

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) McAuliffe, Barbara A.	2. Court or Organization Eastern District of California, Fresno Division	3. Date of Report 05/03/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address Magistrate Judge Barbara A. McAuliffe Robert E. Coyle U.S. Courthouse 2500 Tulare St. Fresno, CA 93721		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	ABA	2/4/15-2/8/15	Houston, TX	Bar association meeting	airfare, food, and lodging (partial reimbursement)
2.	ABA	7/30/15-8/2/15	Chicago, IL	Bar association meeting	airfare, food, and lodging (partial reimbursement)
3.	ABA	10/22-10/24/15	Chicago, IL	Bar association meeting	airfare, food, and lodging (partial reimbursement)
4.					
5.					

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		bracelet	\$2,000.00
2.		cruise	\$5,000.00
3.		vacation Hawaii	\$2,000.00
4.		car down payment	\$17,000.00
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Premier Valley Bank	A	Interest	K	T					
2. Charles Schwab (401K)									
3. --Schwab US Tres. money fund	A	Interest	J	T					
4. --Bank of America	A	Int./Div.	K	T					
5. --Am Fund cap world growth	A	Dividend	K	T					
6. --Dodge&Cox IntlStck Fund	A	Dividend	J	T					
7. --Advisor Disciplined Trust UT 437 adv corp Tr Navlr	A	Int./Div.			Redeemed	01/14/15	J	A	
8. AT&T	A	Dividend	J	T					
9.									
10.									
11. Calamos Strat Tot Return	A	Dividend			Sold	06/05/15	J	A	
12.									
13. Chevron	A	Dividend	J	T	Buy (add'l)	05/21/15	J		
14. Cohen & Steers Pfd Inc	A	Dividend			Sold	06/05/15	J	A	
15. Cohen & Steers Infra FD	A	Dividend			Sold	06/05/15	J	A	
16.									
17. Integrys Energy Crp Inc	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$250,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

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	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Johnson & Johnson	A	Dividend	J	T	Buy (add'l)	05/21/15	J		
19. Kinder Morgan Energy LP	A	Dividend	K	U					
20. Kraft Foods	A	Dividend	J	T	Sold (part)	05/21/15	J	A	
21. Legg Batry Intl Eq C	A	Dividend	J	T					
22. Nuveen Prem Inc Mun Op	A	Dividend			Sold	06/05/15	J	A	
23. Nuveen Diversified Div Inc	A	Distribution			Sold	06/05/15	J	A	
24. Nuveen Real Estate Inc.	A	Distribution			Sold	06/05/15	J	A	
25. Nuveen Qual Pfd Inc Fd 2	A	Distribution			Sold	06/05/15	J	A	
26. Nuveen Qual Pfd Inc Fd 3	A	Distribution			Sold	06/05/15	J	A	
27. Nuveen Credit Strat Incm	A	Dividend			Sold	06/05/15	J	A	
28. Pfizer Inc	A	Dividend	J	T	Buy (add'l)	05/21/15	J		
29. Southern Company	A	Dividend	J	T					
30. Weingarten Rlty Invs SBI	A	Dividend	J	T					
31. Wells Fargo & Co	A	Dividend	J	T	Buy (add'l)	05/21/15	J		
32.									
33. Ford Motor 6.5 corporate bond	A	Interest			Sold	06/05/15	J	A	
34. East Baton Rouge, La Municipal Bond	A	Interest			Sold	06/05/15	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35.									
36.									
37. Livingston Par la SwrDist 2 Sew Sys	A	Interest			Sold	01/26/15	K	A	
38.									
39. St. John, La Municipal Bond	A	Interest			Sold	06/05/15	J	A	
40.									
41. Sterne Agee Cas Tr Ser Treas Money market fund	B	Interest	J	T					
42. Calcasieu Parish LA Sch Dist (X)	A	Interest			Sold	06/05/15	J	A	
43. Vanguard Balanced Index Fund	A	Dividend	K	T	Buy (add'l)	06/27/15	J		
44. Vanguard Total Stock Market Admiral Fund	A	Dividend	K	T					
45. Vanguard 500 Index Fund Admiral	A	Dividend	K	T					
46. ING Senior Income/Voya Senior (name change)	A	Dividend			Sold	02/17/15	K	A	
47. VanguardMid-Cap Index Fund	A	Dividend	J	T					
48. Vanguard LifeStrategy Conserv. Grwth	A	Dividend	K	T	Buy (add'l)	08/04/15	J		
49. Vanguard Energy Fund Investor	A	Dividend	J	T					
50. Vanguard Explorer	A	Dividend	J	T					
51. Vanguard Cal Intermediate Tx Exempt		None	J	T	Buy	06/02/15	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52. Vanguard Wellesley Income	A	Dividend	J	T	Buy	06/02/15	J		
53. Alcoa Inc		None	J	T	Buy	06/05/15	J		
54. Applied Materials Inc		None	J	T	Buy	06/05/15	J		
55. Cardinal Health Inc	A	Dividend	J	T	Buy	06/05/15	J		
56. Costco wholesale Inc		None	J	T	Buy	06/05/15	J		
57. EMC Corp	A	Dividend	J	T	Buy	06/05/15	J		
58. EOG Resources Inc		None	J	T	Buy	06/05/15	J		
59. Exxon Mobil Corp		None	J	T	Buy	06/05/15	J		
60. Gildan Activewear Inc		None	J	T	Buy	06/05/15	J		
61. Medtronic PLC	A	Dividend	J	T	Buy	06/05/15	J		
62. Merck & Co	A	Dividend	J	T	Buy	06/05/15	J		
63. Monsanto Co	A	Dividend	J	T	Buy	06/05/15	J		
64. Schlumberger Ltd		None	J	T	Buy	06/05/15	J		
65. Stryker Corp		None	J	T	Buy	06/05/15	J		
66. Nuveen High Yield Muni Bond	A	Int./Div.	K	T	Buy	01/30/15	K		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

In 2015, I restructured many of the investments I inherited, which is shown by the many "buys" and "sells" during the year.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Barbara A. McAuliffe**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544