

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Reyes, Jr., Ramon E.	2. Court or Organization US District Court, EDNY	3. Date of Report 05/08/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge, full time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address U.S. District Court, EDNY 225 Cadman Plaza East, Room N208 Brooklyn, New York 11201		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Power of Attorney	Family Members
2. Executor	Will of Family Members
3. Executive Committee Member - Vice-President	Federal Magistrate Judges Association
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2016	Brooklyn Law School, adjunct teaching salary	\$10,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2016	Legal Services of New York, salary
2. 2016	Brooklyn Law School, adjunct teaching salary
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Federal Magistrate Judges Association	3/20-23, 9/14-16	Washington, DC	Attend FMJA Board meetings and AO Magistrate Judge Advisory Group meetings	travel expense, food and lodging
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	Federal Bar Council	Annual Thanksgiving Lunch, Law Day Dinner, and other receptions	\$1,500.00
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☐NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	USAA	Home equity line of credit on rental property, Orange Co., New York (Pt. VII, line 1)	N
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Rental property, Orange County, NY	E	Rent	N	W					
2. Vacant lot, Marion County, FL		None	J	W					
3. USAA S&P 500, Roth IRA	A	Dividend	J	T					
4. USAA S&P 500, Roth Conversion IRA	A	Dividend	J	T					
5. American Funds, New Perspectives	B	Dividend	K	T					
6. USAA -Brokerage S/D IRA Rollover, incl. 7-14 below					Redeemed	11/08/16	L	C	
7. USAA Int'l Fund (USIFX)	A	Dividend			Sold	11/08/16	J	A	
8. USAA Growth Fund (USAAX)	A	Dividend			Sold	11/08/16	J	A	
9. USAA Income Fund (USAIX)	A	Dividend			Sold	11/08/16	J	A	
10. USAA Short Term Bond Fund (USSBX)	A	Dividend			Sold	11/08/16	J	A	
11. USAA Value Fund (UVALX)	A	Dividend			Sold	11/08/16	K	A	
12. USAA Intermediate Bond Fund (USIBX)	A	Dividend			Sold	11/08/16	J	A	
13. USAA High Income Fund (USHYX)	A	Dividend			Sold	11/08/16	J	A	
14. USAA Small Cap Stock Fund (USCAX)	A	Dividend			Sold	11/08/16	J	A	
15. USAA S&P 500, Roth IRA	A	Dividend	J	T					
16. USAA Growth & Income, Conversion IRA	A	Dividend	J	T					
17. USAA - Brokerage S/D IRA rollover, incl. 18-37 below		None	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	L = \$50,001 - \$100,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. American Beacon Intl Equity Y Class (ABEYX)	A	Dividend	J	T					
19. Baird Core Plus Bond Institutional (BCOIX)	A	Dividend	J	T					
20. Delaware Value Fund Institutional (DDVIX)	A	Dividend	J	T					
21. First Eagle Overseas Class I (SGOIX)	A	Dividend	J	T					
22. Harding Loevner Intl Equity Port Institutional (HLMIX)	A	Dividend	J	T					
23. Heartland Value Plus Institutional (HNVIX)	A	Dividend	J	T					
24. Hotchkis & Wiley Small Cap Value (HWSIX)	A	Dividend	J	T					
25. LKCM SMID Cap Equity Fund Institutional (LKSMX)	A	Dividend	J	T					
26. Lazard Emerging Mkts EQ Blend Institutional (EMBIX)	A	Dividend	J	T					
27. Lazard Intl Strategy Equity Port Intl (LISIX)	A	Dividend	J	T					
28. MFS Growth Fund Class I (MFEIX)	A	Dividend	J	T					
29. Schroder Emerging Mrk Eq Inv (SEMNX)	A	Dividend	J	T					
30. TCW Emerging Mkts Income (TGEIX)	A	Dividend	J	T					
31. USAA Precious Metals and Minerals Retail (USAGX)	A	Dividend	J	T					
32. USAA Income Fund Retail (USAIX)	A	Dividend	J	T					
33. USAA Real Return Fund Retail (USRRX)	A	Dividend	J	T					
34. USAA Managed Allocation Fund Retail (UAFMX)	A	Dividend	J	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. USAA Short Term Bond Fund Retail (USSBX)	A	Dividend	J	T					
36. USAA High Income Fund Retail (USHYX)	A	Dividend	J	T					
37. Voya Intermediate Bond Class W (IIBWX)	A	Dividend	J	T					
38. VALIC, Legal Services NYC Tax Sheltered 403(b), incl. 39-60					Redeemed	10/12/16	N	A	
39. Amer Funds Amer Mut R4	A	Dividend			Sold	06/03/16	J	A	
40. Vanguard International Value	A	Dividend			Sold (part)	06/03/16	J	A	
41.					Sold	10/12/16	J	A	
42. JP Morgan Mid Cap Val I	B	Dividend			Buy (add'l)	06/03/16	J		
43.					Sold	10/12/16	L	A	
44. Janus Enterprise I	A	Dividend			Buy (add'l)	06/03/16	J		
45.					Sold	10/12/16	K	A	
46. JP Morgan Sm Cap Val A	A	Dividend			Sold (part)	06/03/16	J	A	
47.					Sold	10/12/16	J	A	
48. Amer Funds CapWrldGI R4	A	Dividend			Buy (add'l)	06/03/16	J		
49.					Sold	10/12/16	K	A	
50. Fidelity Spar Intl Index	A	Dividend			Buy (add'l)	06/03/16	J		
51.					Sold	10/12/16	K	A	

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	L = \$50,001 - \$100,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52. Amer Funds Amer Bal R4	B	Dividend			Sold (part)	06/03/16	J	A	
53.					Sold	10/12/16	L	A	
54. Fixed Interest Option	A	Interest			Buy (add'l)	06/03/16	J		
55.					Buy (add'l)	09/02/16	J		
56.					Sold	10/12/16	K	A	
57. Dreyfus Bond Mkt Idx Inv	A	Dividend			Sold (part)	06/03/16	J	A	
58.					Sold	10/12/16	J	A	
59. PIMCO Total Ret A	A	Dividend			Buy (add'l)	06/03/16	J		
60.					Sold	10/12/16	K	A	
61. Prudential, Legal Services NYC 403(b) Plan, incl. 62-71 below			N	T	Open	10/13/16	N		
62. Guaranteed Income Fund	A	Dividend	K	T	Buy	10/13/16	K		
63. BlackRock Bond Index	A	Dividend	J	T	Buy	10/13/16	J		
64. Prudential Total Return Bond Q	A	Dividend	K	T	Buy	10/13/16	K		
65. Vanguard LifeStrategy Moderate Growth Fund	A	Dividend	L	T	Buy	10/13/16	L		
66. Janus Enterprise N	A	Dividend	K	T	Buy	10/13/16	K		
67. MFS Mid Cap Value R5	A	Dividend	L	T	Buy	10/13/16	L		
68. American Beacon Small Value Inst.	A	Dividend	J	T	Buy	10/13/16	J		

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69. American Funds New Perspectives	A	Dividend	K	T	Buy	10/13/16	K		
70. Oppenheimer International Growth I	A	Dividend	J	T	Buy	10/13/16	J		
71. Blackrock Int'l Index	A	Dividend	K	T	Buy	10/13/16	K		
72. USAA Savings Accounts	A	Interest	L	T					
73. USAA Checking Accounts	A	Interest	J	T					
74. USAA Savings Account	A	Interest	J	T					
75. USAA Checking Account	A	Interest	M	T					
76. New York College Savings Program, 529 Plan (1) - age based		None	M	T					
77. New York College Savings Program, 529 Plan (2), age-based		None	M	T					
78. USAA Whole Life Policy (self)		None	J	T					
79. New York Life Whole Life Policy (self)	A	Int./Div.	J	T					
80. Sterling Bank, checking account of family member (executor)	A	Interest	J	T					
81. Sterling Bank, burial account of family member (executor)	A	Interest	J	T					
82. personal property of family member		None	J	W					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

During the reporting period I did not exercise the power of attorney listed in Part I, line 1.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Ramon E. Reyes, Jr.**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544