

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) HART, WILLIAM T.	2. Court or Organization NORTHERN DISTRICT OF ILLINOIS	3. Date of Report 07/29/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. SENIOR DISTRICT JUDGE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address 219 South Dearborn Street Room 2502 Chicago, IL 60604		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	DIRECTOR	NORTHERN DISTRICT OF ILLINOIS COURT HISTORICAL ASSOCIATION, an Illinois not-for-profit corporation
2.	DIRECTOR AND SECRETARY	THE CLARE CHARITABLE FOUNDATION, an Illinois not-for-profit corporation
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. (H) IRA #1 J.P. MORGAN SEC. LLC	E	Distribution	O	T					
2. --NUVEEN PFD & INCOME									
3. --DRU, INC.		None		T	Matured	06/14/15	K	A	See note 1 in Section VIII
4. --Caterpillar FNL SVC		None		T	Matured	02/07/14	K	A	See note 2 in Section VIII
5. --EXCO Resources INC	C	Interest	K	T	Buy	02/28/14	K		See note 3 in Section VIII
6. --M&T Capital Trust				T					
7. --PETROAS INTL FIN CO	C	Interest	K	T	Buy	04/04/14	L		See note 3 in Section VIII
8. ANGLOGOD ASHANTI HLDGS PLC	C	Interest	K	T	Buy	04/29/14	K		See note 3 in Section VIII
9. --U.S. Steel Corp. Bond									
10. EXCO RES INC	C	Interest	L	T	Buy	07/17/14	L		See note 3 in Section VIII
11. WELLS FARGO & CO	C	Interest	L	T	Buy	07/21/14	K		See note 3 in Section VIII
12. --JP Morgan Deposit Account B									
13. BANK AMER CORP	C	Interest	L	T	Buy	09/09/14	L		See note 3 in Section VIII
14. --Cliffs Nat. Res (CLF)									
15. --Freeport-McMoRan (FCX)									
16. --First Energy Corp. (FE)									
17. --Orange-Spon ADR									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000 J = More than \$50,000,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. JPMRF	C	Interest	J	T	Buy	02/05/15	K		
19. Royal Dutch	A	Interest	J	T	Buy	03/11/15	J		
20. JPMPRG	A	Interest	J	T	Buy	05/28/15	J		
21. EXCO R. Inc.		None	K	T	Buy	12/08/15	K		
22. First Energy		None	K	T	Buy	12/08/15	K		
23. GLAXOSK		None	J	T	Buy	12/28/15	J		
24. (H) J.P. Morgan Sec. LLC TRUST ACCT									
25. British Petroleum	B	Dividend	K	T					
26. KKR Fin. (KNFRR)	C	Dividend	K	T					
27. Duke Energy	A	Dividend	J	T					
28. PEABODY ENERGY CORP	C	Interest	L	T	Buy	01/01/14	L		See note 3 in Section VIII
29. Bristol Meyers Squibb	B	Dividend	K	T					
30. General Electric	A	Dividend	K	T					
31. Blackrock Mont.	C	Dividend	K	T					
32. Luzerne, CO GO B	B	Interest			Redeemed	12/15/15	L	A	
33. Kansas CY CLGS	A	Interest			Redeemed	07/01/15	J	A	
34. CHS INC	B	Dividend	K	T	Buy	09/15/14	K		See note 3 in Section VIII

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. Deutsche BK Cap III	B	Dividend	K	T	Buy	02/12/08	K		See note 4 in Section VIII
36. Vale SA (Vale)	A	Interest	K	T	Buy	05/22/13	K		See note 4 in Section VIII
37. Goldman Sachs	B	Dividend	K	T					\
38. JP Morgan Dep. Ac B	A	Interest	K	T					
39. Puerto Rico E.W.PA	B	Interest			Sold	07/17/15	K	A	
40. Transoceanic, Inc.	B	Interest	K	T	Buy	01/16/15	K		
41. J.P. Morgan Chase	A	Interest	J	T	Buy	05/28/15	J		
42. Schwab Corp PFD	B	Dividend	K	T	Buy	07/27/15	K		
43. GSKRLC		None	J	T	Buy	12/28/15	J		
44. (H) Stofan Agazzi Acct.									
45. ILL ST UNIV CTF	A	Interest	K	T	Buy	05/13/14	K		See note 3 in Section VIII
46. Walgreen	A	Dividend	J	T					
47. Romeoville, IL GO	A	Interest	K	T	Redeemed (part)	12/30/15	K	A	
48. Wash. St. Higher Ed. Fac Bond	B	Interest	K	T					
49. Ill. St. U. Rev. B	B	Interest	J	T					
50. Rancho Mirage CA B	A	Interest			Redeemed	04/01/15	J	A	
51. Hummer Sweep Act.	A	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52. Harris BMO Bank (Checking)	A	Interest	L	T					
53. Harris BMO Bank (Savings)	A	Interest	M	T					
54. Mass. Mut. Life Ins. (Paid Up)		None	K	T					
55. The Clare Residency Deposit Acct.		None	O	T					
56. Western Union		None		T	Sold	08/01/14	J	D	See note 5 in Section VIII

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Note 1, VII. Investments and Trusts, Line 3: This note matured on 06/14/2014. The maturity was not shown on the 2014 report.

Note 2, VII. Investments and Trusts, Line 4: This note matured on 02/07/14. The maturity was not shown on the 2014 report.

Note 3, VII. Investments and Trusts: Transactions not shown on Amended 2014 report but were on initial 2014 report.

Note 4, VII. Investments and Trusts: This investment information is corrected as reported.

Note 5, VII. Investments and Trusts: Sold transaction was shown on initial 2014 report, line 33, but was not shown on amended report.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: S/ WILLIAM T. HART

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544