

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Tallman, Howard R.	2. Court or Organization Bankruptcy Court -- Colorado	3. Date of Report 07/14/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Bankruptcy Judge -- Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address 721 19th Street Denver, CO 80202		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. _____	_____
2. _____	_____
3. _____	_____
4. _____	_____
5. _____	_____

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. _____	_____
2. _____	_____
3. _____	_____

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.

2.

3.

4.

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1. American Bankruptcy
Institute

January 24-25, 2013

Denver, Colorado

Speaker at national CLE

Seminar registration and meals

2.

3.

4.

5.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. KeyBank -- Accounts	A	Interest	K	T					
2. ENT CU (X)	A	Interest	J	T	Open	07/30/13	J		
3. IRA No. 1(a) -- SEI Group (X)	D	Dividend	N	T	Sold (part)	12/04/13	M		See Part VIII, Note 2
4. ---Large Cap Value									
5. ---Large Cap Growth									
6. ---Small Cap Value									
7. ---Small Cap Growth									
8. ---Core Fixed Income									
9. ---International Fixed Income									
10. ---Emerging Markets Debt									
11. ---High Yield Bonds									
12. ---International Equity									
13. ---Emerging Markets Equity									
14. ---Domestic Fixed Income (X)									
15. ---Prime Obligation									
16. IRA No. 1(b) -- SEI Group (X)		None	J	T	Open	12/05/13	M		See Part VIII, Note 2
17. ---Prime Obligation					Buy	12/05/13			

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	L ~\$50,001 - \$100,000 M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. IRA No. 1(c) -- SEI Group (X)		None	M	T	Open	12/05/13	M		See Part VIII, Note 2
19. ---Fixed Income: Corporate Laddered Bonds					Buy	12/13/13			
20. ---Prime Obligation									
21. IRA No. 2 -- Oppenheimer Fund		None			Redeemed	03/17/13	K	A	
22. Mutual Fund 1 - SEI Group									
23. ---Tax Managed Large Cap	A	Dividend	K	T	Sold (part)	03/03/13	J	B	
24. ---Small Cap Value	A	Dividend	J	T	Sold (part)	03/13/13	J	A	
25. ---Small Cap Growth	A	Dividend	J	T	Sold (part)	03/13/13	J	A	
26. ---Intermediate-Term Municipal	A	Dividend	J	T	Sold (part)	03/13/13	J	A	
27. ---International Fixed Income	A	Dividend	J	T	Sold (part)	03/13/13	J	A	
28. ---Emerging Markets Debt	A	Dividend	J	T	Sold (part)	03/13/13	J	A	
29. ---High Yield Bonds	A	Dividend	J	T	Sold (part)	03/13/13	J	A	
30. ---International Equity	A	Dividend	J	T	Sold (part)	03/13/13	J	A	
31. ---Emerging Markets Equity	A	Dividend	J	T	Sold (part)	03/13/13	J	A	
32. ---Tax-Free Fund	A	Interest	J	T	Sold (part)	03/13/13	J	A	
33. Mutual Fund 2 - SEI Group									
34. ---Tax Free Fund	A	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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35. ---Tax Managed Vol. Fund	A	Dividend	J	T					
36. ---Tax Managed Large Cap	A	Dividend	J	T					
37. ---Short Duration Muni	A	Dividend	J	T					
38. ---Tax Advantage Income	A	Dividend	J	T					
39. ---Intermediate Term Muni	A	Dividend	J	T					
40. Jackson National Life	B	Interest	K	T					
41. Genworth Life Ins. Co.	A	Interest	J	T					
42. Icon Income Fund Eleven	A	Distribution	J	T					
43. Pacific Life Variable Annuity		None	L	T					See Part VIII, No. 1

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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

1. Part VII, Line 38. The income and value are reported in an aggregate amount for the investment as reflected in the annuity's price per unit/share. The only input that I or my financial adviser had in this annuity investment was to provide an initial risk profile. Neither of us has any control over the choice of investment sub-accounts or the allocation of funds among those various sub-accounts. Funds are re-invested or re-balanced by the issuer using either existing, replacement or additional sub-accounts, without prior notice to or input from us.

2. Part VII, Lines 3 and 16-19. These listings show acquisition activity starting in December, 2013, to further diversify holdings held in IRA No. 1, now designated No. 1(a). Cash equivalent funds were transferred from IRA No. 1(a) into new IRA No. 1(b) to purchase cash equivalent funds. Shortly thereafter, these funds were used to purchase investments in IRA No. 1(c), a professionally managed laddered bond account. The acquisition process by the fund manager for IRA No. 1(c) began in mid-December, 2013 and continued for several months into 2014. IRA No. 1(c) will hold the bonds so purchased, plus some amount of cash equivalents to cover fund expenses. IRA No. 1(b) will hold the net interest income generated by the bonds to keep it separate and available for possible other investments, which would be reported as provided by the disclosure rules. The only input that I or my financial adviser had in the process of opening the new IRA accounts and the subsequent bond investments was to determine the total amount of the IRA funds to be transferred to this new investment. Note that the total amount of the investments in IRAs No. 1(a), (b) and (c) as of December 31, 2013 were included in the total value of IRA No. 1 in the original 2013 financial report. This amendment has been filed to now show in more detail how this diversification was accomplished by the bond fund manager. Going forward, since these funds are all within IRA accounts, I will continue to report the total values of each of the three IRA, but only the names and not the balances for the underlying individual fund investments within each IRA.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Howard R. Tallman**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544