

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Zipps, Jennifer G.	2. Court or Organization United States District Court, District of Arizona	3. Date of Report 05/15/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address 405 W. Congress, Ste. 5170 Tucson, AZ 85701		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. _____	_____
2. _____	_____
3. _____	_____
4. _____	_____
5. _____	_____

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. _____	_____
2. _____	_____
3. _____	_____

FINANCIAL DISCLOSURE REPORT

Page 2 of 8

Name of Person Reporting

Zipps, Jennifer G.

Date of Report

05/15/2018

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. April 2017	Constitutional Law Drafting Committee Multi-State Bar Examination Meeting	\$3,500.00
2. Sept 2017	Constitutional Law Drafting Committee Multi-State Bar Examination Meeting	\$3,500.00
3. 2017	Arizona State Retirement System Annuity	\$14,158.00
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. National Conference of Bar Examiners	04/20/2017-04/23/2017	San Juan, PR	Constitutional Law Drafting Committee Meeting	Travel, lodging, food in conjunction with meeting.
2. National Conference of Bar Examiners	09/27/2017 - 10/01/2017	Incline Village, NV	Constitutional Law Drafting Committee Meeting	Travel, lodging, food in conjunction with meeting.
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 8

Name of Person Reporting

Zipps, Jennifer G.

Date of Report

05/15/2018

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 8

Name of Person Reporting

Zipps, Jennifer G.

Date of Report

05/15/2018

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Bank of America	A	Interest	K	T					
2. Princess Jennifer LLC (LLC owns shopping center in NM)	B	Dividend	K	W					
3. Metropolitan Life money market	D	Interest	O	T					
4. Bank of America (Accounts)	A	Interest	K	T					
5. Fidelity Growth Co. Mutual Fund	D	Dividend	M	T	Sold (part)	06/13/17	K	D	
6. Fidelity U.S. Government Money Market (SPAXX) (checking account)	A	Dividend	K	T					
7. Traveler's Insurance, common stock	A	Dividend	J	T					
8. Fidelity Arizona Municipal Income Fund	C	Dividend	M	T	Sold (part)	06/13/17	K	A	
9. Fidelity Arizona Municipal Income Fund					Sold (part)	10/30/17	K	A	
10. Fidelity Spartan 500 Index Fund	C	Dividend	M	T	Sold (part)	06/13/17	K	D	
11. Fidelity Select Industrials Portfolio	C	Dividend	K	T	Buy (add'l)	02/27/17	K		
12. Fidelity Select Industrials Portfolio					Sold (part)	05/03/17	K	A	
13. Fidelity Select Consumer Discretionary Portfolio	B	Dividend	K	T	Sold (part)	02/27/17	K	B	
14. Fidelity Select Consumer Discretionary Portfolio					Sold (part)	05/31/17	J	B	
15. Fidelity Select Insurance	D	Dividend	L	T					
16. Fidelity Select Technology	B	Dividend	K	T	Buy	05/03/17	K		
17. Fidelity Select Chemicals	B	Dividend	K	T	Buy	07/24/17	K		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 5 of 8

Name of Person Reporting

Zipps, Jennifer G.

Date of Report

05/15/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Fidelity Select Wireless		None			Buy	05/30/17	K		
19. Fidelity Select Wireless					Sold	07/24/17	K	A	
20. Fidelity Select Healthcare		None			Buy	07/24/17	K		
21. Fidelity Select Healthcare					Sold	08/31/17	K	A	
22. Fidelity Select Housing and Construction		None			Buy	05/03/17	K		
23. Fidelity Select Housing and Construction					Sold	07/24/17	K	A	
24. Fidelity Rollover IRA (H)	A	Dividend	O	T					
25. John Hancock Disciplined Value	A	Dividend			Sold	06/19/17	K	D	
26. Pimco Income Fund Class A	B	Dividend			Sold	05/22/17	K	D	
27. Fidelity Contrafund	C	Dividend	M	T	Buy (add'l)	02/27/17	K		
28. Fidelity Contrafund					Buy (add'l)	06/26/17	J		
29. Fidelity Overseas Fund	B	Dividend	M	T	Buy	04/03/17	L		
30. Fidelity Overseas Fund					Buy (add'l)	05/30/17	K		
31. Fidelity Overseas Fund					Buy (add'l)	06/26/17	J		
32. Fidelity Large Cap Value Enhanced Index	C	Dividend	L	T	Sold (part)	05/30/17	K	A	
33. Fidelity Large Cap Value Enhanced Index					Buy (add'l)	06/26/17	J		
34. Fidelity Small Cap Value Enhanced Index	B	Dividend			Sold (part)	04/30/17	L	D	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 8

Name of Person Reporting

Zipps, Jennifer G.

Date of Report

05/15/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. Fidelity Small Cap Value Enhanced Index					Sold	05/30/17	L	E	
36. Fidelity Event Driven Opportunities	D	Dividend	L	T	Buy (add'l)	05/26/17	J		
37. Fidelity Low Priced Stock Fund		None			Sold (part)	01/30/17	K	B	
38. Fidelity Low Priced Stock Fund					Sold	02/27/17	K	D	
39. Fidelity Growth Strategies Fund	A	Dividend	M	T	Buy (add'l)	01/30/17	K		
40. Fidelity Growth Strategies Fund					Buy (add'l)	06/26/17	J		
41. Fidelity Blue Chip Growth Fund	C	Dividend	M	T	Buy	05/26/17	J		
42. Fidelity Blue Chip Growth Fund					Buy	06/26/17	J		
43. Fidelity U.S. Government Money Market (SPAXX)	A	Dividend	J	T					
44. Fidelity Small Cap Growth	C	Dividend	L	T	Buy	05/30/17	L		
45. Fidelity Small Cap Growth					Buy (add'l)	06/26/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 8

Name of Person Reporting

Zipps, Jennifer G.

Date of Report

05/15/2018

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII

The value for the LLC listed on line 2 is the cost of the interest in the LLC when obtained just prior to the purchase of the shopping center in August, 2006.

The Metropolitan Life money market account on line 3 is a settlement option for a life insurance policy. It has no assets selected by or reported to the policyholder.

If a mutual fund was traded more than once during the year, the mutual fund is listed on multiple lines. (See e.g. lines 11-12 (listing a purchase on line 11 and a sale on line 12 of Fidelity Select Industrials Portfolio). If a mutual fund is listed on multiple lines, the income during the reporting period (Column B) and gross value at the end of the reporting period (Column C) is reported on the first line for that mutual fund only. To avoid overstating income and value, columns B and C are left blank for all subsequent lines on which the same mutual funds appears. See lines 12, 14, 19, 21, 23, 28, 30-31, 33, 35, 38, 40, 42, and 45.

FINANCIAL DISCLOSURE REPORT

Page 8 of 8

Name of Person Reporting

Zipps, Jennifer G.

Date of Report

05/15/2018

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Jennifer G. Zipps**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544