

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Weinberg, John L.	2. Court or Organization United States District Court, Western District of Washington	3. Date of Report 04/29/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) US Magistrate Judge, full time, retired and recalled	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address 12121 US Courthouse 700 Stewart Street Seattle WA 98101		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Co-trustee	Trust (See Part VIII)
2. Secretary/Treasurer and Board Member	Condominium Association (4 units)
3. Vice/President/Treasurer and Board Member	National Duplicate Bridge Association
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 9

Name of Person Reporting

Weinberg, John L.

Date of Report

04/29/2015

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2014	Book Royalties, Practising Law Institute	\$6,672.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.				
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 9

Name of Person Reporting

Weinberg, John L.

Date of Report

04/29/2015

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 9

Name of Person Reporting

Weinberg, John L.

Date of Report

04/29/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. CPA Common Stock	C	Dividend	M	T					
2. COST Common Stock	B	Dividend	M	T					
3. DVT Common Stock		None	M	T					
4. IMKTA Common Stock	C	Dividend	M	T					
5. ODFL Common Stock		None	N	T					
6. R Common Stock	B	Dividend	M	T					
7. LUV Common Stock	B	Dividend	N	T					
8. TM Common Stock	C	Dividend	M	T					
9. Schwab Money Market Fund	A	Dividend	N	T					
10. Schwab Cash Account	A	Interest	P1	T					
11. CD, Ally Bank 11/25/15	A	Interest	L	T					
12. CD Ally Bank 11/26/14	A	Interest			Matured	11/26/14	K	A	
13. Wells Fargo Checking Acct. #1	A	Dividend	K	T					
14. Wells Fargo Checking Acct. #2	A	Interest	J	T					
15. GE Bond	D	Interest	M	T					
16. NJ T-E Bond	C	Interest	L	T					
17. Lel. Tx T-E Bond	B	Interest	L	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
(See Column C2)	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 5 of 9

Name of Person Reporting

Weinberg, John L.

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Indianapolis T-E Bond	C	Interest	L	T					
19. Florida T-E Bond	B	Interest	L	T					
20. Fort Bend TX T-E Bond	A	Interest	K	T	Buy	04/10/14	K		
21. Ogden UT T-E Bond	B	Interest	K	T	Buy	06/23/14	L		
22. Miami T-E Bond	B	Interest	L	T	Buy	01/23/14	L		
23. Wells Fargo 6.0 PFD	A	Dividend	K	T	Buy	07/14/14	K		
24. Aviva PFD	B	Interest	K	T					
25. Entergy LA PFD	C	Interest	L	T					
26. JPM Trust PFD	C	Interest	L	T					
27. JPMC PFD	A	Interest	K	T	Buy	06/17/14	K		
28. Kimko Realty 6.0 PFD	A	Dividend	K	T					
29. Kimko Realty 6.9 PFD	A	Dividend	K	T					
30. Nextera Energy PFD	B	Int./Div.	K	T					
31. PS Busn. Park PFD	A	Dividend	K	T					
32. Partnerre PFD	B	Dividend	K	T					
33. Protective Life 6.0 PFD	B	Interest	K	T					
34. Protective Life 6.25 PFD	B	Interest	K	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 9

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35. Prudential Fin PFD	C	Interest	K	T					
36. Public Storage 6.5 PFD	B	Dividend	K	T					
37. Public Storage 6.35 PFD	B	Dividend	K	T					
38. Qwest 7.375 PFD	B	Interest	K	T					
39. Qwest 7.0 PFD	B	Interest	K	T					
40. Regency Ctrs. PFD	B	Dividend	K	T					
41. C Schwab PFD	B	Dividend	K	T					
42. Solar Capital PFD	C	Interest	K	T					
43. State Street PFD		None	K	T	Buy	11/19/14	K		
44. Verizon PFD	B	Interest	K	T	Buy	01/31/14	K		
45. Wells Fargo 5.2 PFD	B	Dividend	K	T					
46. BB & T PFD	A	Dividend			Sold	07/14/14	K	A	
47. Deutsch Bank PFD	B	Dividend			Sold	10/10/14	K	B	
48. Stanley B & D PFD	B	Interest			Sold	11/18/14	K	A	
49. US Cellular PFD	A	Interest			Sold	04/10/14	K	A	
50. Bank of Am. Checking Acct.	A	Interest	J	T					
51. Bank of America Savings Acct.	A	Interest	J	T					

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FINANCIAL DISCLOSURE REPORT

Page 7 of 9

Name of Person Reporting

Weinberg, John L.

Date of Report

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52. Vanguard Life Strategy Growth	D	Dividend	N	T					
53. Vanguard Total Int'l Stock IX Admiral	B	Dividend	N	T					
54. Vanguard Int'l Growth Admiral	D	Dividend	N	T					
55. Canterbury LLC (Rental Property)		None	K	U					
56. [REDACTED] Local Bridge Association		None	K	T					
57. Condominium Association		None	J	T					
58.									
59.									
60.									
61.									
62.									
63.									

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FINANCIAL DISCLOSURE REPORT

Page 8 of 9

Name of Person Reporting

Weinberg, John L.

Date of Report

04/29/2015

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

My last report was for calendar year 2010. I did not perform duties for more than 60 days in any of the years 2011-2013 inclusive, and therefore did not file reports for those years. But I performed duties on part-time recall for 90 days in 2014, and am therefore filing this report.

In 2010 and prior years, I was co-trustee of [REDACTED] trust, and therefore in those years I reported both the position and the assets and transactions of that trust. I remain a co-trustee of that trust. Neither I nor [REDACTED] (we have no [REDACTED] created the trust. Neither of us received any income from, or have a beneficial interest, in this trust. I have therefore reported the position of co-trustee in Part I, but have not listed the assets or transactions of the trust in Part VII. This is consistent with the change in reporting requirements effective in 2014.

FINANCIAL DISCLOSURE REPORT

Page 9 of 9

Name of Person Reporting

Weinberg, John L.

Date of Report

04/29/2015

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ John L. Weinberg**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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