

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Schroeder, Thomas D.	2. Court or Organization Middle District of N C	3. Date of Report 01/13/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) US District Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address 251 North Main Street, Suite 223A Hiram Ward Federal Courthouse Winston-Salem, North Carolina 27101		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Board Member	Notre Dame Law Association
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 12

Name of Person Reporting

Schroeder, Thomas D.

Date of Report

01/13/2014

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2012

Piedmont Land Conservancy, salary

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

FINANCIAL DISCLOSURE REPORT

Page 3 of 12

Name of Person Reporting

Schroeder, Thomas D.

Date of Report

01/13/2014

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

NONE (No reportable gifts.)

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

NONE (No reportable liabilities.)

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		tuition  balance for 2012-13 school year	J
2.		tuition  balance for 2012-13 school year	J
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 12

Name of Person Reporting

Schroeder, Thomas D.

Date of Report

01/13/2014

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Wells Fargo Accounts (f/k/a Wachovia)	A	Interest	K	T					
2. BB&T Account	A	Interest	J	T					
3. US Treasury Series EE Bonds		None	J	T					
4. First Tennessee Accounts	B	Interest	O	T					
5. Valic Annuity (X)	B	Distribution	K	T					
6. Brokerage #1 (H)									
7. -iShares Russell 1000 Index	B	Dividend	L	T	Sold (part)	02/21/12	J	A	
8. -PowerShares FTSE RAFI US 1000	C	Dividend	M	T	Buy (add'l)	10/09/12	M		
9. -iShares MSCI EAFE Index	B	Dividend	L	T	Sold (part)	02/21/12	K		
10.					Buy (add'l)	10/9/12	L		
11. -Vanguard MSCI EAFE ETF	B	Dividend	L	T	Buy	02/21/12	L		
12. -iShares MSCI Emerging Markets Index	A	Dividend	K	T	Sold (part)	02/21/12	K		
13.					Buy (add'l)	10/09/12	J		
14. -Vanguard FTSE Emerging Markets ETF	A	Dividend	K	T	Buy	02/21/12	K		
15. -PIMCO 1-5 Year US TIPS Index ETF	A	Dividend	L	T	Buy	10/09/12	L		
16. -PIMCO Low Duration Fund Instl	C	Dividend	L	T	Sold (part)	10/8/12	L	A	
17. -Vanguard Ltd-Term Tax Exempt Adm	B	Dividend	L	T	Sold (part)	10/8/12	L	B	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
(See Column C2)	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 5 of 12

Name of Person Reporting

Schroeder, Thomas D.

Date of Report

01/13/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. -Vanguard Short-Term Investment-Grade Adm	B	Dividend	J	T	Sold (part)	10/8/12	M	B	
19. -Fidelity Cash	A	Dividend	K	T					
20. -Fidelity Instl: Tax Exempt Portfolio C11 (Y)	A	Dividend							
21. Brokerage #2 (H)									
22. -iShares Russell 1000 Index	A	Dividend	K	T	Sold (part)	10/5/12	K	B	
23. -PowerShares FTSE RAFI US 1000	A	Dividend	K	T					
24. -iShares MSCI EAFE Index	A	Dividend	J	T	Sold (part)	02/21/12	K		
25.					Sold (part)	10/5/12	J		
26. -Vanguard MSCI EAFE ETF	B	Dividend	K	T	Buy	02/21/12	K		
27. -iShares MSCI Emerging Markets Index	A	Dividend	J	T	Sold (part)	02/21/12	J		
28.					Sold (part)	10/5/12	J		
29. -Vanguard FTSE Emerging Markets ETF	A	Dividend	J	T	Buy	02/21/12	J		
30. -PIMCO All Asset All Authority Instl	B	Dividend	K	T	Buy	10/5/12	K		
31. -PIMCO All Asset Fund Instl	A	Dividend			Sold	10/5/12	K	A	
32. -AQR Managed Futures Strategy C11	A	Dividend	J	T	Buy	10/5/12	J		
33. -Eaton Vance Gbl Macro Absolute Ret Advtg I	A	Dividend	J	T	Buy	10/5/12	J		
34. -PIMCO Unconstrained Bond Instl	A	Dividend	J	T	Buy	10/5/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 12

Name of Person Reporting

Schroeder, Thomas D.

Date of Report

01/13/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. -PIMCO 1-5 Year U.S. TIPS Bond Index	A	Dividend	J	T	Sold (part)	10/5/12	K	A	
36. -PIMCO Global Advantage Strategy Bond Instl	A	Dividend	J	T	Sold (part)	10/5/12	K	A	
37. -Vanguard Short-Term Investment-Grade	A	Dividend			Sold (part)	10/5/12	K	A	
38.					Sold	10/10/12	J	A	
39. -Vanguard Short-Term Investment-Grade Adm	A	Dividend	J	T	Buy	10/10/12	J		
40. -Eaton Vance Floating Rate Adv	B	Dividend	L	T	Sold (part)	10/5/12	J	A	
41. -Fidelity Cash	A	Dividend	J	T					
42. Brokerage #3 (H)(X)									
43. -AQR Managed Futures Strategy Cl I	A	Dividend	L	T	Buy	10/9/12	L		
44. -American Funds Income Fund of America Cl A (X)		None			Sold	2/21/12	L	B	
45. -American Funds Washington Mutual Cl A (X)		None			Sold	2/21/12	L	C	
46. -BlackRock Equity Dividend Inv (X)		None			Sold	2/21/12	J	A	
47. -BlackRock Global Allocation Cl C (X)		None			Sold	2/21/12	J	A	
48. -Eaton Vance Gbl Macro Absolute Return Cl I	A	Dividend			Buy	2/21/12	K		
49.					Sold	10/8/12	K	A	
50. -MuniYield Insured Fund Inc. (X)	A	Distribution			Sold	2/21/12	K	B	
51. -Oppenheimer Main St. Growth & Income (X)		None			Sold	2/21/12	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	L = \$50,001 - \$100,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 12

Name of Person Reporting

Schroeder, Thomas D.

Date of Report

01/13/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. -Wells Fargo & Co. (X)		None			Sold	2/21/12	J	A	
53. -PIMCO 1-5 Year US TIPS Index ETF	A	Dividend	K	T	Buy	2/21/12	K		
54. -PIMCO All Asset Fund Instl	A	Dividend			Buy	2/21/12	K		
55.					Sold	10/8/12	K	B	
56. -PIMCO Global Advtge Strategy Bond Instl	A	Dividend	K	T	Buy	2/21/12	K		
57. -Vanguard Short-Term Investment-Grade Adm	A	Dividend	L	T	Buy	2/21/12	K		
58. -Eaton Vance Floating Rate Adv	A	Dividend	J	T	Buy	2/22/12	J		
59. -Fidelity Cash Money Market Account (X)	A	Dividend	J	T					
60. IRA #1 (H)									
61. -iShares Russell 1000 Index	A	Dividend	J	T	Sold (part)	10/8/12	J	C	
62. -PowerShares FTSE RAFI US 1000	A	Dividend	J	T					
63. -iShares MSCI EAFE Index	A	Dividend	J	T					
64. -iShares MSCI Emerging Markets Index	A	Dividend	J	T					
65. -PIMCO All Asset All Authority Instl	C	Dividend	L	T	Buy	10/10/12	L		
66. -PIMCO All Asset Fund Instl	B	Dividend			Sold	10/8/12	L	C	
67. -Eaton Vance Floating Rate Adv.	A	Dividend	J	T					
68. -Fidelity Treasury Daily Money Market (X) (Y)	A	Dividend							

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 12

Name of Person Reporting

Schroeder, Thomas D.

Date of Report

01/13/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69. IRA #2 (H)									
70. -PIMCO All Asset All Authority Instl	A	Dividend	K	T	Buy	10/9/12	K		
71. -PIMCO All Asset Fund Instl	A	Dividend			Buy	2/21/12	K		
72.					Sold	10/8/12	K	A	
73. -Eaton Vance Floating Rate Adv	A	Dividend	J	T	Buy	2/22/12	J		
74. -AllianceBern Global Thematic Gr A		None			Sold	2/21/12	J	B	
75. -BlackRock Global Allocation Cl C (X)		None			Sold	2/21/12	J	B	
76. -MFS Emerging Growth Fund (X)		None			Sold	2/21/12	J	B	
77. -Oppenheimer Main St. Growth & Income (X)		None			Sold	2/21/12	J	A	
78. -Fidelity Treasury Daily Money Market	A	Dividend	J	T					
79. IRA #3 (H)									
80. -iShares Russell 1000 Index	A	Dividend			Sold	10/8/12	K	D	
81. -PowerShares FTSE RAFI US 1000	B	Dividend	L	T	Sold (part)	10/8/12	L	C	
82. -iShares MSCI EAFE Index	C	Dividend	L	T	Sold (part)	10/8/12	K		
83. -iShares MSCI Emerging Markets Index	A	Dividend	L	T					
84. -PIMCO All Asset All Authority Instl	C	Dividend	M	T	Buy	10/8/12	M		
85. -PIMCO All Asset Fund Instl (X)	B	Dividend			Sold	10/8/12	L	B	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 9 of 12

Name of Person Reporting

Schroeder, Thomas D.

Date of Report

01/13/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
86. -AQR Managed Futures Strategy C1 I	A	Dividend	K	T	Buy	10/8/12	K		
87. -Eaton Vance Global Absolute Return C1 I	B	Dividend			Sold	10/8/12	L	A	
88. -Eaton Vance Gbl Macro Absolute Ret Advtg I	A	Dividend	L	T	Buy	10/8/12	L		
89. -PIMCO Unconstrained Bond Instl	B	Dividend	L	T	Buy	10/8/12	L		
90. -PIMCO 1-5 Year U.S. TIPS Bond Index	B	Dividend			Sold	10/8/12	M	C	
91. -Eaton Vance Emerging Mkts Local Income C1 I	B	Dividend	M	T	Buy	10/10/12	L		
92. -PIMCO Global Advantage Strategy Bond Instl	C	Dividend	L	T	Sold (part)	10/8/12	M	D	
93. -PIMCO High Yield Spectrum Instl	B	Dividend	M	T	Buy	10/10/12	L		
94. -Eaton Vance Floating Rate Adv	C	Dividend	J	T	Sold (part)	10/8/12	M	A	
95. -Eaton Vance Floating Rate Instl	B	Dividend	M	T	Buy	10/12/12	M		
96. -Fidelity Treasury Daily Money Market (Y)	A	Dividend							
97. Mass Mutual Whole Life Insurance	B	Dividend	L	T					
98. Mass Mutual Whole Life Insurance	A	Dividend	K	T					
99. Loyal American Insurance	A	Dividend	J	U					
100. Winston-Salem Foundation Donor Advised Fund		None	J	T					
101. Vanguard 529 -Vanguard Interest Accumulation Portfolio	A	Interest	K	T					
102. Vanguard 529 -Vanguard Interest Accumulation Portfolio	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 10 of 12

Name of Person Reporting

Schroeder, Thomas D.

Date of Report

01/13/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
103. NC College Foundation 529 Dependable Income Fund		None	J	T	Buy	12/31/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 11 of 12

Name of Person Reporting

Schroeder, Thomas D.

Date of Report

01/13/2014

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

The Winston-Salem Foundation Donor Advised Fund reflects money we donated irrevocably to, and is owned by, the Foundation, but I [REDACTED] can direct donations from that Fund to charities of our choice. We can receive no income from its investment; rather, the Fund receives the income.

FINANCIAL DISCLOSURE REPORT

Page 12 of 12

Name of Person Reporting

Schroeder, Thomas D.

Date of Report

01/13/2014

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Thomas D. Schroeder**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544