

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Roberts, Bana B.	2. Court or Organization U.S.D.C. Western District of Oklahoma	3. Date of Report 05/07/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Full Time U.S. Magistrate Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address 200 NW 4th Street Room 1021 US Court House Oklahoma City, OK 73102		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Chairman of the Board	Special Olympics Oklahoma
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 12

Name of Person Reporting

Roberts, Bana B.

Date of Report

05/07/2012

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2011

Self Employed Photographer

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

FINANCIAL DISCLOSURE REPORT

Page 3 of 12

Name of Person Reporting

Roberts, Bana B.

Date of Report

05/07/2012

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

FINANCIAL DISCLOSURE REPORT

Page 4 of 12

Name of Person Reporting

Roberts, Bana B.

Date of Report

05/07/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Vanguard Tax Exempt Money Market	A	Interest	K	T					
2. Federal Employees Federal Credit Union	A	Interest	K	T					
3. BROKERAGE ACCOUNT #1 (IRA)									
4. --Fidelity Advisors Balanced Mutual Fund	A	Dividend	J	T					
5. --Fidelity Advisors Equity Growth Mutual Fund		None	J	T					
6. ---Fidelity Advisors Growth Opportunity Mutual Fund	A	Dividend	J	T					
7. ---Fidelity Advisors Equity Income Mutual Fund		None	J	T					
8. --Fidelity Advisors New Insights Mutual Fund		None	J	T					
9. --Smith Barney Money Market Account	A	Interest	J	T					
10. BROKERAGE ACCOUNT #2									
11. --Oppenheimer Global Mutual Fund	A	Dividend			Sold	03/08/11	J	A	
12. --T. Rowe Price Mid-Cap Growth Mutual Fund	C	Dividend	K	T					
13. --Fidelity Low Price Stock Fund	B	Dividend	K	T					
14. --Schwab Cash Reserves Money Market	A	Interest	J	T					
15. BROKERAGE ACCOUNT #3 (IRA)									
16. --Schwab Money Market Account	A	Interest	L	T					
17. --Fidelity Contra Fund	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 12

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18. --Oakmark Global Fund		None	K	T					
19. --T. Rowe Price Mid Cap Value Fund	B	Dividend	K	T	Buy (add'l)	07/06/11	J		
20. --T. Rowe Price Emerging Markets Fund	A	Dividend	L	T	Buy (add'l)	07/06/11	J		
21. --T. Rowe Price Mid Cap Growth Fund	D	Dividend	L	T	Buy (add'l)	07/06/11	J		
22. --Pimco High Yield Fund	B	Dividend	K	T					
23. --Aberdeen Australia Equity Fund		None	J	T	Buy (add'l)	07/06/11	J		
24. --Blackrock International Growth Trust		None	J	T					
25. --Ishares Barclays Tips ETF		None	J	T	Sold	01/04/11	J	A	
26.					Buy	08/26/11	K		
27.					Sold	10/28/11	K	A	
28.					Buy	11/25/11	K		
29.					Sold (part)	12/30/11	J	A	
30. --Ishares Basic Materials ETF		None			Sold	02/25/11	J	A	
31. --Ishares Consumer Services ETF		None			Buy (add'l)	01/04/11	J		
32.					Sold	08/26/11	J	A	
33. --Ishares Global Telecom ETF		None			Buy (add'l)	01/04/11	J		
34.					Sold	11/25/11	J	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 6 of 12

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. --Ishares Latin America ETF		None			Buy (add'l)	01/04/11	J		
36.					Sold	03/07/11	J	A	
37. --Ishares Nasdaq Biotech ETF		None	J	T	Sold (part)	01/04/11	J	A	
38.					Sold (part)	02/25/11	J	A	
39.					Buy	04/01/11	J		
40.					Sold	07/29/11	J	A	
41.					Buy	11/25/11	J		
42. --Ishares North American Technology ETF		None	J	T	Buy (add'l)	01/04/11	J		
43.					Sold	08/26/11	J	A	
44.					Buy	10/28/11	J		
45. --PCM Fund, Inc	B	Dividend	J	T					
46. --Pimco Corporate Opportunity fund	C	Dividend	K	T					
47. --Pimco Global Stocks Plus	C	Dividend	K	T					
48. --Pimco Income Strategy Fund II	B	Dividend	K	T					
49. --Powershares Dynamic Listed Private Equity Fund		None			Sold	07/06/11	J	A	
50. --Loomis Sayles Bond Fund	B	Dividend	K	T					
51. --Third Avenue Value Fund		None			Sold	07/06/11	K	A	

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 12

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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52. --Western Asset High Income Fund		None	J	T					
53. --Buffalo Science and Tech Fund	C	Dividend	K	T	Buy (add'l)	07/06/11	J		
54. --Pimco Strategic Global Fund	B	Dividend	K	T					
55. --Trustco Bank Corp		None			Sold	07/06/11	J	A	
56. Ishares Canada Index ETF		None	J	T	Buy	03/07/11	J		
57.					Sold	05/03/11	J	A	
58.					Buy	12/30/11	J		
59. Ishares Energy ETF		None	J	T	Buy	02/25/11	J		
60. Ishares Euro350 ETF		None			Buy	05/03/11	J		
61.					Sold	07/01/11	J	A	
62.					Buy	10/28/11	J		
63.					Sold	11/25/11	J	A	
64. Ishares Global Health Care ETF		None			Buy	02/25/11	J		
65.					Sold	04/01/11	J	A	
66. Ishares Global Health Care Index Fund		None			Buy	07/29/11	J		
67.					Sold	10/28/11	J	A	
68. Ishares Japan Index Fund		None			Buy	07/01/11	J		

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FINANCIAL DISCLOSURE REPORT

Page 8 of 12

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Roberts, Bana B.

Date of Report

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69.					Sold	08/26/11	J	A	
70. Ishares US Financial Svc		None			Buy	01/04/11	J		
71.					Sold	08/26/11	J	A	
72. Sector SPDR		None	J	T	Buy	08/26/11	J		
73.					Sold	10/28/11	J	A	
74.					Buy	11/25/11	J		
75. Sector SPDR Financial		None			Buy	10/28/11	J		
76.					Sold	11/25/11	J	A	
77. Sector SPDR Health Fund		None			Buy	10/28/11	J		
78.					Sold	11/25/11	J	A	
79. Sector SPDR Utilities		None	J	T	Buy	12/30/11	J		
80. Vanguard CNSMR Discretionary		None			Buy	10/28/11	J		
81.					Sold	11/25/11	J	A	
82. INVESTMENT CLUB #2									
83. --PCM Fund	A	Dividend	J	T	Buy (add'l)	03/08/11	J		
84.					Buy (add'l)	07/06/11	J		
85.					Sold (part)	11/29/11	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 9 of 12

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05/07/2012

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86. --Pimco Global Stocks	A	Dividend	J	T	Buy (add'l)	11/29/11	J		
87. --Pimco Corporate Opp.	A	Dividend	J	T					
88. --Pimco Income Strategies	A	Dividend			Sold	11/29/11	J	A	
89. BROKERAGE ACCOUNT #5									
90. --T. Rowe Price Media & Telecommunications Fund	B	Dividend	K	T					
91. --T. Rowe Price Personal Strategy Growth Fund		None			Sold	01/26/11	J	A	
92. --T. Rowe Price Emerging Markets Stock Fund	A	Dividend	J	T					
93. --T. Rowe Price Real Estate Fund	A	Dividend	K	T	Buy (add'l)	01/26/11	J		
94. BROKERAGE ACCOUNT #6									
95. --Skyline Special Equity Portfolio Fund		None	J	T					
96. --Pimco High Yield Fund	B	Dividend	K	T					
97. --MB Financial Corp	A	Dividend	K	T	Buy	02/23/11	K		
98. --RBC Life Sciences		None	J	T					
99. --Blackrock International Growth trust	B	Dividend	J	T					
100. --ING Asia Pacific High Fund	B	Dividend	J	T					
101. --PCM Fund, Inc.	B	Dividend	K	T					
102. --Pimco Corporate Opportunity Fund	B	Dividend	K	T					

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FINANCIAL DISCLOSURE REPORT

Page 10 of 12

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103. --Pimco Global Stocks Plus	B	Dividend	K	T					
104. --Pimco High Income Fund	C	Dividend	K	T					
105. --Powershares Dynamic Banking Fund		None	J	T	Buy	12/30/11	J		
106. --Powershares Dynamic Semiconductor Fund		None	J	T					
107. --Powershares Financial Preferred		None	K	T					
108. --Van Kampen Muni Trust	C	Dividend	K	T					
109. Denbury Resources, Inc.		None	K	T	Buy	12/23/11	K		
110. Pro Shares Ultra Dow 30 ETF		None	J	T	Buy	12/30/11	J		
111. Pro Shares Ultra Russell 2000 ETF		None	J	T	Buy	12/30/11	J		
112. U.S. Oil Fund ETF		None	J	T	Buy	12/30/11	J		
113. United International Corporation Common Stock		None	J	T	Sold (part)	12/30/11	J	A	

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FINANCIAL DISCLOSURE REPORT

Page 11 of 12

Name of Person Reporting

Roberts, Bana B.

Date of Report

05/07/2012

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 12 of 12

Name of Person Reporting

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05/07/2012

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature s/ Bana B. Roberts

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544