

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) King, Robert B.	2. Court or Organization U.S. Court of Appeals, 4th Circuit	3. Date of Report 05/08/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U. S. Circuit Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address 300 Virginia Street, East Suite 7602 Charleston, WV 25301		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Vice Chairman, Board of Directors	WVU College of Law Alumni Association
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. TimeWarner Inc. (common)	B	Dividend	L	T					
2. Cisco Systems Inc. (common)	A	Dividend	K	T					
3. Proctor & Gamble Co. (common)	C	Dividend	L	T					
4. Intel Corp. (common)	C	Dividend	L	T	Sold (part)	01/11/11	J	A	
5. Microsoft Corp. (common)	B	Dividend	L	T	Sold (part)	03/14/11	J	A	
6. RF Micro Devices Inc. (common)		None	K	T					
7. Morgan Stanley Co. (common)	A	Dividend	L	T	Sold (part)	03/14/11	J	A	
8. Starbucks Corp. (common)	B	Dividend	K	T					
9. Legg Mason Clearbridge Appreciation Fund Class C	A	Distribution	K	T	Sold (part)	01/11/11	J	A	
10. Legg Mason Special Investment Trust		None	K	T	Sold (part)	01/11/11	J	A	
11. Legg Mason Value Trust	A	Distribution	K	T	Sold (part)	01/11/11	J	A	
12. Legg Mason Growth Trust		None	K	T	Sold (part)	01/11/11	J	A	
13. Legg Mason American Leading Companies		None	J	T	Sold (part)	01/11/11	J	A	
14. Legg Mason Emerging Markets Trust		None	K	T	Sold (part)	01/11/11	J	A	
15. Legg Mason International Equity Trust	A	Distribution	K	T	Sold (part)	01/11/11	J	A	
16. Legg Mason Small- Cap Value Trust	A	Distribution	J	T	Sold (part)	01/11/11	J	A	
17. Legg Mason Clearbridge Aggressive Growth Fund *See note*	A	Distribution	K	T	Sold (part)	01/11/11	J	A	

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes	J = \$15,000 or less (See Columns C1 and D3)	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$250,001 - \$500,000 Q = Appraisal (See Column C2)	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

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18. Legg Mason Opportunity Trust		None	J	T	Sold (part)	01/11/11	J	A	
19. Legg Mason Clearbridge Fundamental Value Fund *See note*	A	Distribution	K	T	Sold (part)	01/11/11	J	A	
20. Royce Pennsylvania Mutual Fund	A	Distribution	J	T	Sold (part)	01/11/11	J	A	
21. RoyceTotal Return Fund	A	Distribution	J	T	Sold (part)	01/11/11	J	A	
22. Royce Trustshares Fund	A	Distribution	J	T	Sold (part)	01/11/11	J	A	
23. Dell Computer Corp. (common)		None	J	T					
24. E.I. DuPont De Nemours & Co. (common)	A	Dividend	J	T					
25. Mineral interests, Tyler County, WV See below a, b, c, d, e									
26. a. HG Energy, successor to East Resources, Inc.	B	Royalty	J	W					
27. b. Ergon Oil Purchasing	A	Royalty	J	W					
28. c. CNX Gas, successor to Consol Gas Co.	A	Royalty	J	W					
29. d. BD Oil Gathering Corp.	A	Royalty	J	W					
30. e. Texas Keystone, Inc.	A	Royalty	J	W					
31. Mineral Interests, Doddridge County, WV		None	J	W					
32. Mineral Interests, Wetzel County, WV		None	J	W					
33. Time Warner Cable Inc. (common)	A	Dividend	K	T					
34. AT&T Inc. (common)	A	Dividend			Sold	03/14/11	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35. AT&T Inc. (common)	A	Dividend			Sold	03/14/11	J	A	
36. Bristol Myers Squibb (common)	A	Dividend			Sold	03/14/11	J	A	
37. A123 Systems Inc. (common)		None			Sold	03/14/11	J	A	
38. AOL Inc. (common)		None	J	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Robert B. King**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544