

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Cassady, William E.	2. Court or Organization U.S.D.C. S.D. Alabama	3. Date of Report 12/28/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Magistrate Judge - Full	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 1/1/2016 to 12/31/2016
7. Chambers or Office Address United States Courthouse 113 Saint Joseph Street Rm. 306 Mobile, Alabama 36602		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Legislative Committee	Federal Magistrate Judges Association
2. Manager	Cassady Farms, LLC
3. Manager	Southbury Condo, LLC
4. Litigation Committee	Federal Magistrate Judges Association
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.

2.

3.

4.

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1. Federal Magistrate Judges
Association09/14/2016 -
09/16/2016

Washington DC

FMJA Committee Meeting

Lodging, travel and meals

2.

3.

4.

5.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Franklin/Templeton World Fund CL A (TEMWX) IRA	D	Dividend	M	T					
2. Franklin Income Fund CL A (FKINX)	D	Dividend	M	T					See Note 1, Part VIII
3. The Southern Company - DSPP-COMMON STOCK	B	Dividend	K	T					
4. Vanguard Mutual Funds IRA									
5. - Balanced Index Fund Admiral Shares (VBINX)	C	Dividend	L	T					
6. - STAR Fund (VGSTX)	C	Dividend	L	T					
7. Vanguard Mutual Funds (non-IRA)									
8. -Balanced Index Fund (VBIAX)	C	Dividend	M	T					
9. Rental Property - Jefferson Co., B'ham, AL (Southbury, LLC)	D	Rent	L	W					See Note 2, Part VIII
10. Butler Co, AL land (Apr. 4/14/10) (Cassady Farms, LLC)	A	Rent	N	Q					
11. House & lot, Greenville, AL (Apr. 4/4/10) (Cassady Farms, LLC)	D	Rent	K	Q					
12. Common Stock, Town & Country National Bank	D	Distribution	M	U					
13. Participating Units - Cassady Farms, LLC		None	N	T					
14. Participating Units - Southbury Condo, LLC	D	Rent	L	T					
15. Brokerage Account #1									
16. - Bank Deposit Sweep Account-Wells Fargo Advisors, LLC		None	J	T					See Note 3, Part VIII
17. -OPEN END MUTUAL FUNDS									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. --Franklin Tax Free TR Fed Interm Term Advisor CL (FITZX)	A	Dividend	K	T	Sold (part)	08/23/16	J	A	
19. --Nuveen Mun Trust Inter Duration Mun Bd Fd CL I (NUVBX)	A	Dividend	K	T	Buy (add'l)	08/23/16	J		
20. --T Rowe Price Summit Mun Fds Inc - Mun Inter Fd (PRSMX)	A	Dividend	K	T	Buy (add'l)	08/23/16	J		
21. --T Rowe Price Tax Free Shrt Intermediate Fund (PRFSX)	A	Dividend	K	T	Buy (add'l)	08/23/16	J		
22. --T Rowe Price Summit Mun FDS INC Mun INC FD (PRINX)	A	Dividend	J	T	Buy	08/23/16	J		
23. --Thornburg Invt Tr Ltd Ter Mun Fd Cl I (LTMIX)	A	Dividend	K	T	Sold (part)	08/23/16	J	A	
24. --American Europacific Growth FU CL F2 (AEPFX)	A	Distribution	J	T	Sold (part)	08/23/16	J	A	
25. --American Cap World Bd Class F2 (BFWFX)	A	Dividend	J	T	Buy	08/23/16	J		
26. --Goldman Sachs Tr Finl Square Tax Free Money Mkt Instl Cl (FTXXX)	A	Dividend			Sold (part)	01/11/16	J	A	
27.					Sold (part)	04/08/16	J	A	
28.					Sold	07/08/16	J	A	
29. --GOLDMAN SACHS TR FINL Square Treas Instrs FD Inst CL (FTIXX)	A	Distribution	J	T	Buy	08/23/16	J		
30.					Sold (part)	10/14/16	J	A	
31. --MFS SER TR III MUNICIPAL HIGH INCOME FD CL I (MMIIX)	A	Dividend	J	T	Sold (part)	08/23/16	J	A	
32. --MFS Ser Tr I Value Fd Cl I (MEIIX)	A	Dividend	J	T	Buy	08/23/16	J		
33. --MFS Ser Tr X Emerging Mkts Debt Fd Class I (MEDIX)	A	Distribution	J	T	Buy	08/23/16	J		
34. --Brookfield Invt Funds Global Listed Real Estate Fd Cl Y (BLRYX)	A	Distribution	J	T	Sold (part)	08/23/16	J	A	

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35. --Principal FDS Inc Midcap Fund Instl Class (PCBIX)	A	Distribution	J	T	Buy	08/23/16	J		
36. --Oppenheimer Intl Bond Fund Cl A (OIBAX)	A	Dividend	J	T					
37. --Templeton Income TR GLB BD Advisor Class (TGBAX)	A	Distribution	J	T	Sold (part)	08/23/16	J	A	
38. --Deutsche Tax Free (SZMIX)	A	Dividend			Sold (part)	06/30/16	J	A	
39.					Sold	08/23/16	J	A	
40. -EQUITY TRUSTS									
41. --FIRST TRUST STRATIGIC DIV SELECT PORT SER 23 R	B	Distribution			Buy	01/27/16	K		
42.					Sold	09/19/16	K	B	
43. --First Trust Utilities Select Portfolio Ser 36 Rein	B	Distribution			Sold	08/19/16	J	B	
44. --First Trust Energy Select Portfolio Series 53 Reinvest	A	Distribution			Redeemed	11/29/16	J	A	
45. --First Trust Preferred Income Port Series 70 Rein	A	Distribution			Redeemed	11/08/16	K	A	
46. --Guggenheim Zacks Income Advantage Strategy PRT (2 yr) 14 R	B	Dividend	K	T					
47. --FIRST TRUST MUNI INCM SEL C/E PORT SER 62 REINV	A	Distribution			Sold (part)	01/26/16	K	A	
48.					Redeemed	08/04/16	J	A	
49. --FIRST TRUST MUNICIPAL INCOME SELECT CLOSED END PORT SRS 60 R	B	Distribution			Redeemed	05/19/16	K	B	
50. --First Trust Sabrient Forward Looking Value Port Series 3 Rein	A	Distribution			Redeemed	09/29/16	K	A	
51. --FIRST TRUST MUNICIPAL INCOME SELECT CLOSED END SER 65 R	A	Distribution			Sold (part)	04/26/16	J	A	

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52.					Redeemed	12/15/16	J	A	
53. --FIRST TRUST TARGET GLBAL DVD LEADERS 2Q' 16 TERM 7 REINVEST	A	Dividend	K	T	Buy	04/27/16	K		
54. --FIRST TRUST MUNICIPAL INCOME SELECT CLOSED-END PRT SER 75 REIN	B	Interest	K	T	Buy	05/17/16	K		
55. --FIRST TRUST 60/40 STRATEGIC ALLCTN PORT 3Q TERM 10/17/17 R	A	Dividend	J	T	Buy	08/22/16	J		
56. --FIRST TRUST PREFERRED INCOME PORTFOLIO SERIES 85 R	A	Distribution	K	T	Buy	09/19/16	K		
57. --FIRST TRUST MUNICIPAL INC. SELECT C/E PORT SER 80 REIN	A	Dividend	K	T	Buy	09/28/16	K		
58.					Buy (add'l)	10/11/16	J		
59. --FIRST TRUST ENERGY SELECT PORTFOLIO SER 61 REINVEST	A	Dividend	J	T	Buy	11/28/16	J		
60. --CLOSED END MUTUAL FUNDS									
61. --Liberty All-Star Equity Fund (USA)	A	Dividend	J	T					
62. --First Trust Dynamic Europe Equity Income Fd (FDEU)	B	Dividend	K	T	Sold (part)	04/14/16	J	A	
63. --VARIABLE ANNUITIES									
64. --Jackson Natl Life Ins Co Perspective II 04/14 Variable Annuity	A	Dividend	L	T					
65. The Ohio National Life Ins. Co. Annuity - Fixed Rate	C	Distribution	L	T					See Note 4, Part VIII

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Note 1 - Part VII, Investments, Line 2.

The 2015 FDR reflected that this was a retirement account. It is, in fact, a non-retirement account.

Note 2 - Part VII, Investments, Line 9.

The rent income from this property is reported in Part VII, Line 14.

Note 3 - Part VII Investments, Line 16.

The Annual Report is amended on 12/28/2017 to include the name of the brokerage company.

Note 4 - Part VII Investments, Line 65.

The Annual Report is amended on 12/28/2017 to include that the annuity is a fixed rate annuity.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ William E. Cassady

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
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Washington, D.C. 20544