

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Navarro, Gloria M.	2. Court or Organization U.S. District Court, Nevada	3. Date of Report 05/25/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Court Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address U.S. Courthouse 333 Las Vegas Blvd South #7005 Las Vegas, NV 89101		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2009	Public Employees' Retirement System of Nevada; pension upon retirement
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 9

Name of Person Reporting

Navarro, Gloria M.

Date of Report

05/25/2017

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2016	Clark County, Nevada - Salary
2. 2016	Public Employees' Retirement System of Nevada - pension upon retirement
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 9

Name of Person Reporting

Navarro, Gloria M.

Date of Report

05/25/2017

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 9

Name of Person Reporting

Navarro, Gloria M.

Date of Report

05/25/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. 457 Deferred Compensation Plan #2									
2. -AF New Perspective fund	A	Dividend	K	T					
3. -Allian NFJ SC Val fund	A	Dividend			Sold	09/02/16	K		
4. -Lord Abbett SCap Val fund	A	Dividend	K	T					
5. -Artisan MidCap Value fund	A	Dividend			Sold	09/02/16	J		
6. -Hartford MidCap HLS fund	A	Dividend	J	T					
7. -Munder MC COre Grw fund	A	Dividend	J	T					
8. -Vanguard MC Index fund	A	Dividend	J	T					
9. -AmCent Value fund	A	Dividend	L	T					
10. -Hartford Div & Growth HLS fund	A	Dividend	L	T					
11. -Vanguard 500 Index fund	A	Dividend	K	T					
12. -MFS Utilities	A	Dividend	K	T					
13. -AF EuroPacific Growth	A	Dividend	K	T					
14. -Wells Fargo Spec Sm Cap Val Fd	A	Dividend	K	T	Buy	09/02/16	K		
15. -Harbor Mid Cap Value Fund	A	Dividend	J	T	Buy	09/02/16	J		
16. IRA #2	A	Dividend	L	T					
17. -T.Rowe Price Equity Index 500 fund									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 9

Name of Person Reporting

Navarro, Gloria M.

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. IRA #5	A	Dividend	L	T					
19. -T.Rowe Price Mid-Cap Growth fund									
20. Education Savings Account #1	A	Dividend	L	T					
21. -T.Rowe Price Equity Index 500 fund									
22. Education Savings Account #2	A	Dividend	K	T					
23. -T.Rowe Price Equity Index 500 fund									
24. Education Savings Account #3	A	Dividend	K	T					
25. -T. Rowe Price Equity Index 500 fund									
26. College Savin s Iowa Plan #1 - Savings Track C	A	Dividend	J	T	Sold (part)	08/02/16	J	A	
27.					Sold (part)	12/21/16	J	A	
28. College Savings Iowa Plan #2 - Saving Track C	A	Dividend			Sold	12/21/16	J	A	
29. College Savings Iowa Plan #3 - Saving Track C	A	Dividend	K	T					
30. College Savings Plan-T.Rowe Price #1 - Portfolio 2021	A	Dividend	K	T					
31. College Savings Plan-T.Rowe Price #2 - Portfolio 2021	A	Dividend	K	T					
32. College Savings Plan-T.Rowe Price #3 - Portfolio 2024	A	Dividend	K	T					
33. AFLAC common stock	A	Dividend	K	T					
34. Apple common stock	A	Dividend	M	T					

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FINANCIAL DISCLOSURE REPORT

Page 6 of 9

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Navarro, Gloria M.

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35. Atmos Energy common stock	A	Dividend	K	T					
36. Becton Dickenson common stock	A	Dividend	K	T					
37. CSX common stock	A	Dividend	L	T					
38. Carlisle Co. common stock	A	Dividend	J	T					
39. Coca Cola common stock	A	Dividend	J	T					
40. Colgate-Palmolive common stock	A	Dividend	K	T					
41. Costco common stock	A	Dividend	J	T					
42. ExxonMobile common stock	A	Dividend	K	T					
43. General Mills common stock	A	Dividend	K	T					
44. Genuine Parts common stock	A	Dividend	J	T					
45. Gorman-Rupp common stock	A	Dividend	J	T					
46. Hasbro common stock	A	Dividend	K	T					
47. Home Depot common stock	A	Dividend	K	T					
48. IFF common stock	A	Dividend	J	T					
49. Johnson and Johnson common stock	A	Dividend	K	T					
50. Johnson Controls common stock	A	Dividend	J	T	Sold (part)	09/06/16	K	C	
51. Kimberly-Clark common stock	A	Dividend	J	T					

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FINANCIAL DISCLOSURE REPORT

Page 7 of 9

Name of Person Reporting

Navarro, Gloria M.

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52. Merck common stock	A	Dividend	J	T					
53. MMM common stock	A	Dividend	K	T					
54. Paychex common stock	A	Dividend	J	T					
55. Pepsi common stock	A	Dividend	K	T					
56. RPM common stock	A	Dividend	K	T					
57. Schwab common stock	A	Dividend	K	T					
58. Southern Co common stock	A	Dividend	J	T					
59. Twin Disk common stock	A	Dividend	J	T					
60. Walgreen common stock	A	Dividend	K	T					
61. Wells Fargo Checking Account		None	L	T					
62. B of A Checking Account		None	M	T					
63. Clark County Credit Union Savings Account	A	Interest	J	T					

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FINANCIAL DISCLOSURE REPORT

Page 8 of 9

Name of Person Reporting

Navarro, Gloria M.

Date of Report

05/25/2017

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VIII - On 09/02/16, the 457 Plan (on Line 1) changed some of the funds offered in the plan and automatically transferred all assets in Allian NFG Value Fund (line 3) to Wells Fargo Spec Sm Cap Val Fd (line 14) and transferred all assets in Artisan MidCap Value Fund (line 5) to Harbor Mid Cap Value Fund (line 15). These transfers were done automatically for all plan participants by the plan administrators for their own reasons, and did not affect the value of the 457 Plan.

FINANCIAL DISCLOSURE REPORT

Page 9 of 9

Name of Person Reporting

Navarro, Gloria M.

Date of Report

05/25/2017

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Gloria M. Navarro**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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