

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Thapar, Amul R.	2. Court or Organization U.S. District Court EDKY	3. Date of Report 04/25/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Active District Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address United States District Court 35 W. Fifth Street Covington, Kentucky 41011		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	National Advisory Council	North American South Asian Bar Association
2.	Board Member	Northern Kentucky Catholic Charities
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2012	Chase College of Law Northern Kentucky University (Adjunct Professor)	\$26,931.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. University of Virginia Law	1/15/12 to 1/20/12	Charlottesville, VA	Teaching J Term Course	Travel, meals, hotel
2. University of Virginia Law	2/17/12 to 2/20/12	Charlottesville, VA	Judge Moot Court	Travel, meals, hotel
3. University of Michigan School of Law	4/1/12 to 4/2/12	Ann Arbor, MI	Speaker and Judged Moot Court	Travel, meals, hotel
4. Yale University Federalist Society	4/19/12 to 4/20/12	New Haven, CT	Speaker	Travel, meal, hotel
5. NASABA Conference	6/30/12 to 7/1/12	Philadelphia, PA	Speaker	Hotel
6. Columbia Law School Federalist Society	9/30/12 to 10/1/12	New York, NY	Speaker	Travel, meal, hotel

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7.	NAPABA Conference	11/16/12 to 11/18/12	Washington, DC	Speaker	Partial reimbursement for travel
8.					

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. ING Direct Accounts	A	Interest	K	T					
2. Vanguard Prime Money Market	A	Interest	J	T					
3. TD Bank USA (Ameritrade Money Market)	A	Interest	J	T					
4. Fifth Third Bank (Checking Acct.)	A	Interest	J	T					
5. Paypal Inc. (Money Market)	A	Interest	J	T					
6. Under Armour (UA) Common		None	J	T					
7. Nuance Comm. Inc. (NUAN) Common		None	J	T					
8. Dreamworks Animation (DWA) Common		None	J	T					
9. Nike Inc. (NKE) Common	A	Dividend	J	T					
10. Nintendo Corporation (NTDOY) Common	A	Dividend	J	T					
11. Borg Warner (BWA) Common		None	J	T	Buy	4/30/12	J		
12. BJ Restaurants, Inc. (BJRI) Common		None	J	T	Buy	12/6/12	J		
13. Chipotle Mexican Grill Inc. (CMG/B) Common		None	J	T					
14. Disney Corp (DIS) Common	A	Dividend	J	T					
15. Activision Blizzard, Inc. (ATVI) Common	A	Dividend	J	T					
16. Amazon (AMZN) Common		None			Closed	5/1/12	J	A	
17. Tesla (TSLA) Motors		None	J	T	Buy	12/6/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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18. Labcorp Holdings (LH) Common		None	J	T					
19. Fannie Mae (FNM) Common	A	Dividend	J	T					
20. Hasboro Corp. (HAS) Common	A	Dividend	J	T					
21. Home Depot Inc. (HD) Common	A	Dividend			Closed	4/16/12	J	A	
22. Montpelier Re Holdings Ltd (MRH) Common	A	Dividend			Closed	4/16/12	J		
23. Sanderson Farms Inc. (SAFM) Common	A	Dividend			Closed	4/16/12	J	A	
24. United Fire & Casualty Company (UFCS) Common	A	Dividend			Closed	4/16/12	J		
25. Apple Inc. (AAPL) Common	A	Dividend	J	T					
26. Berkshire Hathaway Inc. Del Class B (BRK.B) Common		None	K	T					
27. Costco Wholesale Corp. (COST) Common	A	Dividend	J	T					
28. Cryptologic Inc. (CRYP) Common	A	Dividend	J	T					
29. Exxon Mobil Corp (XOM) Common	A	Dividend	J	T					
30. Flamel Tech Spon ADR (FLML) Common		None	J	T					
31. Intel Corp. (INTC) Common	A	Dividend			Closed	4/16/12	J	A	
32. Markel Corp. (MKL) Common		None	J	T					
33. Microsoft Corp. (MSFT) Common	A	Dividend			Closed	4/16/12	J	A	
34. Buffalo Wild Wings (BWLD) Common		None	J	T					

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35. CTRIP.com International (CTRP) Common	A	Dividend	J	T					
36. Panera Bread (PNRA) Common		None	J	T					
37. Tibco Software Inc. (TIBX) Common		None	J	T					
38. Southwest Airlines (LUV) Common	A	Dividend			Closed	12/31/12	J		
39. United Health Group Inc. (UNH) Common	A	Dividend			Closed	12/31/12	J	B	
40. Altria Group Inc. (MO) Common	A	Dividend	J	T					
41. Chesapeake (CHK)	A	Dividend	J	T					
42. Federated Investors Inc. (FII) Common	A	Dividend	J	T					
43. Pfizer Inc. (PFE) Common	A	Dividend			Closed	4/16/12	J	A	
44. Kraft Foods Inc. (KFT) Common	A	Dividend	J	T					
45. Medco Health Solutions (MHS) Common		None			Closed	4/3/12	J	A	
46. Phillip Morris International (PM)	A	Dividend	J	T					
47. McDonalds (MCD) Common	A	Dividend	J	T					
48. National Oilwell Varco, Inc. (NOV) Common	A	Dividend	J	T					
49. Nokia Corp (NOK) Common	A	Dividend			Closed	4/16/12	J	A	
50. Vanguard 500 Index Funds	A	Dividend	J	T					
51. Vanguard Windsor Portfolio	A	Dividend	K	T	Buy (add'l)	02/22/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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52. Vanguard Value Index Portfolio	A	Dividend	J	T	Buy	02/22/12	J		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

The Vanguard Windsor and Value funds are part of a 529 plan. We invest monthly in the plans, but the monthly amounts invested are less than the reporting requirement. The only amount greater was on February 22, 2012, and that is reflected in the reporting.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Amul R. Thapar

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544