

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) McConnell, Jr., John J.	2. Court or Organization District of Rhode Island	3. Date of Report 5/15/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address United States District Court District of Rhode Island One Exchange Terrace Providence, RI 02903		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION	NAME OF ORGANIZATION/ENTITY
1. Board Member	Children's Environmental Health Center
2. Director	Copy Center at Crossroads LLC
3. Officer & Board Member	Crossroads Rhode Island
4. Board Member (resigned position as of 01/01/2011)	York Resources, Inc.
5. Board Member (resigned position as of 01/01/2011)	Otto York Foundation
6. Employee	Motley Rice LLC
7. Officer & Board Member	Providence Tourism Council
8. Director	Providence Tourism Fund
9. Board Member	Rhode Island Association for Justice
10. Board Member	Roger Williams University
11. Custodian	Alliance Capital 529 College Fund #2
12. Trustee	Trust #1
13. Trustee	Trust #2
14. Co-Trustee (resigned position as of 01/01/2011)	Friend Trust A
15. Co-Trustee (resigned position as of 01/01/2011)	Friend Trust B
16. Co-Trustee (resigned position as of 01/01/2011)	Friend Trust C

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17. Co-Trustee (resigned position as of 01/01/2011)

Friend Trust D

18. Trustee (resigned position as of 01/01/2011)

Friend Trust E

19. Trustee (resigned position as of 01/01/2011)

Friend Trust F

20. Member

Marthas Vineyard Colonial Inn LLC

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*☐ NONE *(No reportable agreements.)*DATEPARTIES AND TERMS

1. 01/30/03

Ness Motley, P.A. (n/k/a MRRM, P.A.) Employment and Compensation Agreement (see Section VIII for further details)

2. 05/13/11

Assignment of Certain Rights in MRRM, P.A. (see Section VIII for further details)

3. 05/13/11

Assignment of Membership Interests in Motley Rice LLC and Mutual Release (see Section VIII for further details)

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2011	MRRM PA - deferred compensation	\$3,203,548.67
2. 2011	Motley Rice LLC- salary (pre-appointment)	\$96,618.42
3. 2011	York Resources, Inc. - no longer Board Member	\$0.00
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	American Express	Credit Card	K
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Brokerage Account #1									
2. --Wells Fargo Money Fund	A	Interest	M	T					
3. --3M Company	A	Dividend			Sold	05/20/11	K	D	
4. --Abbott Laboratories	A	Dividend			Sold	05/20/11	J	C	
5. --Altera Corporation	A	Dividend	L	T					
6. --Amgen		None			Sold	05/20/11	K		
7. --Analog Devices	A	Dividend			Sold	05/20/11	K	C	
8. --Applied Materials	A	Dividend			Sold	05/20/11	J		
9. --Bed Bath & Beyond		None			Sold	05/20/11	K	D	
10. --Cabelas		None			Sold	05/20/11	K	C	
11. --Cisco Systems	A	Dividend			Sold	05/20/11	J		
12. --CME Group	A	Dividend			Sold	5/20/11	K	B	
13. --Coca-Cola	A	Dividend			Sold	05/20/11	K	C	
14. --Colgate-Palmolive	A	Dividend			Sold	05/20/11	K	E	
15. --Costco Wholesale	A	Dividend			Sold	05/20/11	K	D	
16. --CVS Caremark	A	Dividend			Sold	05/20/11	J	C	
17. --Dolby		None			Sold	05/20/11	K	C	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. --EMC		None			Sold	05/20/11	L	E	
19. --Elements Rogers Agri Tot Ret		None	K	T	Buy	12/28/11	K		
20. --Emerson Electric	A	Dividend			Sold	05/20/11	K	D	
21. --ETFS Platinum Trust Shs Ben Int		None			Buy	5/20/11	K		
22. --ETFS Platinum Trust Shs Ben Int		None			Sold	12/28/11	K		
23. --Financial Select Sector	A	Dividend			Sold	05/20/11	K	D	
24. --Freeport McMoran Copper & Gold	B	Dividend			Donated				
25. --General Electric Company	A	Dividend			Sold	05/20/11	K	D	
26. --Genzyme		None			Merged (with line 68)	06/01/11	L	D	
27. --Home Depot	A	Dividend			Sold	05/20/11	K		
28. --Illinois Tool Works	A	Dividend			Sold	05/20/11	K	D	
29. --International Business Machines	A	Dividend			Sold	05/20/11	K	D	
30. --Ishares Barclays TIPS Bond Fund	B	Dividend	K	T	Buy	5/20/11	K		
31. --Ishares TR NASDAQ Biotech Index Fund		None			Sold	05/20/11	K	D	
32. --Ishares Trust DJ --US Aerospace & Defense Index Fund	A	Dividend			Sold	05/20/11	K	E	
33. --Ishares Trust DJ -- US Energy Sector	A	Dividend			Sold	05/20/11	J	C	
34. --Ishares NYSE Composite Index		None			Buy	5/20/11	L		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. --Ishares NYSE Composite Index		None			Buy (add'l)	06/16/11	J		
36. --Ishares NYSE Composite Index	B	Dividend			Sold	12/28/11	L		
37. --Ishares Russell Microcap Index Fund		None			Buy	5/20/11	L		
38. --Ishares Russell Microcap Index Fund		None			Buy (add'l)	6/16/11	J		
39. --Ishares Russell Microcap Index Fund	A	Dividend			Sold	12/28/11	L		
40. --Ishares Tr MSCI Emerging Mkts Index Fd		None			Buy	5/20/11	L		
41. --Ishares Tr MSCI Emerging Mkts Index Fd		None			Buy (add'l)	6/16/11	J		
42. --Ishares Tr MSCI Emerging Mkts Index Fd		None			Buy (add'l)	8/22/11	J		
43. --Ishares Tr MSCI Emerging Mkts Index Fd	B	Dividend			Sold	12/28/11	L		
44. --Ishares Tr Russell 2000 Index Fd		None	M	T	Buy	12/28/11	M		
45. --Johnson & Johnson	A	Dividend			Sold	5/20/11	L	D	
46. --Kyocera	A	Dividend			Sold	5/20/11	K	D	
47. --Lowe's	A	Dividend			Sold	5/20/11	K		
48. --Metabolix		None			Sold	5/20/11	J		
49. --Microsoft	A	Dividend			Sold	5/20/11	K	A	
50. --Mosaic	A	Dividend			Donated				
51. --Novartis AG Sponsored ADR	A	Dividend			Sold	5/20/11	J	B	

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52. --Panasonic	A	Dividend			Sold	5/20/11	K		
53. --Pepsico	A	Dividend			Sold	5/20/11	K	D	
54. --Powershares Exchange FD TR Golden Dragon Halter		None			Sold	5/20/11	K	E	
55. --Powershares DB Agriculture Fund		None			Buy	5/20/11	K		
56. --Powershares DB Agriculture Fund		None			Buy (add'l)	6/16/11	J		
57. --Powershares DB Agriculture Fund		None			Sold	12/28/11	K		
58. --Powershares DB Base Metals Funds		None			Buy	5/20/11	K		
59. --Powershares DB Base Metals Funds		None			Sold	12/28/11	K		
60. --Powershares Private Equity Portfolio		None			Sold	5/20/11	J	B	
61. --Proshares Trust ETF Short 20+ Treasury		None			Buy	5/20/11	L		
62. --Proshares Trust ETF Short 20+ Treasury		None			Buy (add'l)	6/16/11	J		
63. --Proshares Trust ETF Short 20+ Treasury		None			Sold	12/28/11	L		
64. --Qualcomm	B	Dividend	L	T					
65. --Rydex ETF Tr S&P 500 Equal Weighted Index Fd		None			Buy	5/20/11	L		
66. --Rydex ETF Tr S&P 500 Equal Weighted Index Fd		None			Buy (add'l)	6/16/11	J		
67. --Rydex ETF Tr S&P 500 Equal Weighted Index Fd	A	Dividend			Sold	12/28/11	L		
68. --Sanofi Rts		None			Buy	06/01/11	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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69. --Sanofi Rts		None			Sold	06/02/11	J	A	
70. --Schlumberger	A	Dividend			Donated				
71. --Schwab Charles	A	Dividend			Sold	5/20/11	K	C	
72. --Shanda Interactive Entertainment		None			Sold	5/20/11	K	D	
73. --Sony	A	Dividend			Sold	5/20/11	K		
74. --SPDR Barclays Capital 1-3 Month T-Bill	A	Dividend	M	T	Buy	5/20/11	M		
75. --SPDR Gold Trust Gold Shares		None	K	T	Sold (part)	5/20/11	J	D	
76. --SPDR S&P Midcap 400 Trust Series N		None	M	T	Buy	12/28/11	M		
77. --SPDR S&P 500 Trust		None	M	T	Buy	12/28/11	M		
78. --SPDR Ser Tr S&P 600 Small Cap		None	L	T	Buy	5/20/11	L		
79. --SPDR Ser Tr S&P 600 Small Cap	A	Dividend	J	T	Buy (add'l)	6/16/11	J		
80. --Texas Instruments	A	Dividend			Sold	5/20/11	K	D	
81. --Trinity Industries	A	Dividend			Sold	5/20/11	K	E	
82. --United Natural Foods		None			Sold	5/20/11	K	D	
83. --United Parcel Service	A	Dividend			Sold	5/20/11	K	D	
84. --Vanguard Inflation Protected Securities Fund		None	K	T	Buy	5/20/11	K		
85. --Vanguard Inflation Protected Securities Fund	B	Dividend	J	T	Buy (add'l)	6/16/11	J		

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86. --Vanguard Intl Eqty Index FDS FTSE All World Ex US		None			Buy	5/20/11	K		
87. --Vanguard Intl Eqty Index FDS FTSE All World Ex US		None			Buy (add'l)	6/16/11	J		
88. --Vanguard Intl Eqty Index FDS FTSE All World Ex US	B	Dividend			Sold	12/28/11	K		
89. --Vanguard Mid Cap ETF		None			Buy	5/20/11	L		
90. --Vanguard Mid Cap ETF		None			Buy (add'l)	6/16/11	J		
91. --Vanguard Mid Cap ETF		None			Buy (add'l)	8/22/11	K		
92. --Vanguard Mid Cap ETF	B	Dividend			Sold	12/28/11	L		
93. --Vanguard MSCI EAFE		None			Buy	5/20/11	K		
94. --Vanguard MSCI EAFE		None			Buy (add'l)	6/16/11	J		
95. --Vanguard MSCI EAFE		None			Buy (add'l)	8/22/11	J		
96. --Vanguard MSCI EAFE	B	Dividend			Sold	12/28/11	K		
97. --Vanguard Russell 2000 Growth		None			Buy	5/20/11	L		
98. --Vanguard Russell 2000 Growth		None			Buy (add'l)	6/16/11	J		
99. --Vanguard Russell 2000 Growth	A	Dividend			Sold	12/28/11	L		
100. --Vanguard Russell 2000 Value		None			Buy	5/20/11	L		
101. --Vanguard Russell 2000 Value		None			Buy (add'l)	6/16/11	J		
102. --Vanguard Russell 2000 Value		None			Buy (add'l)	8/22/11	J		

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Name of Person Reporting

McConnell, Jr., John J.

Date of Report

5/15/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
103. --Vanguard Russell 2000 Value	A	Distribution			Sold	12/28/11	L		
104. --Vanguard S&P 500		None	L	T	Buy	5/20/11	L		
105. --Vanguard S&P 500		None	J	T	Buy (add'l)	6/16/11	J		
106. --Vanguard S&P 500	B	Dividend	J	T	Buy (add'l)	8/22/11	J		
107. --Vanguard Total Stock Market		None	L	T	Buy	5/20/11	L		
108. --Vanguard Total Stock Market	A	Dividend	J	T	Buy (add'l)	6/16/11	J		
109. --Vanguard Total World Stock Index Fund		None	L	T	Buy	12/28/11	L		
110. --Vodafone Group	A	Dividend			Sold	5/20/11	J		
111. --Whole Foods Market	A	Dividend			Sold	5/20/11	K	D	
112. --Williams Companies	A	Dividend			Sold	5/20/11	J	C	
113. --Williams Sonoma	A	Dividend			Sold	5/20/11	J	A	
114. --Xilinx	B	Dividend	L	T					
115.									
116. Brokerage Account #2									
117. --Wells Fargo Money Fund	A	Interest							
118. --Rhode Island HSG & MTG Fin Corp Bond	A	Interest			Sold	05/18/11	K	D	
119. --Rhode Island HSG & MTG Fin Corp Bond	B	Interest			Sold	05/18/11	L	D	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120.									
121. ☐ Trust #1									
122. --Wells Fargo Money Fund	A	Interest	J	T					
123. --Altera	A	Dividend	L	T					
124. --Amgen		None			Sold	5/20/11	K		
125. --Analog Devices	A	Dividend			Sold	5/20/11	K	C	
126. --Applied Materials	A	Dividend			Sold	5/20/11	J		
127. --Cisco Systems	A	Dividend			Sold	5/20/11	J		
128. --CME Group	A	Dividend			Sold	5/20/11	K	B	
129. --Coca-Cola	A	Dividend			Sold	5/20/11	K	D	
130. --Costco Wholesale	A	Dividend			Sold	5/20/11	K	D	
131. --CVS Caremark	A	Dividend			Sold	5/20/11	K	E	
132. --Dolby Laboratories		None			Sold	5/20/11	K	C	
133. --EMC		None			Sold	5/20/11	L	E	
134. --Emerson Electric	A	Dividend			Sold	5/20/11	K	D	
135. --ETFS Platinum Trust Shs Ben Int		None			Buy	05/20/11	K		
136. --ETFS Platinum Trust Shs Ben Int		None			Sold	11/30/11	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. --General Electric	A	Dividend			Sold	5/20/11	K	D	
138. --Illinois Tool Works	A	Dividend			Sold	5/20/11	K	E	
139. --Ishares Barclays Tips Bond Fund	A	Dividend			Buy	5/20/11	K		
140. --Ishares Barclays Tips Bond Fund		None			Sold	12/1/11	K	B	
141. --Ishares NYSE Composite Index	A	Dividend			Buy	5/20/11	K		
142. --Ishares NYSE Composite Index		None			Buy (add'l)	6/16/11	J		
143. --Ishares NYSE Composite Index		None			Sold	11/30/11	K		
144. --Ishares Tr Nasdaq Biotech		None			Sold	5/20/11	K	D	
145. --Ishares Tr Russell 2000	A	Dividend			Sold	5/20/11	K	D	
146. --Ishares Russell Microcap Index Fund		None			Buy	5/20/11	K		
147. --Ishares Russell Microcap Index Fund		None			Buy (add'l)	6/16/11	J		
148. --Ishares Russell Microcap Index Fund	A	Dividend			Sold	11/30/11	K		
149. --Ishares Tr MSCI Emerging Mkts Index Fd		None			Buy	5/20/11	K		
150. --Ishares Tr MSCI Emerging Mkts Index Fd		None			Buy (add'l)	6/16/11	J		
151. --Ishares Tr MSCI Emerging Mkts Index Fd		None			Buy (add'l)	8/22/11	J		
152. --Ishares Tr MSCI Emerging Mkts Index Fd	A	Dividend			Sold	11/30/11	K		
153. --Johnson & Johnson	A	Dividend			Sold	5/20/11	L	D	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. --Kyocera	A	Dividend			Sold	05/20/11	J	B	
155. --Lowe's	A	Dividend			Sold	05/20/11	K		
156. --Mosaic		None			Donated (part)				
157. --Mosaic	A	Dividend			Sold	05/20/11	K	D	
158. --Novartis	A	Dividend			Sold	5/20/11	J	B	
159. --Pepsico	A	Dividend			Sold	05/20/11	K	C	
160. --Powershares DB Agriculture Fund		None			Buy	5/20/11	K		
161. --Powershares DB Agriculture Fund		None			Buy (add'l)	6/16/11	J		
162. --Powershares DB Agriculture Fund		None			Sold	11/30/11	K		
163. --Powershares DB Base Metals Fund		None			Buy	5/20/11	K		
164. --Powershares DB Base Metals Fund		None			Sold	11/30/11	K		
165. --Proshares Trust Short 20+ Yr Treasury		None			Buy	5/20/11	K		
166. --Proshares Trust Short 20+ Yr Treasury		None			Buy (add'l)	6/16/11	J		
167. --Proshares Trust Short 20+ Yr Treasury		None			Sold	11/30/11	K		
168. --Powershares Exchange FD TR Golden Dragon Halter		None			Sold	05/20/11	K	D	
169. --Powershares Global ETF TR Global Clean Energy		None			Sold	05/20/11	K	D	
170. --Powershares Private Equity Portfolio		None			Sold	5/20/11	J	B	

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171. --Qualcomm	B	Dividend	M	T					
172. --Rydex ETF Tr S&P 500 Equal Wtd Index		None			Buy	5/20/11	K		
173. --Rydex ETF Tr S&P 500 Equal Wtd Index		None			Buy (add'l)	6/16/11	J		
174. --Rydex ETF Tr S&P 500 Equal Wtd Index	A	Dividend			Sold	11/30/11	K		
175. --Schlumberger		None			Donated (part)				
176. --Schlumberger	A	Dividend			Sold	5/20/11	L	E	
177. --Schwab Charles	A	Dividend			Sold	5/20/11	K	D	
178. --Shanda Interactive Entertainment		None			Sold	5/20/11	K	D	
179. --SPDR Barclays Capital 1-3 Month T-Bill ETF		None			Buy	5/20/11	M		
180. --SPDR Barclays Capital 1-3 Month T-Bill ETF		None			Buy (add'l)	6/16/11	J		
181. --SPDR Barclays Capital 1-3 Month T-Bill ETF		None			Sold	9/23/11	M		
182. --SPDR Gold Trust		None			Buy	5/20/11	K		
183. --SPDR Gold Trust		None			Sold	11/30/11	K	C	
184. --SPDR Ser Tr S&P 600 Small Cap		None			Buy	5/20/11	K		
185. --SPDR Ser Tr S&P 600 Small Cap		None			Buy (add'l)	6/16/11	J		
186. --SPDR Ser Tr S&P 600 Small Cap	A	Dividend			Sold	11/30/11	K		
187. --United Natural Foods Inc		None			Donated				

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(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
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188. --United Parcel Service	A	Dividend			Sold	5/20/11	K	B	
189. --Vanguard Inflation Protected Securities Fund		None			Buy	5/20/11	K		
190. --Vanguard Inflation Protected Securities Fund		None			Buy (add'l)	6/16/11	J		
191. --Vanguard Inflation Protected Securities Fund	A	Dividend			Sold	11/30/11	K	B	
192. --Vanguard Intl Eqty ETF Index Fds FTSE		None			Buy	5/20/11	K		
193. --Vanguard Intl Eqty ETF Index Fds FTSE		None			Buy (add'l)	6/16/11	J		
194. --Vanguard Intl Eqty ETF Index Fds FTSE		None			Sold	11/30/11	K		
195. --Vanguard Mid Cap ETF		None			Buy	5/20/11	K		
196. --Vanguard Mid Cap ETF		None			Buy (add'l)	6/16/11	J		
197. --Vanguard Mid Cap ETF		None			Buy (add'l)	8/22/11	J		
198. --Vanguard Mid Cap ETF		None			Sold	11/30/11	L		
199. --Vanguard MSCI EAFE ETF		None			Buy	5/20/11	K		
200. --Vanguard MSCI EAFE ETF		None			Buy (add'l)	6/16/11	J		
201. --Vanguard MSCI EAFE ETF		None			Buy (add'l)	8/22/11	J		
202. --Vanguard MSCI EAFE ETF		None			Sold	11/30/11	K		
203. --Vanguard Russell 2000 Growth ETF		None			Buy	5/20/11	K		
204. --Vanguard Russell 2000 Growth ETF		None			Buy (add'l)	6/16/11	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
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2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
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205. --Vanguard Russell 2000 Growth ETF		None			Sold (part)	11/21/11	J		
206. --Vanguard Russell 2000 Growth ETF		None			Sold	11/30/11	K		
207. --Vanguard Russell 2000 Value ETF		None			Buy	5/20/11	K		
208. --Vanguard Russell 2000 Value ETF		None			Buy (add'l)	6/16/11	J		
209. --Vanguard Russell 2000 Value ETF		None			Buy (add'l)	8/22/11	J		
210. --Vanguard Russell 2000 Value ETF		None			Sold (part)	11/21/11	J		
211. --Vanguard Russell 2000 Value ETF		None			Sold	11/30/11	K		
212. --Vanguard S&P 500 ETF		None			Buy	5/20/11	K		
213. --Vanguard S&P 500 ETF		None			Buy (add'l)	6/16/11	J		
214. --Vanguard S&P 500 ETF		None			Buy (add'l)	8/22/11	J		
215. --Vanguard S&P 500 ETF	A	Dividend			Sold	11/30/11	K		
216. --Vanguard Total Stock Market ETF		None			Buy	5/20/11	K		
217. --Vanguard Total Stock Market ETF		None			Buy (add'l)	6/16/11	J		
218. --Vanguard Total Stock Market ETF	A	Dividend			Sold	11/30/11	K		
219. --Vodafone Group	A	Dividend			Sold	5/20/11	K		
220. --Williams Companies	A	Dividend			Sold	5/20/11	K	C	
221. --Xilinx	B	Dividend	L	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
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222.									
223. [REDACTED] Trust #2									
224. --Wells Fargo Money Fund	A	Interest	N	T					
225. --3M Company	A	Dividend			Sold	5/20/11	K	E	
226. --Abbott Laboratories	A	Dividend			Sold	5/20/11	K	C	
227. --Altera Corporation	B	Distribution	M	T					
228. --Amgen		None			Sold	5/20/11	L		
229. --Analog Devices	A	Dividend			Sold	5/20/11	L	D	
230. --Applied Materials	A	Dividend			Sold	5/20/11	K		
231. --Bed Bath & Beyond		None			Sold	5/20/11	K	D	
232. --Cisco Systems	A	Dividend			Sold	5/20/11	K		
233. --CME Group	A	Dividend			Sold	5/20/11	M	D	
234. --Coca-Cola	A	Dividend			Sold	5/20/11	L	E	
235. --Costco Wholesale	A	Dividend			Sold	5/20/11	L	E	
236. --CVS Caremark	A	Dividend			Sold	5/20/11	L	E	
237. --Dolby		None			Sold	5/20/11	L	D	
238. --EMC		None			Sold	5/20/11	M	G	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

239. --Elements Rogers Agri Tot Ret ETN		None	L	T	Buy	12/29/11	L		
240. --Emerson Electric	B	Dividend			Sold	5/20/11	L	E	
241. --ETFS Platinum Trust Shs Ben Int ETF		None			Buy	5/20/11	L		
242. --ETFS Platinum Trust Shs Ben Int ETF		None			Sold	12/29/11	L		
243. --Financial Select Sector	A	Dividend			Sold	5/20/11	K	D	
244. --General Electric Company	A	Dividend			Sold	5/20/11	L	E	
245. --Home Depot	A	Dividend			Sold	5/20/11	K		
246. --Illinois Tool Works	B	Dividend			Sold	5/20/11	L	E	
247. --International Business Machines	A	Dividend			Sold	5/20/11	K	E	
248. --Ishares Tr Russell 2000	A	Dividend			Sold	5/20/11	K	D	
249. --Ishares Tr Russell 2000		None	N	T	Buy	12/29/11	N		
250. --Ishares TR NASDAQ Biotech Index Fund		None			Sold	5/20/11	L	E	
251. --Ishares Trust DJ --US Aerospace & Defense Index Fund	A	Dividend			Sold	5/20/11	L	D	
252. --Ishares Trust DJ -- US Energy Sector	A	Dividend			Sold	5/20/11	J	D	
253. --Ishares DJ US Broker Dealers Index Fd	A	Dividend			Buy	1/18/11	L		
254. --Ishares DJ US Broker Dealers Index Fd		None			Sold	5/20/11	K		
255. --Ishares Russell MicroCap Index Fund		None			Buy	5/20/11	M		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

256. --Ishares Russell MicroCap Index Fund		None			Buy (add'l)	6/16/11	K		
257. --Ishares Russell MicroCap Index Fund	B	Dividend			Sold	12/29/11	M		
258. --Ishares NYSE Composite Index		None			Buy	5/20/11	M		
259. --Ishares NYSE Composite Index		None			Buy (add'l)	6/16/11	K		
260. --Ishares NYSE Composite Index	B	Dividend			Sold	12/29/11	M		
261. --Ishares Tr MSCI Emerging Mkts Index Fund		None			Buy	5/20/11	M		
262. --Ishares Tr MSCI Emerging Mkts Index Fund		None			Buy (add'l)	6/16/11	K		
263. --Ishares Tr MSCI Emerging Mkts Index Fund		None			Buy (add'l)	8/22/11	J		
264. --Ishares Tr MSCI Emerging Mkts Index Fund	C	Dividend			Sold	12/29/11	M		
265. --Ishares Barclays TIPS Bond Fund	B	Dividend	L	T	Buy	5/20/11	L		
266. --Kyocera	A	Dividend			Sold	5/20/11	L	E	
267. --Lowe's	A	Dividend			Sold	5/20/11	L		
268. --Microsoft	A	Dividend			Sold	5/20/11	K		
269. --Novartis AG Sponsored ADR	B	Dividend			Sold	5/20/11	K	C	
270. --Overseas Shiphldg Gr Inc		None			Buy	1/18/11	K		
271. --Overseas Shiphldg Gr Inc	A	Dividend			Sold	5/20/11	K		
272. --Pepsico	A	Dividend			Sold	5/20/11	L	E	

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
273. --Powershares Exchange FD TR Golden Dragon Halter		None			Sold	5/20/11	L	E	
274. --Powershares Global ETF TR Global Clean Energy		None			Sold	5/20/11	K	D	
275. --Powershares Private Equity Portfolio		None			Sold	5/20/11	K	D	
276. --PowerShares DB ETF Agriculture Fd		None			Buy	5/20/11	L		
277. --PowerShares DB ETF Agriculture Fd		None			Buy (add'l)	6/16/11	J		
278. --PowerShares DB ETF Agriculture Fd		None			Sold	12/29/11	L		
279. --Powershares DB Base Metals Funds		None			Buy	5/20/11	L		
280. --Powershares DB Base Metals Funds		None			Sold	12/29/11	L		
281. Proshares Trust Short 20+ Yr Treasury		None			Buy	5/20/11	M		
282. Proshares Trust Short 20+ Yr Treasury		None			Buy (add'l)	6/16/11	J		
283. Proshares Trust Short 20+ Yr Treasury		None			Sold	12/29/11	M		
284. --Qualcomm	C	Dividend	N	T					
285. --Rydex ETF Tr S&P 500 Equal Weighted		None			Buy	5/20/11	M		
286. --Rydex ETF Tr S&P 500 Equal Weighted		None			Buy (add'l)	6/16/11	K		
287. --Rydex ETF Tr S&P 500 Equal Weighted	B	Dividend			Sold	12/29/11	M		
288. --Schlumberger	A	Dividend			Sold	5/20/11	M	G	
289. --Schwab Charles	A	Dividend			Sold	5/20/11	L	E	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
290. --SPDR Gold Trust Gold Shares		None	L	T	Buy (add'l)	5/20/11	J		
291. --SPDR S&P MidCap 400		None	M	T	Buy	12/29/11	M		
292. --SPDR S&P 500 Trust ETF		None	N	T	Buy	12/29/11	N		
293. --SPDR Ser Tr S&P 600 Small Cap ETF		None			Buy	5/20/11	M		
294. --SPDR Ser Tr S&P 600 Small Cap ETF	B	Dividend	M	T	Buy (add'l)	6/16/11	K		
295. --SPDR Barclays Capital 1-3 Month T-Bill ETF		None			Buy	5/20/11	N		
296. --SPDR Barclays Capital 1-3 Month T-Bill ETF		None	N		Buy (add'l)	6/16/11	J		
297. --Texas Instruments	A	Dividend			Sold	5/20/11	K	D	
298. --Trinity Industries	A	Dividend			Sold	5/20/11	L	E	
299. --United Natural Foods		None			Sold	5/20/11	K	D	
300. --United Parcel Service	B	Dividend			Sold	5/20/11	M	D	
301. --Vanguard Inflation Protected Securities Fund		None			Buy	5/20/11	L		
302. --Vanguard Inflation Protected Securities Fund	C	Dividend	L	T	Buy (add'l)	6/16/11	J		
303. --Vanguard Intl Eqty Index Fds All World Ex US		None			Buy	5/20/11	L		
304. --Vanguard Intl Eqty Index Fds All World Ex US		None			Buy (add'l)	6/16/11	K		
305. --Vanguard Intl Eqty Index Fds All World Ex US	B	Dividend			Sold	12/29/11	L		
306. --Vanguard Mid Cap ETF		None			Buy	5/20/11	M		

1. Income Gain Codes: (See Columns B1 and D4)	A --\$1,000 or less F --\$50,001 - \$100,000	B --\$1,001 - \$2,500 G --\$100,001 - \$1,000,000	C --\$2,501 - \$5,000 H1 --\$1,000,001 - \$5,000,000	D --\$5,001 - \$15,000 H2 --More than \$5,000,000	E --\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J --\$15,000 or less N --\$250,001 - \$500,000 P3 --\$25,000,001 - \$50,000,000	K --\$15,001 - \$50,000 O --\$500,001 - \$1,000,000	L --\$50,001 - \$100,000 P1 --\$1,000,001 - \$5,000,000 P4 --More than \$50,000,000	M --\$100,001 - \$250,000 P2 --\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q --Appraisal U --Book Value	R --Cost (Real Estate Only) V --Other	S --Assessment W --Estimated	T --Cash Market	

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307. --Vanguard Mid Cap ETF		None			Buy (add'l)	6/16/11	K		
308. --Vanguard Mid Cap ETF		None			Buy (add'l)	8/22/11	K		
309. --Vanguard Mid Cap ETF	B	Dividend			Sold	12/29/11	M		
310. --Vanguard MSCI EAFE ETF		None			Buy	5/20/11	L		
311. --Vanguard MSCI EAFE ETF		None			Buy (add'l)	6/16/11	K		
312. --Vanguard MSCI EAFE ETF		None			Buy (add'l)	8/22/11	K		
313. --Vanguard MSCI EAFE ETF	C	Dividend			Sold	12/29/11	L		
314. --Vanguard Russell 2000 Growth ETF		None			Buy	5/20/11	M		
315. --Vanguard Russell 2000 Growth ETF		None			Buy (add'l)	6/16/11	K		
316. --Vanguard Russell 2000 Growth ETF	A	Dividend			Sold	12/29/11	M		
317. --Vanguard Russell 2000 Value ETF		None			Buy	5/20/11	M		
318. --Vanguard Russell 2000 Value ETF		None			Buy (add'l)	6/16/11	K		
319. --Vanguard Russell 2000 Value ETF		None			Buy (add'l)	8/22/11	J		
320. --Vanguard Russell 2000 Value ETF	B	Dividend			Sold	12/29/11	M		
321. --Vanguard S&P 500 ETF		None			Buy	5/20/11	M		
322. --Vanguard S&P 500 ETF		None			Buy (add'l)	6/16/11	K		
323. --Vanguard S&P 500 ETF	B	Dividend	M	T	Buy (add'l)	8/22/11	K		

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

324. --Vanguard Total Stock Market 500 ETF		None			Buy	5/20/11	M		
325. --Vanguard Total Stock Market 500 ETF	B	Dividend	M	T	Buy (add'l)	6/16/11	K		
326. --Vanguard Total World ETF Stock Index Fd		None	M	T	Buy	12/29/11	M		
327. --Vertex Pharmaceuticals		None			Buy	1/18/11	K		
328. --Vertex Pharmaceuticals		None			Sold	5/20/11	K	D	
329. --Vodafone Group		None			Sold	5/20/11	K		
330. --Whole Foods Market	A	Dividend			Sold	5/20/11	K	D	
331. --Williams Companies	A	Dividend			Sold	5/20/11	K	D	
332. --Williams Sonoma	A	Dividend			Sold	5/20/11	J	B	
333. --Xilinx	C	Dividend	M	T					
334.									
335. Citizens Bank Accounts		None	J	T					
336.									
337. IRA #1									
338. --Wells Fargo Money Fund	A	Interest	J	T					
339. --Powershares QQQ Trust	A	Dividend			Sold	5/20/11	K	E	
340. --ETFS Platinum Trust Shs Ben Int ETF		None	J	T	Buy	5/20/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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341. --Ishares Barclays TIPS Bond Fund	A	Dividend	J	T	Buy	5/20/11	J		
342. --Ishares NYSE Composite Index		None			Buy	5/20/11	J		
343. --Ishares NYSE Composite Index	A	Dividend	J	T	Buy (add'l)	6/16/11	J		
344. --Ishares Russell Microcap Index Fund		None			Buy	5/20/11	J		
345. --Ishares Russell Microcap Index Fund	A	Dividend	J	T	Buy (add'l)	6/16/11	J		
346. --Ishares Tr MSCI Emerging Mkts Index Fd		None			Buy	5/20/11	J		
347. --Ishares Tr MSCI Emerging Mkts Index Fd		None			Buy (add'l)	6/16/11	J		
348. --Ishares Tr MSCI Emerging Mkts Index Fd	A	Dividend	J	T	Buy (add'l)	8/5/11	J		
349. --Powershares DB Agriculture Fund ETF		None			Buy	5/20/11	J		
350. --Powershares DB Agriculture Fund ETF		None	J	T	Buy (add'l)	6/16/11	J		
351. --Powershares DB Base Metals Fund ETF		None	J	T	Buy	5/20/11	J		
352. --Proshres Trust Short 20+ Yr Treasury		None	J	T	Buy	5/20/11	J		
353. --Rydex ETF Tr S&P 500 Equal Weighted Index Fd		None			Buy	5/20/11	J		
354. --Rydex ETF Tr S&P 500 Equal Weighted Index Fd	A	Dividend	J	T	Buy (add'l)	6/16/11	J		
355. --SPDR Barclays Capital 1-3 Month t-Bill ETF		None	J	T	Buy	5/20/11	J		
356. --SPDR Gold Trust		None	J	T	Buy	5/20/11	J		
357. --SPDR Ser Tr S&P 600 Small Cap		None			Buy	5/20/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

McConnell, Jr., John J.

Date of Report

5/15/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
358. --SPDR Ser Tr S&P 600 Small Cap	A	Dividend	J	T	Buy (add'l)	6/16/11	J		
359. --Vanguard Intl Eqty Index Fds FTSE All World Ex US		None			Buy	5/20/11	J		
360. --Vanguard Intl Eqty Index Fds FTSE All World Ex US	A	Dividend	J	T	Buy (add'l)	6/16/11	J		
361. --Vanguard Mid Cap		None			Buy	5/20/11	J		
362. --Vanguard Mid Cap		None			Buy (add'l)	6/16/11	J		
363. --Vanguard Mid Cap	A	Dividend	J	T	Buy (add'l)	8/5/11	J		
364. --Vanguard MSCI EAFE		None			Buy	5/20/11	J		
365. --Vanguard MSCI EAFE		None			Buy (add'l)	6/16/11	J		
366. --Vanguard MSCI EAFE	A	Dividend	J	T	Buy (add'l)	8/5/11	J		
367. --Vanguard Russell 2000 Growth		None			Buy	5/20/11	J		
368. --Vanguard Russell 2000 Growth	A	Dividend	J	T	Buy (add'l)	6/16/11	J		
369. --Vanguard Russell 2000 Value		None			Buy	5/20/11	J		
370. --Vanguard Russell 2000 Value		None			Buy (add'l)	6/16/11	J		
371. --Vanguard Russell 2000 Value	A	Dividend	J	T	Buy (add'l)	8/5/11	J		
372. --Vanguard S&P 500		None			Buy	5/20/11	J		
373. --Vanguard S&P 500		None			Buy (add'l)	6/16/11	J		
374. --Vanguard S&P 500	A	Dividend	J	T	Buy (add'l)	8/5/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
375. --Vanguard Total Stock Market ETF		None			Buy	5/20/11	J		
376. --Vanguard Total Stock Market ETF	A	Dividend	J	T	Buy (add'l)	6/16/11	J		
377. --Vanguard Inflation Protected Securities Fund		None			Buy	5/20/11	J		
378. --Vanguard Inflation Protected Securities Fund	A	Dividend	J	T	Buy (add'l)	6/16/11	J		
379.									
380. IRA #2									
381. --Wells Fargo Money Fund	A	Interest	J	T					
382. --Powershares QQQ Trust	A	Dividend			Sold	5/20/11	K	E	
383. --ETFS Platinum Trust Shs Ben Int ETF		None	J	T	Buy	5/20/11	J		
384. --Ishares Barclays TIPS Bond Fund	A	Dividend	J	T	Buy	5/20/11	J		
385. --Ishares NYSE Composite Index		None			Buy	5/20/11	J		
386. --Ishares NYSE Composite Index	A	Dividend	J	T	Buy (add'l)	6/16/11	J		
387. --Ishares Russell Microcap Index Fund		None			Buy	5/20/11	J		
388. --Ishares Russell Microcap Index Fund	A	Dividend	J	T	Buy (add'l)	6/16/11	J		
389. --Ishares Tr MSCI Emerging Mkts Index Fd		None			Buy	5/20/11	J		
390. --Ishares Tr MSCI Emerging Mkts Index Fd		None			Buy (add'l)	6/16/11	J		
391. --Ishares Tr MSCI Emerging Mkts Index Fd	A	Dividend	J	T	Buy (add'l)	8/5/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

McConnell, Jr., John J.

Date of Report

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
392. --Powershares DB Agriculture Fund ETF		None			Buy	5/20/11	J		
393. --Powershares DB Agriculture Fund ETF		None	J	T	Buy (add'l)	6/16/11	J		
394. --Powershares DB Base Metals Fund ETF		None	J	T	Buy	5/20/11	J		
395. --Proshres Trust Short 20+ Yr Treasury		None	J	T	Buy	5/20/11	J		
396. --Rydex ETF Tr S&P 500 Equal Weighted Index Fd		None			Buy	5/20/11	J		
397. --Rydex ETF Tr S&P 500 Equal Weighted Index Fd	A	Dividend	J	T	Buy (add'l)	6/16/11	J		
398. --SPDR Barclays Capital 1-3 Month t-Bill ETF		None	J	T	Buy	5/20/11	J		
399. --SPDR Gold Trust		None	J	T	Buy	5/20/11	J		
400. --SPDR Ser Tr S&P 600 Small Cap		None			Buy	5/20/11	J		
401. --SPDR Ser Tr S&P 600 Small Cap	A	Dividend	J	T	Buy (add'l)	6/16/11	J		
402. --Vanguard Intl Eqty Index Fds FTSE All World Ex US		None			Buy	5/20/11	J		
403. --Vanguard Intl Eqty Index Fds FTSE All World Ex US	A	Dividend	J	T	Buy (add'l)	6/16/11	J		
404. --Vanguard Mid Cap		None			Buy	5/20/11	J		
405. --Vanguard Mid Cap		None			Buy (add'l)	6/16/11	J		
406. --Vanguard Mid Cap	A	Dividend	J	T	Buy (add'l)	8/5/11	J		
407. --Vanguard MSCI EAFE		None			Buy	5/20/11	J		
408. --Vanguard MSCI EAFE		None			Buy (add'l)	6/16/11	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
409. --Vanguard MSCI EAFE	A	Dividend	J	T	Buy (add'l)	8/5/11	J		
410. --Vanguard Russell 2000 Growth		None			Buy	5/20/11	J		
411. --Vanguard Russell 2000 Growth	A	Dividend	J	T	Buy (add'l)	6/16/11	J		
412. --Vanguard Russell 2000 Value		None			Buy (add'l)	8/5/11	J		
413. --Vanguard Russell 2000 Value		None			Buy	5/20/11	J		
414. --Vanguard Russell 2000 Value	A	Dividend	J	T	Buy (add'l)	6/16/11	J		
415. --Vanguard S&P 500		None			Buy	5/20/11	J		
416. --Vanguard S&P 500		None			Buy (add'l)	6/16/11	J		
417. --Vanguard S&P 500	A	Dividend	J	T	Buy (add'l)	8/5/11	J		
418. --Vanguard Total Stock Market ETF		None			Buy	5/20/11	J		
419. --Vanguard Total Stock Market ETF	A	Dividend	J	T	Buy (add'l)	6/16/11	J		
420. --Vanguard Inflation Protected Securities Fund		None			Buy	5/20/11	J		
421. --Vanguard Inflation Protected Securities Fund	A	Dividend	J	T	Buy (add'l)	6/16/11	J		
422.									
423. IRA #3									
424. Wells Fargo Money Fund	A	Interest	K	T					
425. --ETFS Platinum Trust Shs Ben Int ETF		None	K	T	Buy	06/02/11	K		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

426. --Federated Money Market Tr Govt Oblig Fd Instl		None			Buy	06/02/11	N		
427. --Federated Money Market Tr Govt Oblig Fd Instl		None			Sold (part)	06/16/11	M		
428. --Federated Money Market Tr Govt Oblig Fd Instl	A	Dividend	M	T	Sold (part)	08/22/11	K		
429. --Ishares Barclays TIPS Bond Fund	A	Dividend	K	T	Buy	06/02/11	K		
430. --Ishares NYSE Composite Index		None			Buy	06/02/11	K		
431. --Ishares NYSE Composite Index	B	Dividend	L	T	Buy (add'l)	06/16/11	J		
432. --Ishares Russell Microcap Index Fund		None			Buy	06/02/11	K		
433. --Ishares Russell Microcap Index Fund	A	Dividend	K	T	Buy (add'l)	06/16/11	J		
434. --Ishares Tr MSCI Emerging Mkts Index Fd		None			Buy	06/02/11	L		
435. --Ishares Tr MSCI Emerging Mkts Index Fd		None			Buy (add'l)	06/16/11	J		
436. --Ishares Tr MSCI Emerging Mkts Index Fd	B	Dividend	L	T	Buy (add'l)	08/22/11	J		
437. --Powershares DB Agriculture Fund ETF		None			Buy	06/02/11	K		
438. --Powershares DB Agriculture Fund ETF		None	K	T	Buy (add'l)	06/16/11	J		
439. --Powershares DB Base Metals Fund ETF		None	K	T	Buy	06/02/11	K		
440. --Proshres Trust Short 20+ Yr Treasury		None	K	T	Buy	06/02/11	L		
441. --Rydex ETF Tr S&P 500 Equal Weighted Index Fd		None			Buy	06/02/11	L		
442. --Rydex ETF Tr S&P 500 Equal Weighted Index Fd	A	Dividend	L	T	Buy (add'l)	06/16/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

443. --SPDR Gold Trust		None	K	T	Buy	06/02/11	K		
444. --SPDR Ser Tr S&P 600 Small Cap		None			Buy	06/02/11	L		
445. --SPDR Ser Tr S&P 600 Small Cap	A	Dividend	L	T	Buy (add'l)	06/16/11	J		
446. --Vanguard Intl Eqty Index Fds FTSE All World Ex US		None			Buy	06/02/11	K		
447. --Vanguard Intl Eqty Index Fds FTSE All World Ex US	B	Dividend	K	T	Buy (add'l)	06/16/11	J		
448. --Vanguard Mid Cap		None			Buy	06/02/11	L		
449. --Vanguard Mid Cap		None			Buy (add'l)	06/16/11	J		
450. --Vanguard Mid Cap	A	Dividend	L	T	Buy (add'l)	08/22/11	J		
451. --Vanguard MSCI EAFE		None			Buy	06/02/11	K		
452. --Vanguard MSCI EAFE		None			Buy (add'l)	06/16/11	J		
453. --Vanguard MSCI EAFE	B	Dividend	K	T	Buy (add'l)	08/22/11	J		
454. --Vanguard Russell 2000 Growth		None			Buy	06/02/11	L		
455. --Vanguard Russell 2000 Growth	A	Dividend	L	T	Buy (add'l)	06/16/11	J		
456. --Vanguard Russell 2000 Value		None			Buy	06/02/11	K		
457. --Vanguard Russell 2000 Value		None			Buy (add'l)	06/16/11	J		
458. --Vanguard Russell 2000 Value	A	Dividend	L	T	Buy (add'l)	08/22/11	J		
459. --Vanguard S&P 500		None			Buy	06/02/11	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes: (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes: (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

460. --Vanguard S&P 500		None			Buy (add'l)	06/16/11	J		
461. --Vanguard S&P 500	A	Dividend	L	T	Buy (add'l)	08/22/11	J		
462. --Vanguard Total Stock Market ETF		None			Buy	06/02/11	K		
463. --Vanguard Total Stock Market ETF	A	Dividend	L	T	Buy (add'l)	06/16/11	J		
464. --Vanguard Inflation Protected Securities Fund		None			Buy	06/02/11	K		
465. --Vanguard Inflation Protected Securities Fund	B	Dividend	K	T	Buy (add'l)	06/16/11	J		
466.									
467. Bank RI Accounts #1	A	Interest	J	T					
468. Bank RI Accounts #2	A	Interest	M	T					
469. Bank RI Accounts #3	A	Interest	J	T					
470. Bank RI Accounts #4	A	Interest	J	T					
471. Bank RI Accounts #6		None	J	T					
472. Citibank Account #1 (Y)									
473. Citibank Account #2 (Y)									
474. Navigant Credit Union	A	Interest	J	T					
475.									
476. Alliance Capital 529 College Fund #2									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
477. --CBF Portfolio 1996-98 ALT RA		None	K	T					
478.									
479. Catalist LLC		None	K	U					
480. DSF Capital Partners III LP	E	Distribution	N	U					
481.									
482. MetLife Universal Life		None	L	T					
483. Sun Life Universal Life #1		None	M	T					
484. Sun Life Universal Life #2		None	M	T					
485. Sun Life Universal Life #3		None	M	T					
486.									
487. U.S. Savings Bonds	A	Interest	J	T					
488.									
489. Motley Rice LLC Employee Retirement Savings Plan									
490. --American Funds Europacific		None			Sold	5/16/11	N	D	
491. --Neuberger Berman Genesis		None			Sold	5/16/11	M	D	
492. --Scudder S&P 500 Index		None			Sold	5/16/11	M	D	
493. --Model 09 Program		None							

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
494. -Motley Rice Agressive Program		None			Sold	5/16/11	O	E	
495.									
496. Martha's Vineyard Colonial Inn LLC	G	Distribution	P1	U					
497.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

McConnell, Jr., John J.

Date of Report

5/15/2012

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part I Positions

Resigned from Entities listed on lines 1, 2, 6, 7, 8 and 9 upon confirmation.

Resigned from Entities listed on lines 4, 5, 14, 15, 16, 17, 18 and 19 as of 01/01/2011, no longer reportable in Section VII.

Trinity Repertory Company listed in 2010 but position was resigned in 2010, no position in 2011.

Alliance Capital 529 College Fund # 1 was closed prior to 1/1/2011.

Alliance Capital 529 College Fund # 3 was closed prior to 1/1/2011.

Part II Agreements

A) Ness Motley, P.A. Employment and Compensation Agreement, dated January 30, 2003 by and between Ness Motley, P.A. (f/k/a Ness, Motley, Loadholt, Richardson & Poole, Professional Association) and its attorney signatories, setting forth the disbursement of net income received by the firm as compensation for services previously rendered.

B) Assignment of Certain Rights in MRRM, P.A., dated May 13, 2011 by and between MRRM, PA and John J. McConnell, Jr. setting forth the assignment of and payment for Other Rights not covered under the January 30, 2003 agreement above.

C) Assignment of Membership Interests in Motley Rice LLC and Mutual Release, dated May 13, 2011 by and between John J. McConnell, Jr and Motley Rice LLC setting forth the assignment of and payment for membership interests and mutual release of certain liabilities upon resignation of employment by John J. McConnell, Jr.

Part VII Investments and Trusts

Citibank Account #1 - removed, reported account of Dependent ☐ in 2010, no longer Dependent.Citibank Account #2 - removed, reported account of Dependent ☐ in 2010, no longer Dependent.

Line 482 - Met Life policy replaces ING Variable Life:Ing Stock Index Portfolio I Class shown last report

MassMutual:Strategic Group Universal Life shown last report was term life paid by employer, cancelled upon resignation.

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **John J. McConnell, Jr.**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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