

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Barreca, Marc L.	2. Court or Organization United States Bankruptcy Court, Western District of WA	3. Date of Report 9/08/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address United States Courthouse 700 Stewart Street, Room 7121 Seattle, WA 98101		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1. 2016

City of Seattle -- Wages

2.

3.

4.

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1. American Bar Association

04/06/2016 -
04/09/2016

Montreal, QC, Canada

Attend ABA Spring
Meeting

Airfare, lodging, meals and transportation

2. National Conference of
Bankruptcy Judges and the
American Bar Association10/26/2016 -
10/28/2016

San Francisco, CA

Attend National Conference
of Bankruptcy Judges

Airfare, lodging, meals and transportation

3.

4.

5.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Washington Federal (Account) (X)	A	Interest	K	T					
2. Seattle Metro Credit Union (Account)	A	Interest	L	T					
3. Sound Credit Union (Account)	A	Interest	J	T					
4. Advisors Disc Trust UIT 1005	A	Dividend	J	T					
5. American Century GNMA (fka Amcent: Ben GNMA)	C	Dividend	M	T					
6. I Shares MSCI Emerging Markets	A	Dividend	J	T					
7. I Shares MSCI EAFE Index	A	Dividend	K	T					
8. I Shares S&P Mid 400 Index (fka I Shares S&P Mid Blend)	A	Dividend	J	T					
9. Schwab Mid Cap Index	A	Dividend	L	T					
10. I Shares S&P Mid 400 Value Index	A	Dividend	J	T					
11. I Shares Trust Dow Jones	A	Dividend	J	T					
12. I Shares S&P US Preferred Stock Index	B	Dividend	K	T					
13. I Shares S&P Small 600 Index (fka I Shrs S&P Smallcap Blend)	A	Dividend	K	T					
14. I Shares S&P Small 600 Growth	A	Dividend	K	T					
15. I Shares S&P Small 600 Value Index	A	Dividend	J	T					
16. American Funds Capital Income Builder Fund	A	Dividend	K	T					
17. American Funds Income Fund of America	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. Credit Suisse Commodity Ret Strategy	A	Interest	J	T					
19. Lazard Developing Markets	A	Dividend	J	T					
20. Oppenheimer Developing Markets	A	Dividend	J	T					
21. Wells Fargo & Co. (Account)	A	Interest			Closed	01/15/16	J	A	
22. American Funds EuroPacific	A	Dividend	K	T					
23. Artisan International	A	Dividend	K	T					
24. American Funds Washington Mutual Investors Fund	A	Dividend	K	T	Buy	05/02/16	K		
25. Ford Motor Company	A	Dividend	J	T	Buy	01/15/16	J		
26. JHancock3 Disciplined Equity Fund	A	Dividend	J	T	Buy	05/02/16	J		
27. Loomis Sayles Growth Fund	A	Dividend	K	T	Buy	09/16/16	K		
28. Lord Abbett Short Duration	B	Dividend	K	T	Buy	01/15/16	K		
29. MFS Value Fund	A	Dividend	J	T	Buy	05/02/16	J		
30. Microsoft Corporation	A	Dividend	J	T	Buy	01/15/16	J		
31. Oracle Corporation	A	Dividend	J	T	Buy	01/15/16	J		
32. Russell Global Real Estate Fund	A	Dividend	J	T	Buy	05/02/16	J		
33. Schwab Diversified Emerging Markets Fund	A	Dividend	J	T	Buy	01/15/16	J		
34. Schwab Govt Securities Fund	A	Dividend	K	T	Buy	01/15/16	K		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. Schwab International Equity	A	Dividend	J	T	Buy	01/15/16	J		
36. Schwab S&P 500 Index	B	Dividend	M	T	Sold (part)	12/19/16	J	A	
37. Schwab US Aggregate Bond	A	Dividend	K	T	Buy	01/15/16	K		
38. Schwab US Large Growth	A	Dividend	J	T	Buy	01/15/16	J		
39. Schwab US Small Blend	A	Dividend	L	T					
40. Toyota Motor Corp ADR	A	Dividend	J	T					
41. Vanguard Intermediate Tax Exempt Bond	B	Dividend	L	T					
42. American Funds Captial Income Builder	A	Dividend	K	T					
43. American Funds Income Fund of America	A	Dividend	K	T					
44. American Balanced Fund	A	Dividend	K	T	Sold (part)	06/15/16	J	A	
45. Loomis Sayle Growth Fund	A	Dividend	J	T	Buy	05/02/16	J		
46. Oppenheimer Developing Markets	A	Dividend	J	T					
47. PIMCO Foreign Bond Fund	A	Dividend	K	T					
48. Powershares QQQ	A	Dividend	L	T	Sold (part)	08/26/16	J	D	
49. Schwab Money Market	A	Dividend	M	T					
50. Schwab Retirement Money Market	A	Dividend	J	T	Sold (part)	12/30/16	J	A	
51. Schwab US Mid Blend	A	Dividend	L	T					

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52. SPDR S&P 500	A	Dividend	K	T	Sold (part)	08/26/16	J	D	
53. Vanguard REIT	B	Dividend	K	T	Sold (part)	08/26/16	J	C	
54. Vanguard REIT Index	B	Dividend	K	T					
55. Columbia Large Growth III Fund	A	Dividend			Sold	09/15/16	J	D	
56. Delafield Fund	A	Dividend	L	T	Sold (part)	12/15/16	J	D	
57. Schwab US REIT	A	Dividend	J	T	Buy	01/15/16	J		
58. Silver Standard Resources	A	Dividend	J	T	Buy	01/15/16	J		
59. US Geothermal	A	Dividend	J	T	Buy	01/15/16	J		
60. Baird Mid Cap Investors	A	Dividend	J	T	Buy	01/15/16	J		
61. Schwab IRA - UST Infl IDX	A	Dividend			Sold	01/15/16	K		
62. Schwab Cash & Money Market	A	Int./Div.	K	T					
63. Schwab Cash & Money Market (IRA)	A	Interest	J	T					
64. Bank of America (Account)	A	Interest	M	T					
65. Key Bank (NGC) (Account)	A	Interest			Sold	05/02/16	M	A	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Married May 9, 2015.

New this year, Judge Barreca's financial advisor prepared Part VII Investments and Trusts section so the accounts were listed in a different order than in the 2015 report and may be referenced with a different name for the same investment.

To assist with understanding the different names between the 2015 and 2016 reports, we are providing the information below:

No. 1: This account started in 2015 but was below the reporting threshold amount at the end of the 2015 reporting period.

No. 8: 1 Shares S&P Mid 400 Index(fka 1 Shares S&P Mid Blend) - #28 in 2015 report. The listing order for this item and name change were the result of assistance by my financial advisor. The investment did not change.

No. 13: 1 Shares S&P Small 600 Index (fka 1 Shrs S&P Smallcap Blend) - #32 in 2015 report. The listing order for this item and name change were the result of assistance by my financial advisor. The investment did not change.

No. 24: American Funds Washington Mutual Investors Fund: This investment was purchased on 5/2/16. This transaction information was inadvertently omitted from the 2016 annual report.

No. 26: JHancock3 Disciplined Equity Fund: This investment was purchased on 5/2/16. This transaction information was inadvertently omitted from the 2016 annual report.

Nos. 27: Loomis Sayles Growth Fund: This investment was purchased on 9/16/16. This transaction information was inadvertently listed as "sold (part)" instead of a "buy" in the 2016 annual report.

No. 29: MFS Value Fund: This investment was purchased on 5/2/16. This transaction information was inadvertently omitted from the annual 2016 report.

No. 32: Russell Global Real Estate Fund: This investment was purchased on 5/2/16. This transaction information was inadvertently omitted from the 2016 annual report.

No. 45: Loomis Sayles Growth Fund: This investment was purchased 9/16/16 (Nancy Craver - spouse). This transaction information was inadvertently listed as "sold (part)" instead of a "buy" in the 2016 annual report.

No. 49: Schwab Money Market: This item was listed as No. 40 (-Schwab Money Market) in the 2015 annual report. The listing order for this item and name change were the result of assistance by my financial advisor. The investment did not change.

No. 50: Schwab Retirement Money Market: This item was listed as No. 25 (-Schwab Money Market) in the 2015 annual report. The listing order for this item and name change were the result of assistance by my financial advisor. The investment did not change.

No. 52: SPDR S&P 500 (fka -SPDR Trust Unit SR). This item was listed as No. 34 in the 2015 annual report. The listing order for this item and name change were the result of assistance by my financial advisor. The investment did not change.

No. 61. Schwab IRA - UST Infl IDX: Value code changed to correct error.

No. 62: Schwab Cash & Money Market: This item was listed as No. 45 (-Schwab Cash & Money Market NGC) in the 2015 annual report. The 2016 report was amended to provide the name of the financial institution - Schwab. The investment did not change. Value code changed to correct error.

No. 63: Schwab Cash & Money Market (IRA): This item was listed as No. 50 (-Cash & Money Market NGC) in the 2015 annual report. This 2016 report was amended to provide the name of the financial institution - Schwab. The investment did not change. Value code changed to correct error.

No. 64: Bank of America (Account): Value code changed to correct error.

No. 65: Key Bank (NGC): This item was listed as No. 52 in the 2015 annual report. This account was closed in 2016 and was inadvertently omitted in the 2016 annual report.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Marc L. Barreca**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544