

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Rosenblatt, Paul G.	2. Court or Organization U.S. District Court, Arizona	3. Date of Report 08/3/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Senior	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address U.S. Courthouse, Suite 621 401 W. Washington St., SPC 56 Phoenix, AZ 85003-2156		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Successor Co-Trustee (with no beneficial interest)	_____ s' Family Trust
2.	_____
3.	_____
4.	_____
5.	_____

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	_____
2.	_____
3.	_____

FINANCIAL DISCLOSURE REPORT

Page 2 of 8

Name of Person Reporting

Rosenblatt, Paul G.

Date of Report

08/3/2015

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

FINANCIAL DISCLOSURE REPORT

Page 3 of 8

Name of Person Reporting

Rosenblatt, Paul G.

Date of Report

08/3/2015

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 8

Name of Person Reporting

Rosenblatt, Paul G.

Date of Report

08/3/2015

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. 4 acres undeveloped land South of Prescott, AZ		None	L	W					
2. J.P. Morgan Chase Bank (M)(X)					Open	11/13/14			
3. -SIT Dividend Growth Fund-I	A	Dividend	J	T					
4. -JPM Intrepid European FD - Instl	A	Dividend	J	T					
5. -Matthews Asia Dividend-Ins	A	Dividend	J	T					
6. -Columbia FD Ser Trt - Columbia	A	Dividend	J	T					
7. -Eaton Vance Floating-Rate	A	Dividend	J	T					
8. -HSBC FDS	A	Dividend	J	T					
9. -Tortoise MLP & Pipeline-Ins	A	Dividend	J	T					
10. -Lord Abbett Invt Tr	A	Dividend	J	T					
11. -Ridgeworth Seix Floating-I	A	Dividend	J	T					
12. -TRP Inst Floating Rate-F	A	Dividend	J	T					
13. -Blackrock High Yield Bond	A	Dividend	J	T					
14. -Avenue Mut Fds Tr	A	Dividend	J	T					
15. -Cohen & Steers Preferred Securities	A	Dividend	J	T					
16. -Metropolitan West Fds	A	Dividend	J	T					
17. -Harbor High Yield Bond-Inst	A	Dividend	J	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
(See Column C2)	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 5 of 8

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18. -Harbor Convertible Sec-Inst	A	Dividend	J	T					
19. -JPM Unconstrained Debt FD - Select	A	Dividend	J	T					
20. -Doubleline Total Ret BD-I	A	Dividend	J	T					
21. IRA Bank of America - Cash - Equivalent	A	Interest	K	T					
22. First Fed. Credit Union Tempe, AZ	A	Interest			Closed	04/14/14	L		
23. Wells Fargo Bank Accounts	A	Interest	K	T					
24. Vodafone Airtouch PLC	A	Dividend	J	T					
25. Exxon Mobil	A	Dividend	L	T					
26. AT&T Common Stock	A	Dividend	K	T					
27. Edison International	A	Dividend	J	T					
28. Chevron Common Stock	A	Dividend	J	T					
29. Maricopa Co. AZ Indl Hlth	A	Interest	J	T					
30. Tax Free Trust of AZ - Trust	A	Dividend	K	T					
31. Rental Property #1, Prescott, AZ	D	Rent	M	W					
32. Delaware Investments Arizona	A	Dividend	J	T					
33. Verizon	A	Dividend	J	T					
34. Comcast Corp. New Class A	A	Dividend	J	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 6 of 8

Name of Person Reporting

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35. FRB Treasury Direct Acct 3-7/8 Note T 07	A	Interest	J	T					
36. National Bank Accounts	A	Interest			Closed	11/14/14	L		
37. Goodyear, AZ 4.5% 7-1-24	A	Interest	J	T					
38. Prudential Advanced Series Apex II Annuity	A	Interest	K	T					
39. Arizona State Credit Union	A	Interest	N	T					
40. SPDR S&P Trust ETF	A	Interest	K	T	Buy	10/14/14	K		
41. J.P. Morgan Investment Account	A	Interest	M	T	Open	11/14/14	M		

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FINANCIAL DISCLOSURE REPORT

Page 7 of 8

Name of Person Reporting

Rosenblatt, Paul G.

Date of Report

08/3/2015

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part I, Line 1, Neither I [REDACTED] have a beneficial interest in the trust. We have no dependent children.

Part VII, Line 23, Filer has no legal right to control investment decisions, other than portfolio objectives.

FINANCIAL DISCLOSURE REPORT

Page 8 of 8

Name of Person Reporting

Rosenblatt, Paul G.

Date of Report

08/3/2015

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Paul G. Rosenblatt**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544