

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Graham, James L.	2. Court or Organization U.S. District Court, SD/OH	3. Date of Report 11/16/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge-Senior	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address 349 Joseph P. Keinnery U.S. CH 85 Marconi Boulevard Columbus, Ohio 43215		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 10

Name of Person Reporting

Graham, James L.

Date of Report

11/16/2017

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME

(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

FINANCIAL DISCLOSURE REPORT

Page 3 of 10

Name of Person Reporting

Graham, James L.

Date of Report

11/16/2017

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

FINANCIAL DISCLOSURE REPORT

Page 4 of 10

Name of Person Reporting

Graham, James L.

Date of Report

11/16/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Partnership-Farm Land-Franklin Co. Ohio-See Part VIII	D	Rent	N	Q	Sold (part)	11/22/16	M		
2. Huntington National Bank-Checking & Savings Accounts	A	Interest	L	T					
3. Gold & Silver Coins		None	J	T					
4. Note Receivable-Benevolent Landlord		None			Closed	12/31/16			
5. 37 shs Huntington Bancshares-8.50% Conv	B	Dividend	K	T					
6. Charles Schwab Money Market Account	B	Dividend	M	T					
7. Middletown OH RFDG/IMPR3.75% DUE 12/01/19	A	Interest	K	T					
8. Oh ST Inft/Impr 3.25% Due 08/01/20	B	Interest	L	T					
9. Single Family Rental Columbus Oh-See Part VIII	A	Rent	M	R					
10. Wells Fargo Bank Sweep Account	A	Interest	K	T					
11. Wells Fargo Bank	A	Interest			Closed	06/15/16	K		
12. Charles Schwab Bank	A	Interest	L	T					
13. Charles Schwab & Co.- IRA-See Part VIII VIII-Head									
14. Charles Schwab Bank	E	Distribution	N	T					
15. SPDR Gold Shares ETF		None	M	T					
16. Schwab Govt Money Fd	A	Interest			Closed	06/15/16	K		
17. Calamos CNV High Income FD	B	Int./Div.			Sold	01/11/16	K	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 10

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Templeton Global FD	B	Dividend			Sold	01/11/16	K	A	
19. Loomis Sayless Investment Mutual Fd	A	Dividend			Sold	01/11/16	K	A	
20. Pimco Income Income Fd-Class D	C	Dividend			Sold	01/11/16	K	A	
21. American FD Amcap FD	A	Dividend			Sold	01/11/16	K	A	
22. Ariel Fund INV	B	Dividend			Sold	01/11/16	K	A	
23. Dodge & Cox Stock FD	A	Dividend			Sold	01/11/16	K	A	
24. Royce Special Equity Fd	B	Dividend			Sold	01/11/16	J	A	
25. SPDR S&P Dividend ETF	A	Dividend			Sold	01/11/16	J	A	
26. Schwab Govt Money Fd	A	Int./Div.			Closed	06/08/16	J		
27. Eaton Vance NAT ltd Mat-Muni Inc A (Y) (Y)									
28. American FD Income FD (Y)									
29. Ally Bank-Checking/Savings	D	Int./Div.	P1	T	Open	01/15/16	P1		
30. AT&T Inc.	B	Dividend			Buy	01/06/16	L		
31.					Sold	03/31/16	M	E	
32. American Electric Power	A	Dividend			Buy	01/04/16	L		
33.					Sold	03/16/16	L	D	
34. Alkermes PLC		None			Buy	05/02/16	K		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 10

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35.					Sold	07/12/16	K	D	
36. Alphabet, Inc		None			Buy	01/15/16	K		
37.					Sold	02/01/16	K	C	
38. Amazon Com, Inc		None			Buy	01/15/16	K		
39.					Sold	02/01/16	K	A	
40. Anheuser-Busch Inbev		None			Buy	01/21/16	L		
41.					Sold	02/01/16	L	C	
42. Apple, Inc		None			Buy	01/27/16	L		
43.					Sold	02/01/16	L	C	
44. Consolidated Edison	A	Dividend			Buy	01/15/16	L		
45.					Sold	03/31/16	L	E	
46. CVS Health Corp	A	Dividend			Buy	01/15/16	K		
47.					Sold	02/01/16	K	A	
48. Facebook		None			Buy	01/20/16	K		
49.					Sold	02/01/16	K	D	
50. First Solar, Inc		None			Buy	04/04/16	K		
51.					Sold	06/13/16	K		

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FINANCIAL DISCLOSURE REPORT

Page 7 of 10

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52. Ford Motor Company	A	Dividend			Buy	01/15/16	K		
53.					Sold	02/01/16	K	A	
54. Verizon Communication	C	Dividend			Buy	02/01/16	N		
55.					Sold	07/12/16	N	E	
56. Guggenheim Solar ETF		None			Buy	04/08/16	L		
57. Guggenheim Solar ETF		None			Sold	07/12/16	L		
58. Molson Coors Brewing		None			Buy	01/21/16	L		
59. Molson Coors Brewing		None			Sold	02/01/16	L	B	
60. NRG Yield, Inc.		None			Buy	04/19/16	K		
61. NRG Yield, Inc.		None			Sold	07/12/16	K	C	
62. Pfizer Incorporated		None			Buy	01/08/16	M		
63. Pfizer Incorporated		None			Sold	02/01/16	L		
64. Theratechnologies		None			Buy	03/22/16	L		
65. Theratechnologies		None			Sold	11/02/16	M	E	
66. Walgreens Boots		None			Buy	01/15/16	K		
67. Walgreens Boots		None			Sold	02/01/16	K		
68. Cedar Fair, LP		None			Buy	01/15/16	L		

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FINANCIAL DISCLOSURE REPORT

Page 8 of 10

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69. Cedar Fair, LP		None			Sold	03/31/16	L	D	
70. SPDR Gold Shares ETF		None			Buy	04/04/16	L		
71. SPDR Gold Shares ETF		None			Sold	07/12/16	L	D	
72. National Storage Affiliates Trust	B	Dividend			Buy	01/04/16	K		
73. National Storage Affiliates Trust		None			Sold	07/12/16	L	E	
74.									

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FINANCIAL DISCLOSURE REPORT

Page 9 of 10

Name of Person Reporting

Graham, James L.

Date of Report

11/16/2017

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, page 1, line 1: The date of purchase was April 2, 2002. The actual cost is \$425,865. Appraisal date June 6, 2011. Franklin County-Brown Township - State of Ohio

Part VII, page 1, line 2: The date of the appraisal was June 6, 2011 with an valuation date of June 6, 2011.

Part VII, page 1, line 9: Purchased 4/23/10 - Cost \$147,395

Part VII, page 1, line 17: The date of purchase was 04/23/10. The actual cost is \$142,296. since

Part VII, Page 1, line 4: This is a tax-exempt organization - The payor is Listed as "The Benevolent Landlord" - No payments of principal or interest have been received from this Note 2009.

Therefore it is being considered worthless and is being considered as closed. - Therefore value is shown in Column D3

Part VII, Page 1, line 13: This is a header account for the items listed on lines 14 and 15

FINANCIAL DISCLOSURE REPORT

Page 10 of 10

Name of Person Reporting

Graham, James L.

Date of Report

11/16/2017

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **James L. Graham**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544