

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2011**

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) DELK, PAULETTE J.	2. Court or Organization TENNESSEE-BANKRUPTCY	3. Date of Report 05/02/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S.BANKRUPTCY JUDGE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address 200 JEFFERSON MEMPHIS, TENNESSEE 38103		
IMPORTANT NOTES: <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.</i>		

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☒ **NONE** *(No reportable positions.)*

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☐ **NONE** *(No reportable agreements.)*

DATE

PARTIES AND TERMS

1. 1987	TIAA-CREF; DISTRIBUTIONS AT AGE 70.5
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 8

Name of Person Reporting

DELK, PAULETTE J.

Date of Report

05/02/2012

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2011	SELF-EMPLOYED PHYSICIAN
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. AMERICAN BANKRUPTCY INSTITUTE	MARCH 6-8, 2011	NEW YORK, NY	JUDGE, DUBERSTEIN MOOT COURT COMPETITION	TRANSPORTATION, LODGING, FOOD
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 8

Name of Person Reporting

DELK, PAULETTE J.

Date of Report

05/02/2012

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	BANK OF AMERICA	BUSINESS CREDIT CARD	K
2.	BANK OF AMERICA	CREDIT CARD	K
3.	AMERICAN EXPRESS	CREDIT CARD	J
4.	SUNTRUST SALLIE MAE	STUDENT LOANS	K
5.	CHASE	CREDIT CARD	K

FINANCIAL DISCLOSURE REPORT

Page 4 of 8

Name of Person Reporting

DELK, PAULETTE J.

Date of Report

05/02/2012

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. SUNTRUST ACCOUNT	A	Interest	J	T					
2. ORION ACCOUNT	A	Dividend	J	T					
3. NORTHWESTERN MUTUAL WHOLE LIFE POLICIES	C	Interest	J	T					
4. REGIONS ACCOUNT		None	J	T					
5. BANK OF AMERICA		None	J	T					
6. NOTE FROM FANNIE HAYES		None	K	T					
7. TIAA-CREF PENSION		None	N	T					
8. STATE OF TENNESSEE 401(k) PLAN		None	J	T					
9. BROKERAGE ACCOUNT #1									
10. -American Funds-WASHINGTON MUTUAL INVESTORS FUND A	A	Dividend	J	T					
11. -American Funds-Europacific Growth Fund- A	B	Dividend	K	T					
12. -American Funds-The New Economy Fund- A	A	Dividend	K	T					
13. BROKERAGE ACCOUNT #2									
14. -FIDELITY ADVISOR NEW INSIGHTS FUND CL A	B	Dividend	J	T					
15. AXA EQUITABLE #1(IRA) (INVESTMENT GRADE BONDS)	A	Interest	K	T					
16. AXA EQUITABLE #2 (IRA) (INVESTMENT GRADE BONDS)	A	Interest	K	T					
17. AXA EQUITABLE #3 (TSA PS)	B	Dividend	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000.01 - \$500,000.00	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 8

Name of Person Reporting

DELK, PAULETTE J.

Date of Report

05/02/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. ARKANSAS DEFERRED COMPENSATION PLAN	B	Interest	K	T					
19. SMART SAVVY INVESTMENT CLUB	B	Dividend	K	T					
20. -ORACLE CORP (common)									
21. -PAYCHEX INC (common)									
22. -TIME WARNER INC (COMMON)									
23. -EXXON MOBIL CP (common)									
24. -GENERAL ELECTRIC (common)									
25. -INTEL (X) (common)									
26. -STARBUCKS (X) CORP (common)									
27. BROKERAGE ACCOUNT #3									
28. -PRIME FUND DAILY MONEY CLASS		None	L	T					
29. -ELI LILLY & CO COMMON STOCK	A	Dividend	J	T					
30. -PFIZER COMMON STOCK	A	Dividend	J	T					
31. -FIDELITY EQUITY INCOME II	A	Dividend	K	T					
32. -MORGAN STANLEY INSTL TR VALUE PORT INSTL CL	D	Dividend	N	T					
33. -VANGUARD GROWTH & INCOME	A	Dividend	J	T					
34. -VANGUARD TOTAL STOCK MARKET	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 8

Name of Person Reporting

DELK, PAULETTE J.

Date of Report

05/02/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. -MORGAN STANLEY INSTL TR CORE PL FIX INCM INS	B	Dividend	M	T					
36. BROKERAGE ACCOUNT #4									
37. -ING T. ROWE PRICE GROWTH EQ PORT		None	K	T			K		
38. -FIDELITY VIP CONTRAFUND PORT		None	K	T			K		
39. -THE GROWTH FUND OF AMERICA		None	K	T			K		
40. -EUROPACIC GROWTH FUND		None	K	T			K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 8

Name of Person Reporting

DELK, PAULETTE J.

Date of Report

05/02/2012

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

PART VII.2 MATCU CHANGED ITS NAME TO ORION

FINANCIAL DISCLOSURE REPORT

Page 8 of 8

Name of Person Reporting

DELK, PAULETTE J.

Date of Report

05/02/2012

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **S/ PAULETTE J. DELK**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544