley Black & Becker Inc (SWK) (X)	A	Dividend			Sold	04/10/17	J	A	
134. SVB Financial Group (SIVB) (X)	A	Dividend			Sold	04/10/17	J	A	
135. T Mobile US Inc (TMUS) (X)	A	Dividend			Sold	04/10/17	J	A	
136. Texas Instruments Incorporated (TXN) (X)	A	Dividend			Sold	04/10/17	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. Time Warner Inc (TWX) (X)	A	Dividend			Sold	01/30/17	J	A	
138. TJX Companies Inc New (TJX) (X)	A	Dividend			Sold	04/10/17	J	A	
139. Transcanada Corporation (TRP) (X)	A	Dividend			Sold	04/10/17	J	A	
140. Twenty First Century Fox Inc Class A (FOXA) (X)	A	Dividend			Sold	04/10/17	J	A	
141. Union Pacific Corp (UNP) (X)	A	Dividend			Sold	04/10/17	J	A	
142. United Continental Hldgs Inc (UAL) (X)	A	Dividend			Sold	04/10/17	J	A	
143. UnitedHealth Group Inc (UNH) (X)	A	Dividend			Sold	04/10/17	J	A	
144. Vertex Pharmaceuticals Inc (VRTX) (X)	A	Dividend			Sold	04/10/17	J	A	
145. Visa Inc (V) (X)	A	Dividend			Sold	04/10/17	J	A	
146. Walgreen Boots Alliance Inc (WBA) (X)	A	Dividend			Buy	01/30/17	J		
147.					Sold	04/10/17	J	A	
148. Walt Disney Co (DIS) (X)	A	Dividend			Sold	04/10/17	J	A	
149. Waste Connections Inc (WCN) (X)	A	Dividend			Buy	01/12/17	J		
150.					Sold	04/10/17	J	A	
151. Wells Fargo & Co (WFC) (X)	A	Dividend			Sold	04/10/17	J	A	
152. Wex Inc (WEX) (X)	A	Dividend			Sold	04/10/17	J	A	
153. Workday Inc Cl A (WDAY) (X)	A	Dividend			Sold	04/10/17	J	A	

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. Yum Brands Inc (YUM) (X)	A	Dividend			Sold	03/21/17	J	A	
155. Pimco Fds Pac Invst Mgmt (PIGIX) (X)	A	Dividend			Sold	04/10/17	J	A	
156. Vanguard Fixed Income (VFIDX) (X)	A	Dividend			Sold	04/10/17	J	A	
157. Checking & Savings Accounts (H)									
158. JPMorgan Chase Bank, N.A.	A	Interest	J	T					
159. JPMorgan Chase Bank, N.A.	A	Interest	J	T					
160. JPMorgan Chase Bank, N.A.	A	Interest	K	T					
161. Brokerage #2 SEP (H)									
162. JP Morgan US Government Money Market Fund (QVESQ)	A	Dividend	J	T					
163. Abbot Laboratories (ABT)	A	Dividend	J	T					
164. Accenture PLC Ireland (ACN)	A	Dividend	J	T					
165. Adobe Systems Inc (ADBE)	A	Dividend	J	T					
166. Agilent Technologies Inc (A)	A	Dividend	J	T					
167. Alexion Pharmaceuticals (ALXN)	A	Dividend			Sold	10/05/17	J	A	
168. Allegion Public Limited Company (ALLE)	A	Dividend	J	T					
169. Allergan PLC (AGN)	A	Dividend	J	T					
170. Alphabet Inc - Class A (GOOGL)	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. Alphabet Inc - Class C (GOOG)	A	Dividend	J	T	Buy (add'l)	06/30/17	J		
172. Amazon.com Inc (AMZN)	A	Dividend	J	T					
173. American International Group Inc (AIG)	A	Dividend	J	T					
174. Anadarko Petroleum Corp (APC)	A	Dividend	J	T					
175. Analog Devices Inc (ADI)	A	Dividend	J	T					
176. Apple Inc (AAPL)	A	Dividend	J	T					
177. AT&T Inc (T)	A	Dividend			Sold	10/27/17	J	A	
178. Bank of New York Mellon Corp (BK)	A	Dividend	J	T					
179. Bank of America Corp (BAC)	A	Dividend	J	T					
180. Berkshire Hathaway Inc (BRKB)	A	Dividend	J	T	Buy	04/20/17	J		
181. Biogen Inc (BIIB)	A	Dividend	J	T					
182. Blackrock Inc (BLK)	A	Dividend	J	T					
183. Blackrock Index FDS Inc (BTMKX)	A	Dividend	K	T	Buy (add'l)	05/15/17	J		
184.					Buy (add'l)	06/30/17	J		
185. Boston Scientific Corp (BSX)	A	Dividend	J	T					
186. Bristol Myers Squibb Co (BMY)	A	Dividend	J	T					
187. Broadcom Limited (AVGO)	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. Brown Advisory FDS (BAFHx)	A	Dividend	J	T					
189. Causeway Cap Mgmt Tr (CIVIX)	A	Dividend	J	T	Buy (add'l)	06/30/17	J		
190. Celgene Corp (CELG)	A	Dividend	J	T					
191. Charles Schwab Corp New (SCHW)	A	Dividend	J	T					
192. Charter Communications Inc New Cl A (CHTR)	A	Dividend	J	T					
193. Chubb LTD (CB)	A	Dividend	J	T					
194. Cigna Corporation (CI)	A	Dividend	J	T	Buy	08/22/17	J		
195. Citigroup Inc (C)	A	Dividend	J	T					
196. CMS Energy Corp (CMS)	A	Dividend	J	T					
197. Comcast Corp Cl A (CMCSA)	A	Dividend	J	T					
198. Concho Resources Inc (CXO)	A	Dividend	J	T					
199. Delphi Automotive PLC (DLPH) N/K/A Aptiv PLC (APTV)	A	Dividend	J	T	Buy	05/18/17	J		
200. Delphi Technologies PLC (DLPH)	A	Dividend	J	T	Buy	12/05/17	J		
201. Delta Airlines Inc Del (DAL)	A	Dividend	J	T	Buy	12/13/17	J		
202. Diamondback Energy Inc (FANG)	A	Dividend	J	T	Buy	05/16/17	J		
203. Dish Network Corp Cl A (DISH)	A	Dividend	J	T					
204. Dodge & Cox Funds Internatonaional Stock Fund (DODFX)	A	Dividend	J	T	Buy (add'l)	06/30/17	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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205. Dollar Tree Inc (DLTR)	A	Dividend	J	T	Buy	11/01/17	J		
206. Dow Chemical Company (The) (DOW) N/ K/A DowDuPont Inc (DWDP)	A	Dividend	J	T					
207. E I DuPont De Nemours (DD)	A	Dividend			Merged (with line 206)	05/10/17	J	A	
208. Eastman Chemical Co (EMN)	A	Dividend	J	T					
209. Eli Lilly & Co (LLY)	A	Dividend	J	T					
210. Eog Res Inc (EOG)	A	Dividend	J	T					
211. Eqt Corporation (EQT)	A	Dividend	J	T					
212. Facebook Inc Cl A (FB)	A	Dividend	J	T					
213. Fidelity National Information Services Inc (FIS)	A	Dividend	J	T					
214. General Dynamics Corp (GD)	A	Dividend	J	T					
215. General Electric Company (GE)	A	Dividend			Sold	12/05/17	J	A	
216. Gilead Sciences Inc (GILD)	A	Dividend	J	T					
217. Goldman Sachs Group Inc (GS)	A	Dividend	J	T					
218. Hartford Financial Services Group Inc (HIG)	A	Dividend	J	T					
219. Home Depot Inc (HD)	A	Dividend	J	T					
220. Honeywell Intl Inc (HON)	A	Dividend	J	T					
221. Humana Inc (HUM)	A	Dividend			Sold	08/22/17	J	A	

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes	J = \$15,000 or less (See Columns C1 and D3)	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000 (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market

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222. Ingersoll Rand PLC (IR)	A	Dividend	J	T	Buy	05/25/17	J		
223. Intercontinental Exchange Inc (ICE)	A	Dividend	J	T	Buy	01/31/17	J		
224. International Business (IBM)	A	Dividend	J	T	Buy	11/22/17	J		
225. iShares Inc (EWJ)	B	Dividend	J	T	Buy (add'l)	01/03/17	J		
226. iShares MSCI EAFE ETF (EFA)	A	Dividend	J	T					
227. KeyCorp New (KEY)	A	Dividend	J	T					
228. Kraft Heinz Company (The) (KHC)	A	Dividend	J	T					
229. Lowes Companies Inc (LOW)	A	Dividend	J	T					
230. Martin Marietta Materials Inc (MLM)	A	Dividend	J	T					
231. Masco Corp (MAS)	A	Dividend	J	T					
232. Mastercard Incorporated (MA)	A	Dividend	J	T					
233. Merck & Co Inc (MRK)	A	Dividend	J	T					
234. MetLife Inc (MET)	A	Dividend	J	T					
235. MFS Research (MRSIX) N/K/A MFS Ser Tr I (MRSKX)	A	Dividend	K	T					
236. Microchip Technology Inc (MCHP)	A	Dividend	J	T	Buy	04/12/17	J		
237. Microsoft Corp (MSFT)	B	Dividend	J	T					
238. Molson Coors Brewing Co Cl B (TAP)	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Fricke, Theresa L.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34–60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

239. Mondelez International (MDLZ)	A	Dividend	J	T					
240. Morgan Stanley (MS)	A	Dividend	J	T					
241. Nextera Energy Inc (NEE)	A	Dividend	J	T					
242. Nike Inc (NKE)	A	Dividend	J	T	Buy	02/23/17	J		
243. NiSource Inc (NI)	A	Dividend	J	T					
244. Norfolk Southern Corp (NSC)	A	Dividend	J	T	Buy	01/31/17	J		
245. Northrop Grumman Corp (NOC)	A	Dividend	J	T					
246. NVIDIA Corp (NVDA)	A	Dividend	J	T	Buy	10/04/17	J		
247. O Reilly Automotive Inc (ORLY)	A	Dividend	J	T					
248. Occidental Pete Corp (OXY)	A	Dividend	J	T					
249. Pepsico Inc (PEP)	A	Dividend	J	T					
250. Pfizer Inc (PFE)	A	Dividend	J	T					
251. Philip Morris (PM)	A	Dividend	J	T					
252. Pioneer National Resources Company (PXD)	A	Dividend	J	T					
253. SPDR S&P 500 EFT Trust (SPY)	A	Dividend	K	T					
254. Stanley Black & Decker Inc (SWK)	A	Dividend	J	T					
255. Starbucks Corp (SBUX)	A	Dividend			Buy	03/20/17	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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Name of Person Reporting

Fricke, Theresa L.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

256.					Sold	09/26/17	J	A	
257. SVB Financial Group (SIVB)	A	Dividend	J	T					
258. T Mobile US Inc (TMUS)	A	Dividend			Sold	08/03/17	J	A	
259. Texas Instruments Incorporated (TI)	A	Dividend	J	T					
260. TJX Companies Inc New (TJX)	A	Dividend	J	T					
261. Twenty First Century Fox (FOXA)	A	Dividend	J	T					
262. Union Pacific Corp (UNP)	A	Dividend	J	T					
263. United Continental Hldgs Inc (UAL)	A	Dividend	J	T					
264. United Technologies Corp (UTX)	A	Dividend	J	T	Buy	12/05/17	J		
265. UnitedHealth Group Inc (UNH)	B	Dividend	J	T					
266. Vanguard Index Tr (VFIAX)	A	Dividend	J	T	Buy	06/30/17	J		
267. Vanguard Intl Equity (VGK)	A	Dividend	J	T	Buy	05/15/17	J		
268. Vantiv Inc (VNTV)	A	Dividend	J	T	Buy	09/26/17	J		
269. Vertex Pharmaceuticals Inc (VRTX)	A	Dividend	J	T					
270. Visa Inc (V)	A	Dividend	J	T					
271. Walgreen Boots Alliance (WBA)	A	Dividend	J	T	Buy	01/30/17	J		
272. Walt Disney Co (DIS)	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Fricke, Theresa L.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

273. Waste Connections Inc (WCN)	A	Dividend	J	T					
274. Wells Fargo & Co (WFC)	A	Dividend	J	T					
275. Wex Inc (WEX)	A	Dividend	J	T					
276. Workday Inc Cl A (WDAY)	A	Dividend	J	T					
277. Zimmer Biomet Holdings (ZBH)	A	Dividend	J	T	Buy	11/14/17	J		
278. Dodge & Cox Income Fund (DODIX)	A	Dividend	K	T					
279. Federated Instl High Yield Bond Fund (FIHLX)	A	Dividend	J	T					
280. John Hancock Income Fund Cl 1 (JSTIX)	A	Dividend	K	T					
281. JP Morgan Tr II (WOBDX) N/K/A JP Morgan TR II (JCBUX)	A	Dividend	J	T	Sold (part)	05/10/17	J	A	
282. JP Morgan TR II High Yield Fd Cl 1 (OHYFX)	A	Dividend			Sold	06/30/17	J	A	
283. Lord Abbett Invst Tr (LOLDX)	A	Dividend	J	T					
284. Mainstay High Yield (MHYIX) N/K/A Mainstay Fds (MHYSX)	B	Dividend	K	T					
285. Pimco Fds Pac Invst Mgmt (PIGIX)	A	Dividend	J	T	Buy (add'l)	06/30/17	J		
286. Pimco Total Return Fund (PTRRX)	A	Dividend	K	T	Buy (add'l)	06/30/17	J		
287. Rowe T Price Intl Fds (PRXIX)	A	Dividend	J	T					
288. Rowe T Price New Income (PRXEX)	A	Dividend	K	T	Buy (add'l)	06/30/17	J		
289. Vanguard Charlotte Fds (VTABX)	B	Dividend	L	T	Buy (add'l)	05/10/17	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
290.					Buy (add'l)	06/30/17	J		
291. Vanguard Fixed Income (VFIDX)	A	Dividend	J	T					
292. Vanguard Short Term Bond Index Fund (VBIRX)	A	Dividend	K	T	Buy (add'l)	06/30/17	J		
293. Aetna Inc New (AET) (X)	A	Dividend			Sold	01/30/17	J	A	
294. Blackrock FDS Mid Cap Index Fd C1 K (BRMKX) (X)	A	Dividend			Sold	05/15/17	J	A	
295. Carnival Corp (CCL) (X)	A	Dividend			Sold	02/02/17	J	A	
296. Costco Wholesale Corp-New (COST) (X)	A	Dividend			Sold	05/05/17	J	A	
297. Discover Financial Services (DFS) (X)	A	Dividend			Sold	05/10/17	J	A	
298. General Motors Company (GM) (X)	A	Dividend			Sold	05/23/17	J	A	
299. Illumina Inc (ILMN) (X)	A	Dividend			Sold	05/09/17	J	A	
300. Johnson Controls International PLC (JCI) (X)	A	Dividend			Sold	05/16/17	J	A	
301. Lam Research Corp (LRCX) (X)	A	Dividend			Sold	02/03/17	J	A	
302. Lennox Intl Inc (LIH) (X)	A	Dividend			Sold	02/21/17	J	A	
303. Marsh & McLennan Companies Inc (MMC) (X)	A	Dividend			Sold	04/20/17	J	A	
304. PPG Industries Inc (PPG) (X)	A	Dividend			Sold	02/24/17	J	A	
305. Proctor & Gamble Co (PG) (X)	A	Dividend			Sold	04/26/17	J	A	
306. Schlumberger LTD (SLB) (X)	A	Dividend			Sold	02/15/17	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
307. Time Warner Inc (TWX) (X)	A	Dividend			Sold	01/30/17	J	A	
308. TransCanada Corporation (TRP) (X)	A	Dividend			Sold	06/01/17	J	A	
309. Yum Brands Inc (YUM) (X)	A	Dividend			Sold	03/21/17	J	A	
310. Vanguard Bond Index Fd Inc (VBTLX) (X)	A	Dividend			Sold	05/10/17	K	A	
311. BROKERAGE #3 SEP(H)									
312. JP Morgan US Government Money Market Fund (QVESQ)	A	Dividend	J	T					
313. Blackrock Index Fds Inc (BTMKX)	B	Dividend	L	T	Buy (add'l)	05/12/17	J		
314.					Buy (add'l)	06/29/17	J		
315. Brown Advisory Fds (BAFHx)	A	Dividend	J	T					
316. Causeway Cap Mgmt Tr Intl Value Fd (CIVIX)	A	Dividend	K	T	Buy (add'l)	06/29/17	J		
317. Dodge & Cox Funds International Stock Fund (DODFX)	A	Dividend	J	T	Buy (add'l)	06/29/17	J		
318. Harris Assoc Invt Tr (OANMX)	A	Dividend	J	T					
319. iShares Inc (EWJ) (X)	A	Dividend	J	T					
320. iShares MSCI EAFE ETF (EFA)	A	Dividend	J	T					
321. JP Morgan Tr 1 US Equity Fd Class L (JUEMX)	A	Dividend	K	T					
322. Massachusetts Investors Trust-CL 1 (MITIX)	A	Dividend	K	T					
323. MFS Research (MRSIX) N/K/A MFS Ser Tr I (MRSKX)	A	Dividend	K	T	Buy (add'l)	06/29/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
324. SPDR S&P 500 ETF Trust (SPY)	B	Dividend	M	T					
325. Vanguard Index Tr (VFIAX)	A	Dividend	K	T	Buy (add'l)	06/29/17	J		
326. Blackrock High Yield Bond Portfolio Class K (BRHYX)	A	Dividend	J	T	Sold (part)	11/28/17	J	A	
327. Dodge & Cox Income Fund (DODIX)	A	Dividend	J	T					
328. Federated Instl Tr High Yield Bd Fd (FIHLX)	A	Dividend	J	T					
329. John Hancock Income Fund C1 I (JSTIX)	A	Dividend	J	T					
330. JP Morgan TR II (OHYFX) N/K/A JPMorgan Tr II (JHYUX)	A	Dividend			Sold (part)	06/29/17	J	A	
331.					Sold	11/28/17	J	A	
332. Pimco Fds Pac Invnt Mgmt (PIGIX)	A	Dividend	J	T					
333. Pimco Short Term Fund Instl C1 (PTSHX)	A	Dividend	J	T					
334. Pimco Total Return Fund (PTTRX)	A	Dividend	J	T	Buy	11/28/17	J		
335. Rowe T Price Intl Fds (PRXIX)	A	Dividend	J	T	Sold (part)	06/29/17	J	A	
336. Vanguard Charlotte Fds (VTABX)	A	Dividend	K	T	Buy (add'l)	05/08/17	J		
337. Vanguard Fixed Income (VFIDX)	A	Dividend	J	T					
338. Vanguard Short Term Bond Index Fund (VBIRX)	A	Dividend	J	T					
339. Blackrock FDS Mid Cap Index Fd C1 K (BRMKX) (X)	A	Dividend			Sold	06/29/17	K	C	
340. JP Morgan TR II Core Bd FD (WOBDX) (X)	A	Dividend			Sold	05/08/17	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

341. Principal Financial Group, Inc. (Whole Life Policy)	A	Dividend	K	T					
342. State Retirement PERS 2 (H)									
343. State of Washington Department of Retirement Systems	D	Interest	M	T					
344. Rental Property #1 1/3 Ownership (Tacoma WA)	D	Rent	N	W					
345.									
346.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Fricke, Theresa L.

Date of Report

08/15/2018

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Fricke, Theresa L.

Date of Report

08/15/2018

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Theresa L. Fricke**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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One Columbus Circle, N.E.
Washington, D.C. 20544