

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Almond, Lincoln D.	2. Court or Organization United States District Court	3. Date of Report 04/16/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Full-time United States Magistrate Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address United States District Court District of Rhode Island Two Exchange Terrace, Room 208 Providence, RI 02903		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME

(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1. 2012

-- Wages

2.

3.

4.

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.

2.

3.

4.

5.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	UMass Amherst	Tuition Agreement	J
2.	Rensselaer Polytechnic Institute	Tuition Agreement	J
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Washington Trust Accts	A	Interest	K	T					
2. John Hancock Freedom 529 (#1)		None	K	T	Sold (part)	01/17/12	J	A	
3.					Sold (part)	02/15/12	J	A	
4.					Sold (part)	03/15/12	J	A	
5.					Sold (part)	04/16/12	J	A	
6.					Buy (add'l)	07/27/12	L		
7.					Sold (part)	08/01/12	J	A	
8.					Sold (part)	08/23/12	J	A	
9.					Sold (part)	09/24/12	J	A	
10.					Sold (part)	10/23/12	J	A	
11.					Buy (add'l)	11/05/12	J		
12.					Sold (part)	11/23/12	J	A	
13.					Sold (part)	12/23/12	J	A	
14. John Hancock Freedom 529 (#2)		None	K	T	Sold (part)	07/10/12	J	B	
15.					Sold (part)	08/01/12	J	B	
16.					Sold (part)	09/10/12	J	B	
17.					Sold (part)	10/10/12	J	B	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000 J = More than \$50,000,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18.					Sold (part)	11/12/12	J	B	
19.					Sold (part)	12/10/12	J	B	
20. John Hancock Freedom 529 (#3)		None			Buy (add'l)	07/23/12	J		
21.					Sold	07/27/12	K	E	
22. Wells Fargo IRA #1									
23. --Russell Emerging Mkts - Class S	A	Dividend	K	T					
24. --Russell Global Real Estate Sec Fd - Class S	A	Dividend	K	T					
25. --Russell Global Equity - Class S	A	Dividend	K	T					
26. --Russell US Core Eqty - Class S					Sold	08/06/12	K	D	
27. --Russell US Small CAP Equity Fund - Class S	A	Dividend	K	T					
28. --Russell Int'l Developed Mkts Fund - Class S	B	Dividend	L	T	Buy (add'l)	08/06/12	J		
29.					Sold (part)	10/12/12	J		
30. --Russell US Quant Equity - Class S	A	Dividend			Sold (part)	04/13/12	J		
31.					Sold	08/06/12	K		
32. --Russell Strategic Bond	C	Dividend	M	T	Sold (part)	01/12/12	J		
33.					Sold (part)	07/13/12	J		
34. --Russell Invt Co Commodity Strategies Fd - Class S	A	Dividend	K	T	Buy (add'l)	08/06/12	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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35. --Russell Inv. Co Global Infrastructure Fund	A	Dividend	K	T					
36. -- Russell Inv Co Global Op. Cr Strategies Fund - Class S	B	Dividend	K	T					
37. --Russell Inv Co Multi-Strategy Alternative Fd - Class S		None	J	T	Buy	08/06/12	J		
38. --Russell Inv Co US Strategic Equity Fd - Class S	B	Dividend	L	T	Buy	08/06/12	L		
39.					Buy (add'l)	08/09/12	J		
40. Wells Fargo #2									
41. --Russell Emerging Mkts - Class S	A	Dividend	J	T					
42. --Russell Global Real Estate Sec Fd - Class S	A	Dividend	J	T					
43. --Russell Global Equity	A	Dividend	J	T					
44. --Russell US Core Equity - Class S					Sold (part)	08/06/12	J	B	
45.					Sold	08/09/12	J	A	
46. --Russell US Small Cap Equity - Class S	A	Dividend	J	T					
47. --Russell Int'l Developed Mkts Fund - Class S	A	Dividend	J	T					
48. --Russell US Quant Eqty - Class S	A	Dividend			Sold	08/06/12	J	A	
49. --Russell Strategic Bond - Class S	A	Dividend	J	T					
50. --Russell Inv Co Commodity Strategies Fund - Class S	A	Dividend	J	T					
51. --Russell Inv Co Global Infrastructure Fd - Class S	A	Dividend	J	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52. --Russell Invt Co Global Oppor Cr Strategies Fd - Class S	A	Dividend	J	T					
53. --Russell Invt Co Multi-Strategy Alternative Fd - Class S		None	J	T	Buy	08/06/12	J		
54. --Russell Invt Co US Strategic Eqty Fd - Class S	A	Dividend	J	T	Buy	08/06/12	J		
55. Wells Fargo Roth IRA #1									
56. --Russell Funds Emerging Mkts Fd - Class C	A	Dividend	J	T					
57. Wells Fargo Roth IRA #2									
58. --Russell Funds Emerging Mkts Fd - Class C	A	Dividend	J	T					
59. Wells Fargo  Acct									
60. --Russell Invt Co Global Infrastructure - Class S	A	Dividend	J	T					
61. --Russell Invt Co Commodity Strategies Fund - Class S	A	Dividend	J	T					
62. --Russell Invt Co Global Oppor Cr Strategies Fund - Class S	A	Dividend	J	T					
63. --Russell Invt Co Global Real Est Sec Fd - Class S	A	Dividend	J	T					
64. --Russell Short Dur. Bd	A	Dividend	J	T					
65. --Russell Global Equity	A	Dividend	J	T					
66. --Russell US Core Equity	A	Dividend			Sold	08/07/12	J	A	
67. --Russell Int'l Developed Mkts Fd - Class S	A	Dividend	J	T					
68. --Russell Inv Grade Bd	A	Dividend	J	T					

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69. --Russell US Quantity Equity	A	Dividend			Sold	08/07/12	J	A	
70. --Russell Strategic Bd - Class S	A	Dividend	K	T					
71. --Russell Invt Co US Strategic Equity Fund - Class S	A	Dividend	J	T	Buy	08/08/12	J		
72. --Russell Invt Co Multi-Strategy Alternative Fd - Class S		None	J	T	Buy	08/07/12	J		
73. Wells Fargo									
74. First Trust Portfolio LP Target Global Dividend 4Q/12	A	Dividend	J	T					
75. --First Trust Portfolio Select DSIP Portfolio 4Q/12	A	Dividend	J	T					
76. --First Trust Portfolio Select DSIP Port 4Q/11	A	Dividend	J	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Lines 6 and 21: Transfer from 529 (#3) to 529 (#1)

Lines 27 and 46: Name change from Russell US Small Mid Cap - Class S

Lines 74 and 75: Name change to clarify description

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Lincoln D. Almond**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544